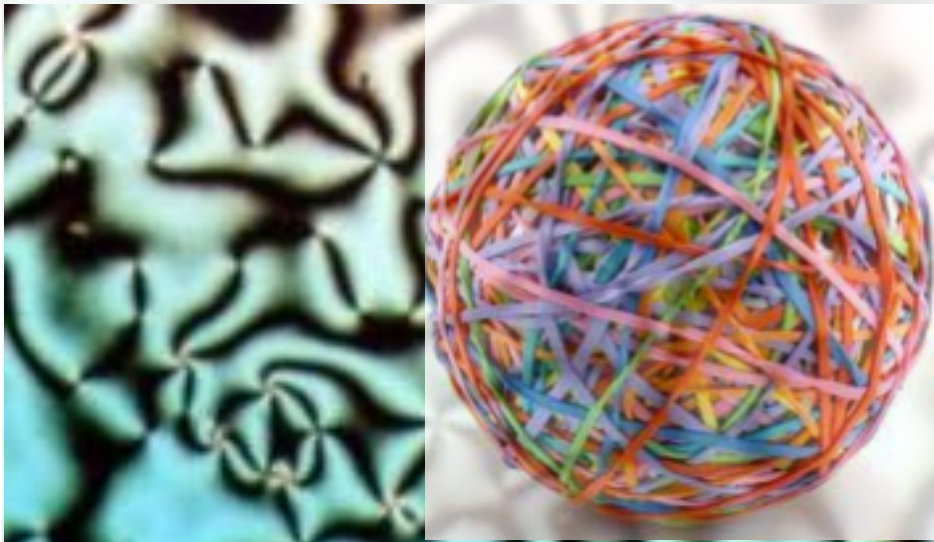


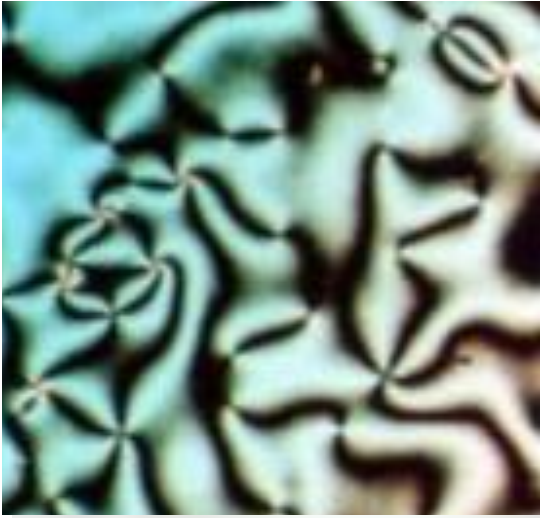
# *Strange elasticity of liquid-crystal rubber*



*University of Colorado  
Boulder*

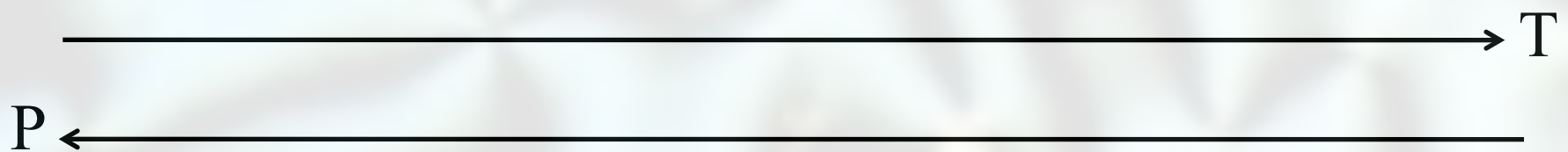
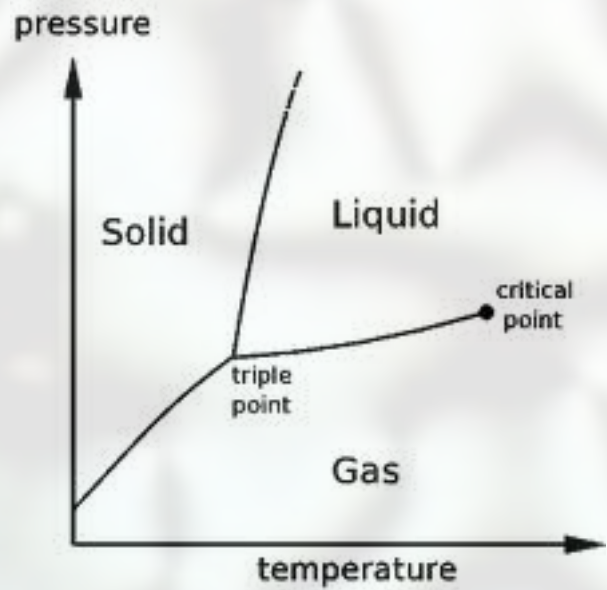


## Outline



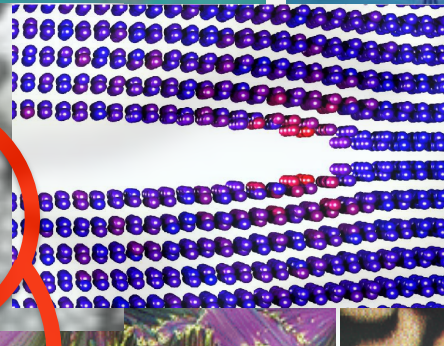
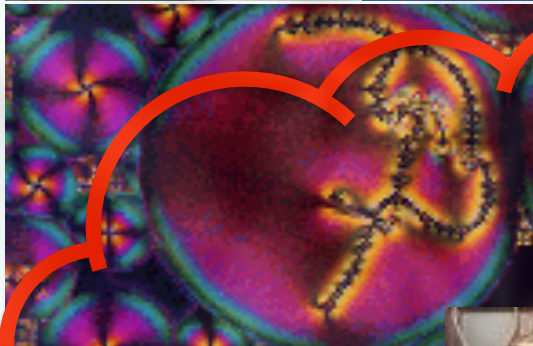
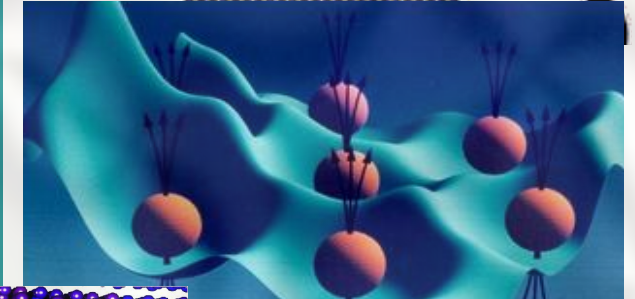
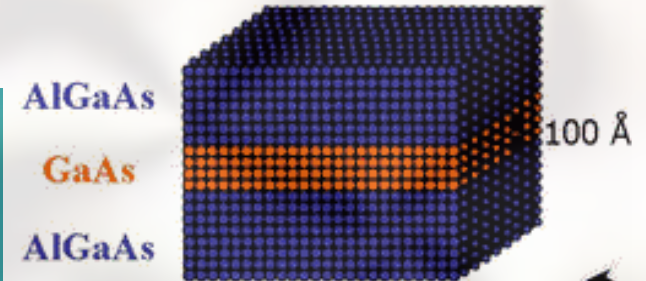
- Diversity of phases in nature
- Liquid-crystals
- Rubber
- Liquid-crystal elastomers
- Phenomenology
- Theory (with *Xing, Lubensky, Mukhopadhyay*)
- Challenges and future directions

# *“White lies” about phases of condensed matter*



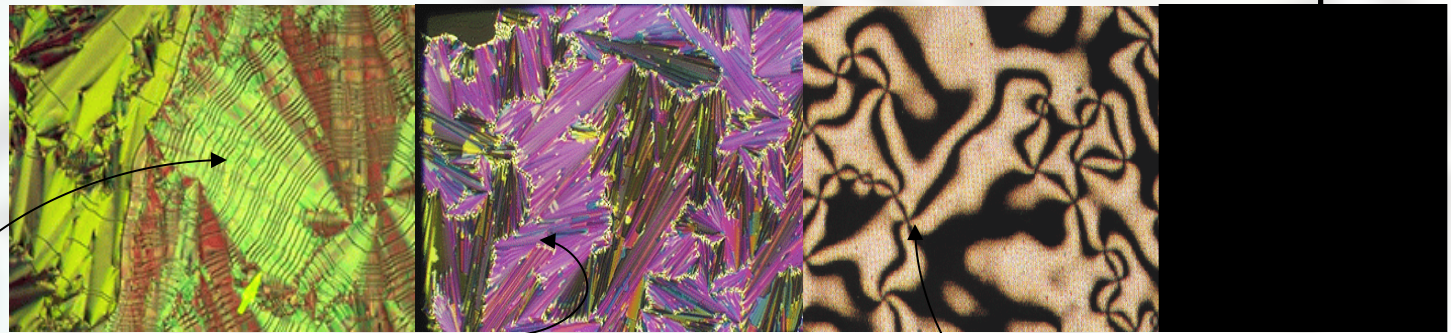
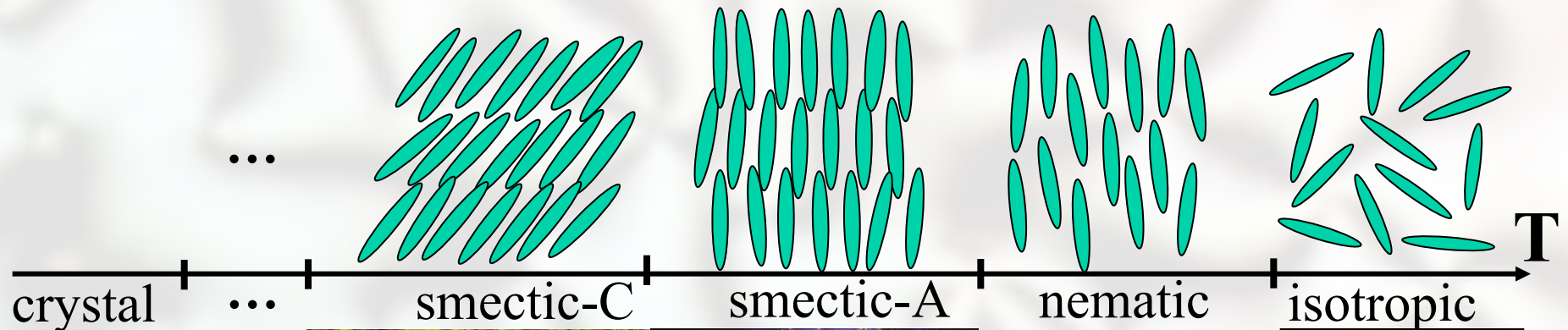
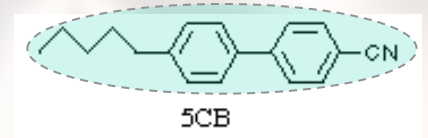
# *States of condensed matter in nature*

- magnets, superconductors, superfluids, liquid crystals, rubber, colloids, glasses, conductors, insulators,...



Reinitzer 1886  
(nematic, Blue phase)

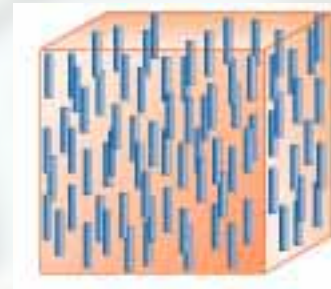
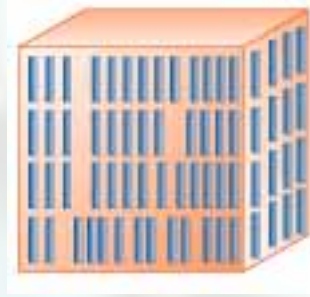
# Liquid Crystals



*cholesteric pitch*

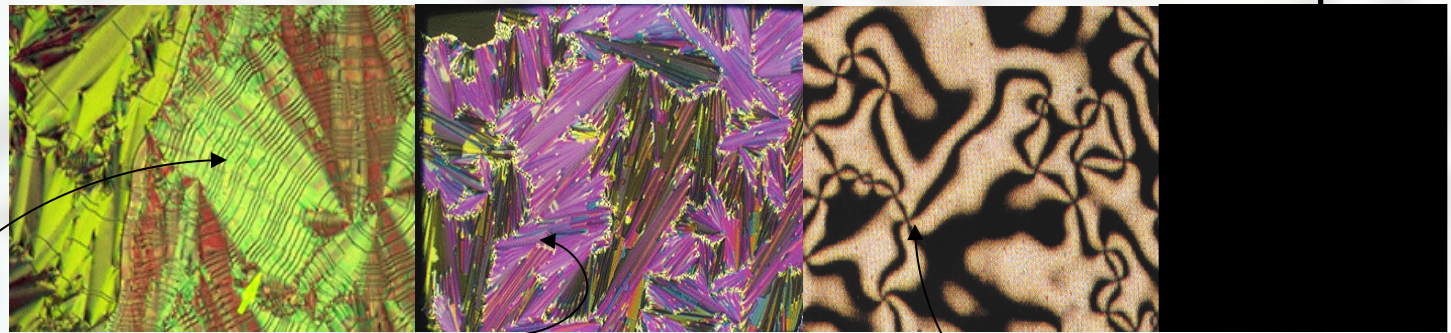
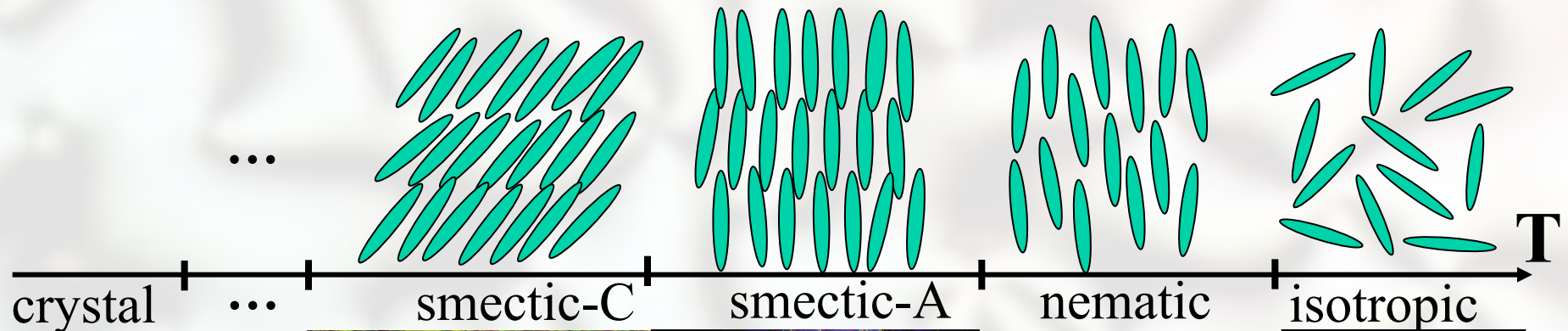
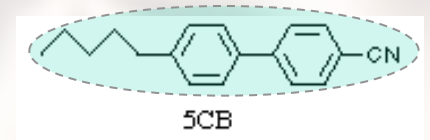
*smectic layer fluctuations*

*vortex lines*



Reinitzer 1886  
(nematic, Blue phase)

# Liquid Crystals



cholesteric  
pitch

smectic layer  
fluctuations

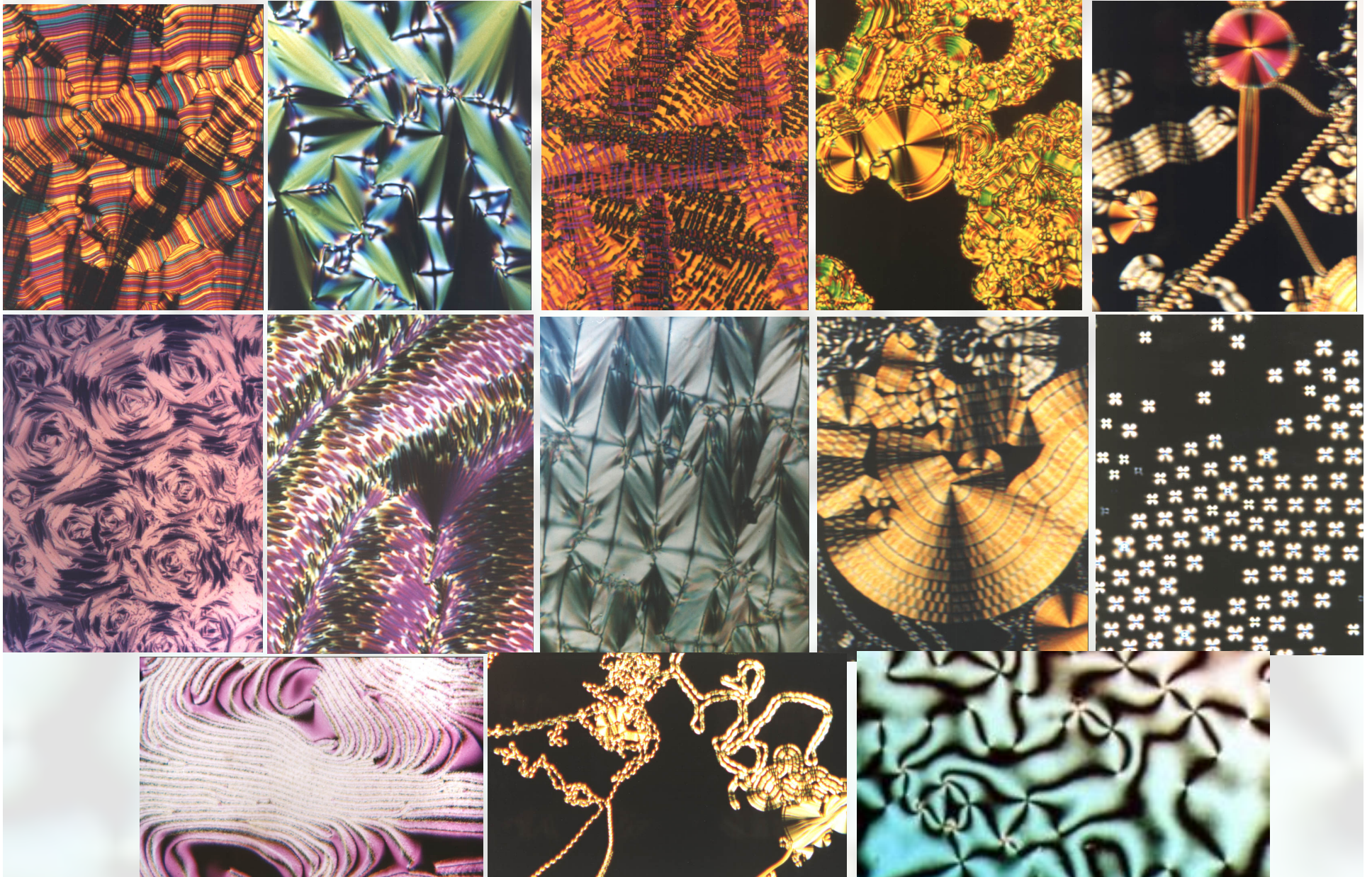
vortex lines

- **Rich basic physics** — critical phenomena, hydrodynamics, coarsening, topological defects, `toy` cosmology, ...
- **Important applications** — displays, switches, actuators, electronic ink, artificial muscle, ...

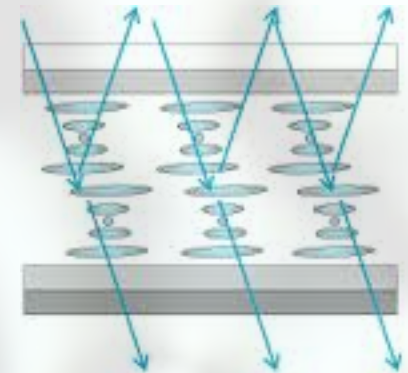
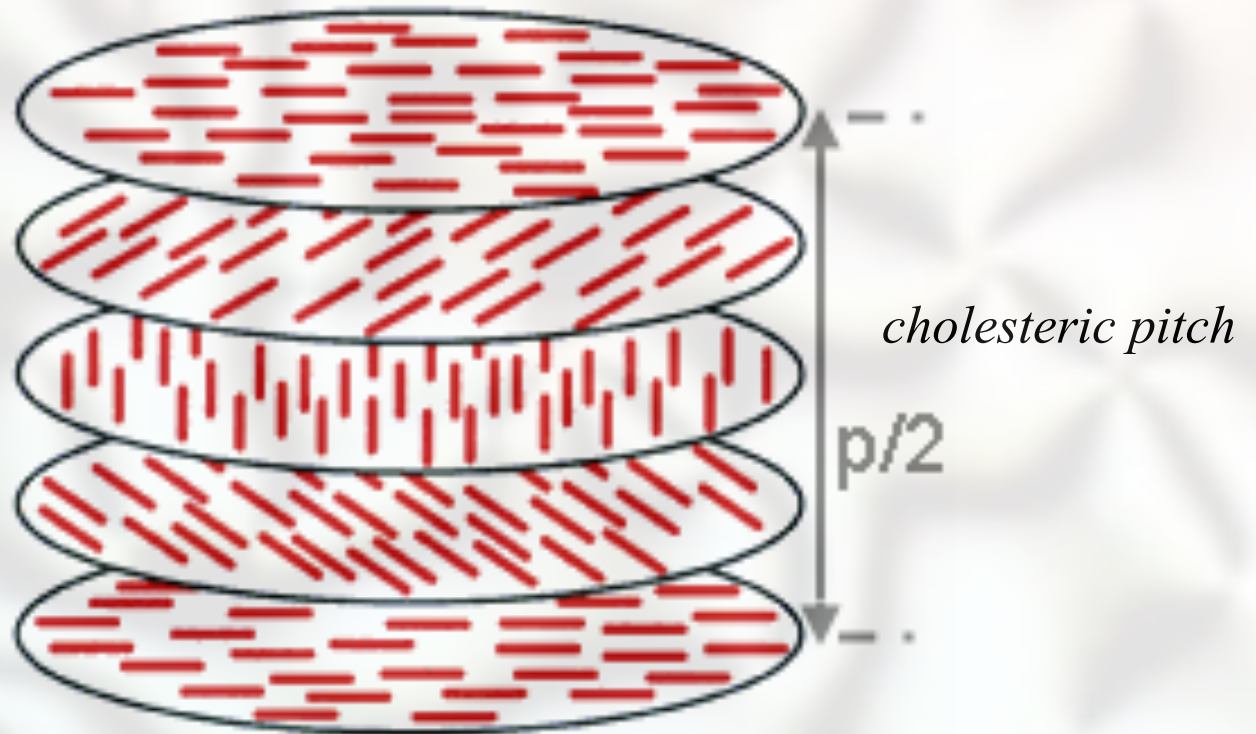
# Liquid-crystal beauty

N. Clark

“Liquid crystals are beautiful and mysterious; I am fond of them for both reasons.” – P.-G. De Gennes



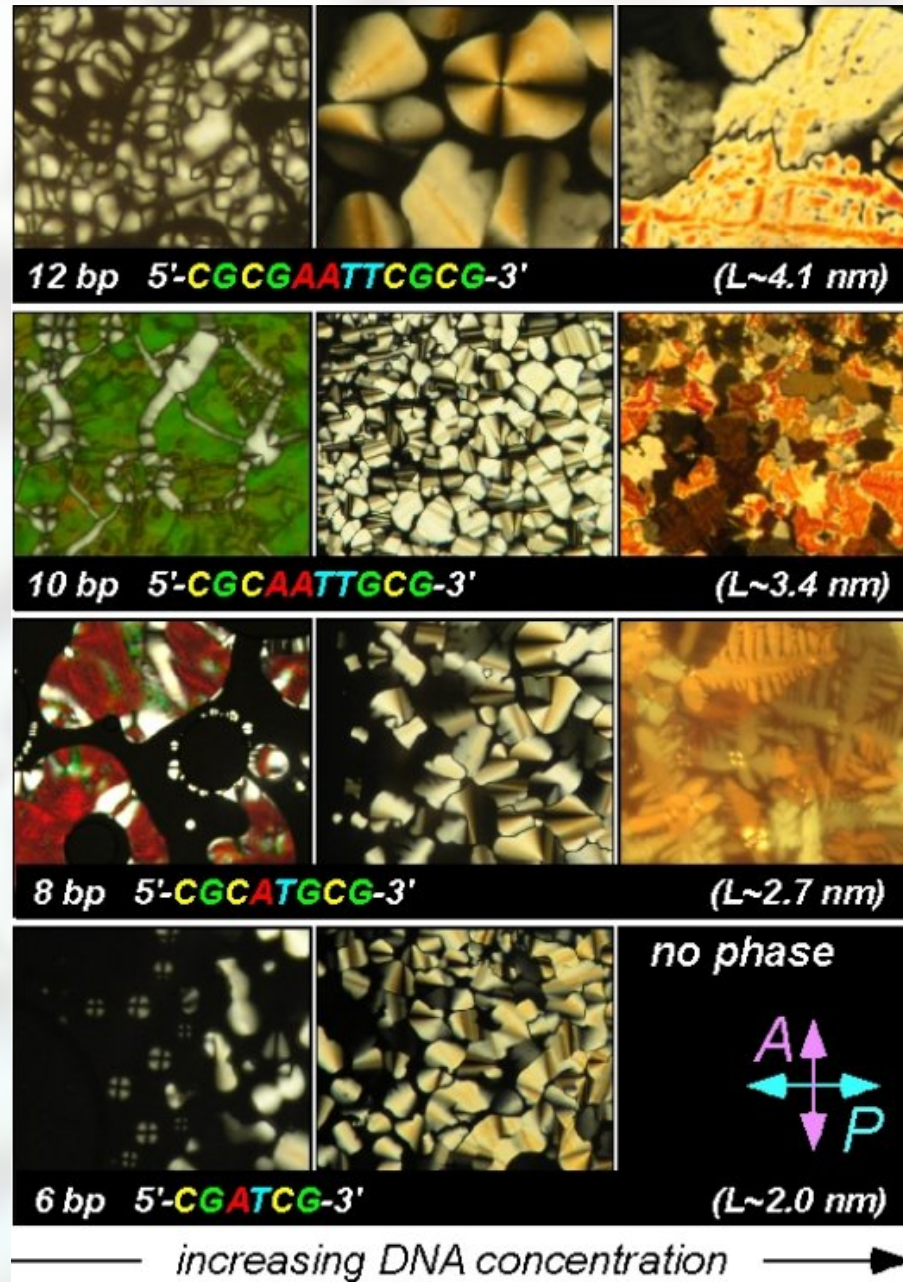
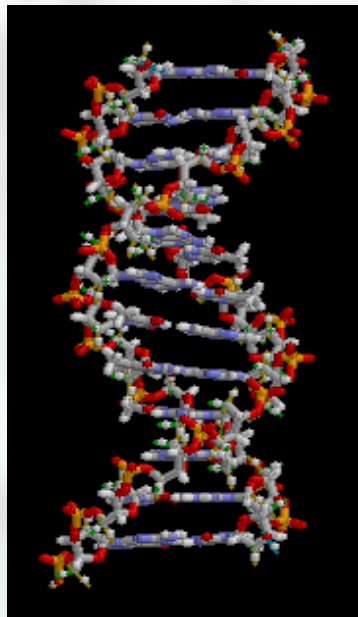
# Chiral liquid crystals: cholesterics



- color selective Bragg reflection from cholesteric planes
- temperature tunable pitch  $\rightarrow$  wavelength



# Bio-polymer liquid crystals: DNA

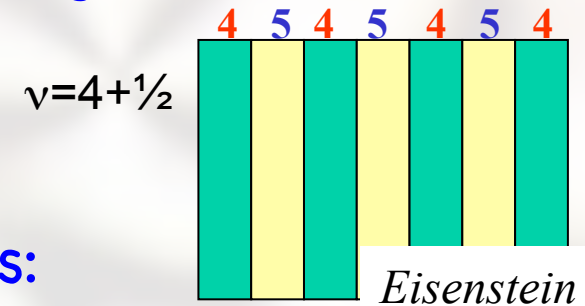


M. Nakata,  
N. Clark, et al

# Nonconventional liquid crystals

- electron liquid in semiconductors under strong B field

*half-filled high Landau levels*



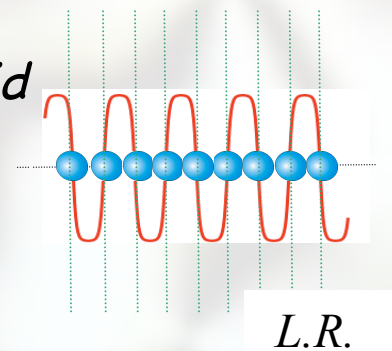
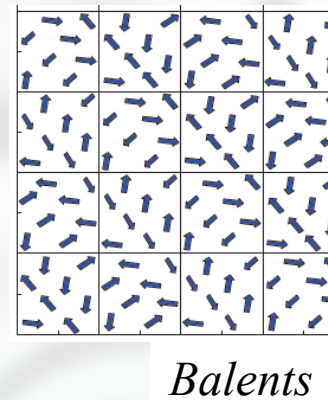
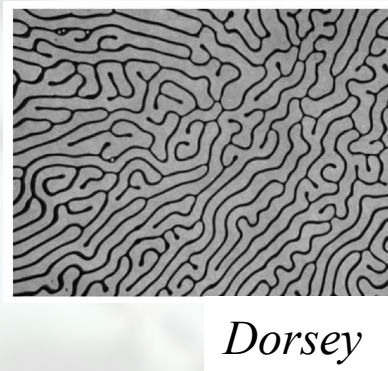
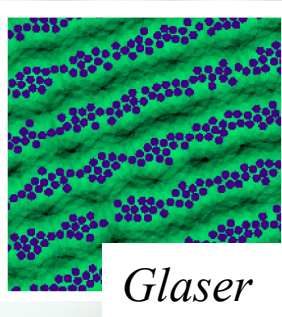
- two-species degenerate atomic Fermi gases:

*Fulde-Ferrell-Larkin-Ovchinnikov superconductor/superfluid*

- frustrated magnets

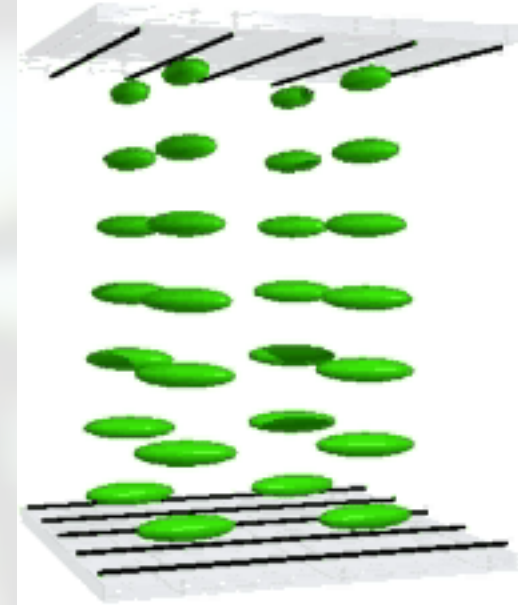
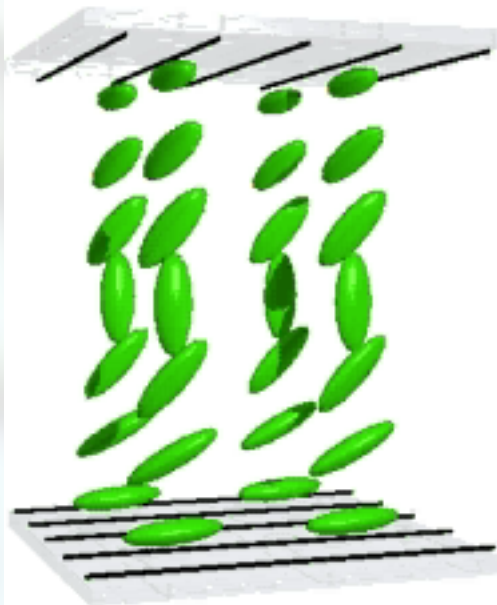
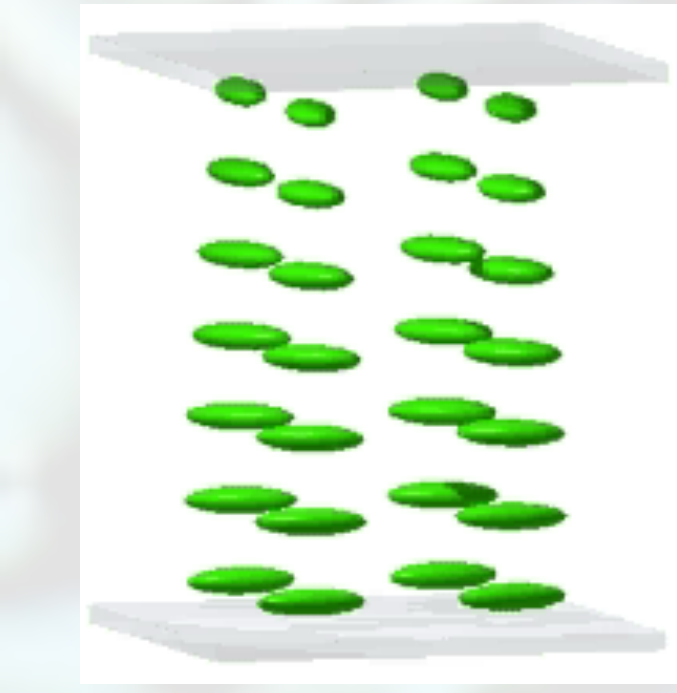
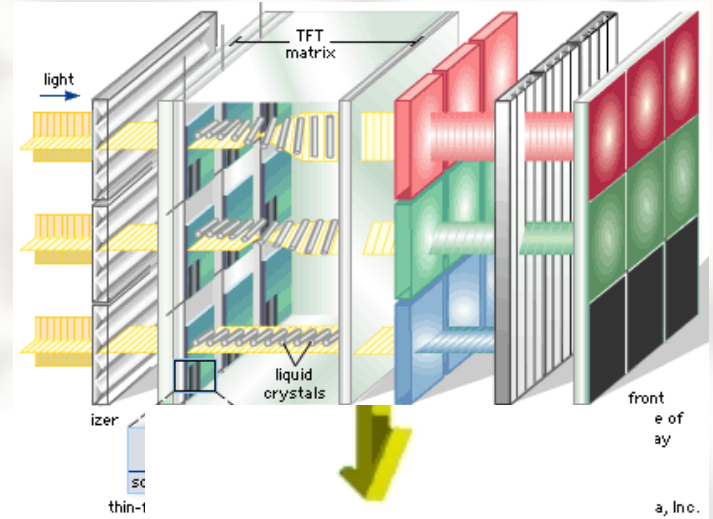
- ferrofluids

- colloids



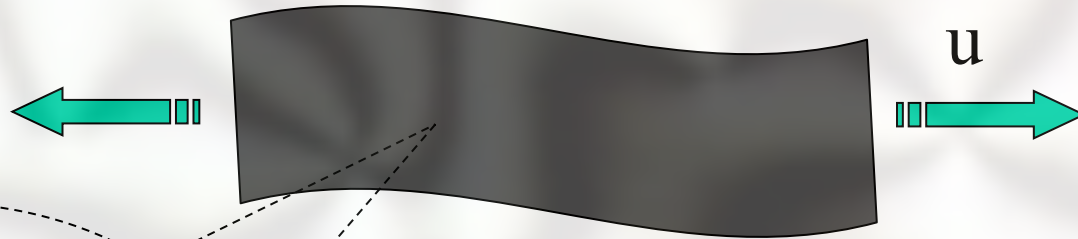
...anything orientationally or spatially partially ordered (layered)

# Liquid-crystal display applications

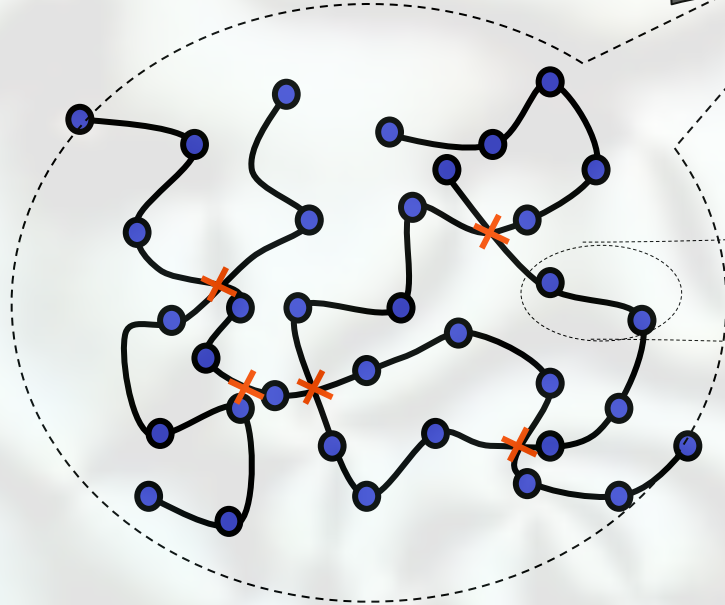




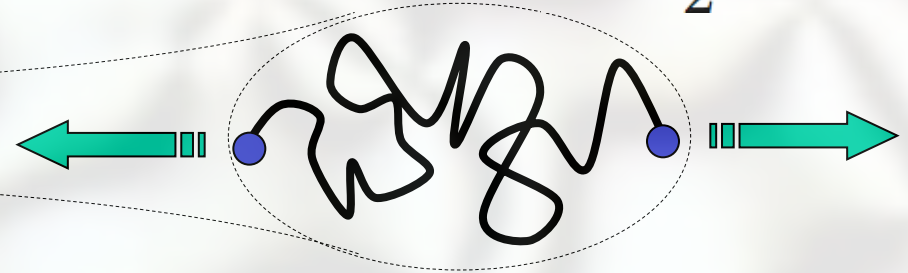
# Conventional Rubber



entropic spring:  $f = \frac{1}{2}k_B T u^2$



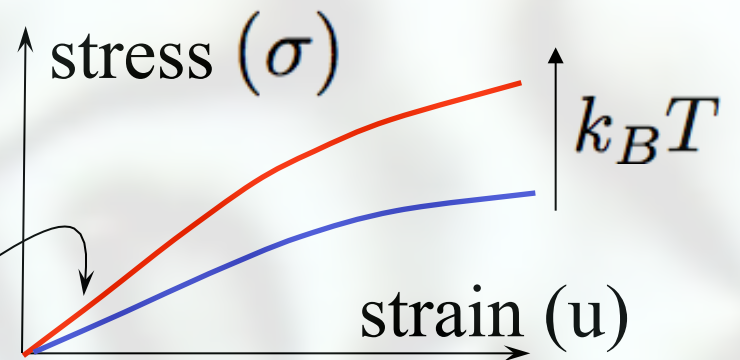
crosslinked polymer network



polymer

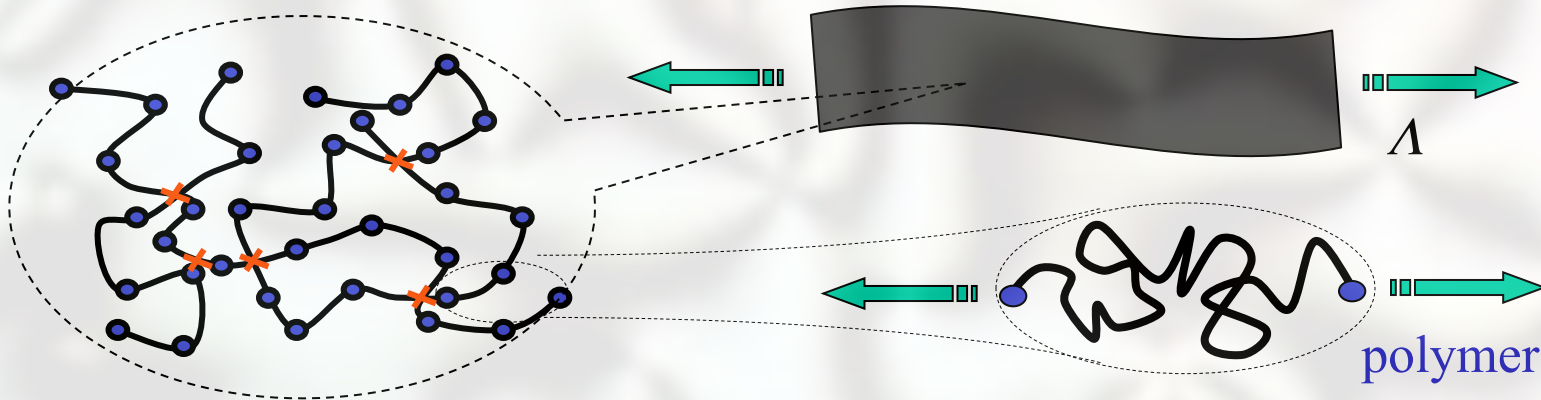
Entropic spring

➡ stiffens/shrinks on heating  
but still obeys Hooke's law



# Classical theory of rubber elasticity

Kuhn, Wall,  
Flory, Treloar  
(1940's)



- crosslinked polymer network:

- affine deformation:  $\mathbf{r} = \lambda \mathbf{x}$
- incompressible
- fixed, non-fluctuating crosslinks
- “phantom” (noninteracting, nonentangled) polymers)

- $\delta f = \frac{1}{2} \frac{k_B T}{\xi^3} \text{Tr} \Lambda^T \Lambda = \frac{\mu_0}{2} (\lambda_x^2 + \lambda_y^2 + \lambda_z^2)$

- uniaxial:  $\lambda_x = \lambda, \lambda_y = \lambda_z = \lambda^{-1/2}$

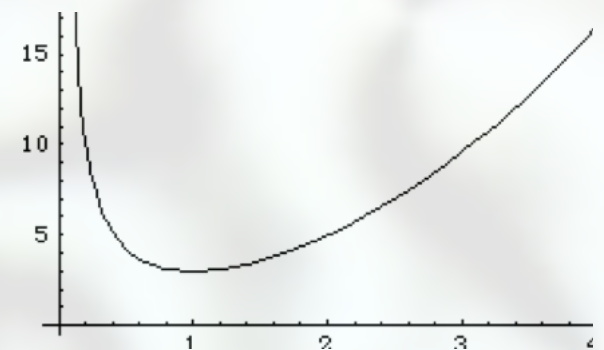


$$\delta f = \frac{\mu_0}{2} \left( \lambda^2 + \frac{2}{\lambda} \right)$$

- single chain:  $P \sim e^{-R^2/R_0^2}$

$$\delta F = k_B T \log P \sim k_B T (R/R_0)^2$$

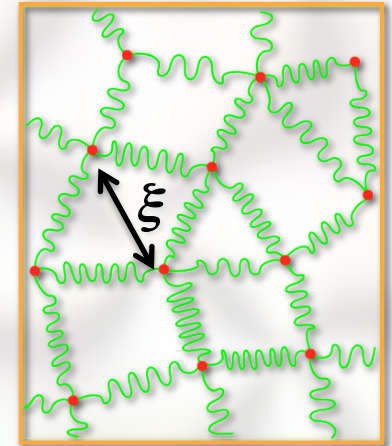
Hookean entropic spring



# What's missing? $k_B T$ fluctuations beyond $\xi$

Xing, Goldbart,  
L.R., (PRL '06)

- want to include long scale polymer network fluctuations



- finite compressibility is crucial:  $\vec{\nabla} \cdot \vec{u} = 0$

- integrate over phonons  $u(x)$ :

$$f(\lambda) = f_{class.}(\lambda) + \mu_1 \left[ \frac{\tanh^{-1} \sqrt{1 - \lambda^{-3}}}{\sqrt{1 - \lambda^{-3}}} - \ln \lambda \right]$$

- anisotropic,  $L$ -dependent phonon correlations

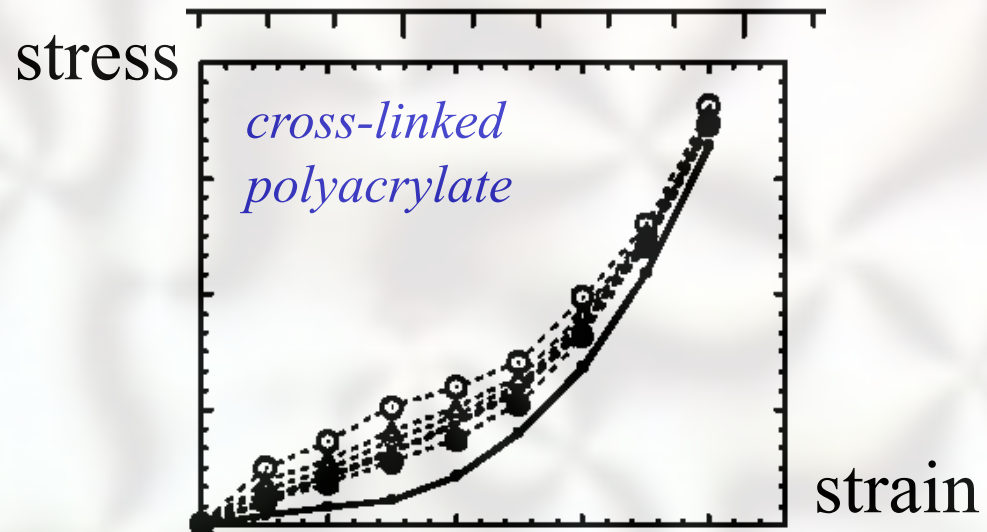
$$\mu_1 \approx \mu_0 \approx k_B T / \xi^3$$

$$\langle u_i(q) u_j(-q) \rangle \approx \frac{k_B T / \mu_0}{\vec{q} \cdot \Lambda \Lambda^T \cdot \vec{q}} P_{ij}^T(q)$$

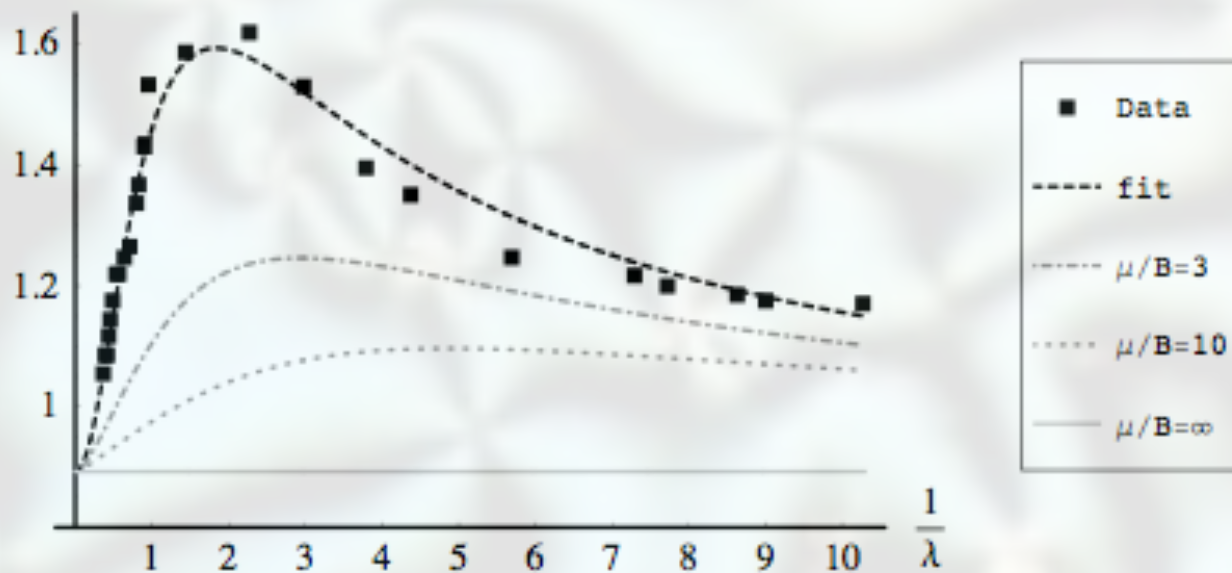
# Mooney-Rivlin stress-strain relation

Xing, Goldbart,  
L.R., (PRL '06)

$$\sigma_{MR} = \frac{\partial f / \partial \lambda}{\lambda - \lambda^{-2}}$$



Mooney stress



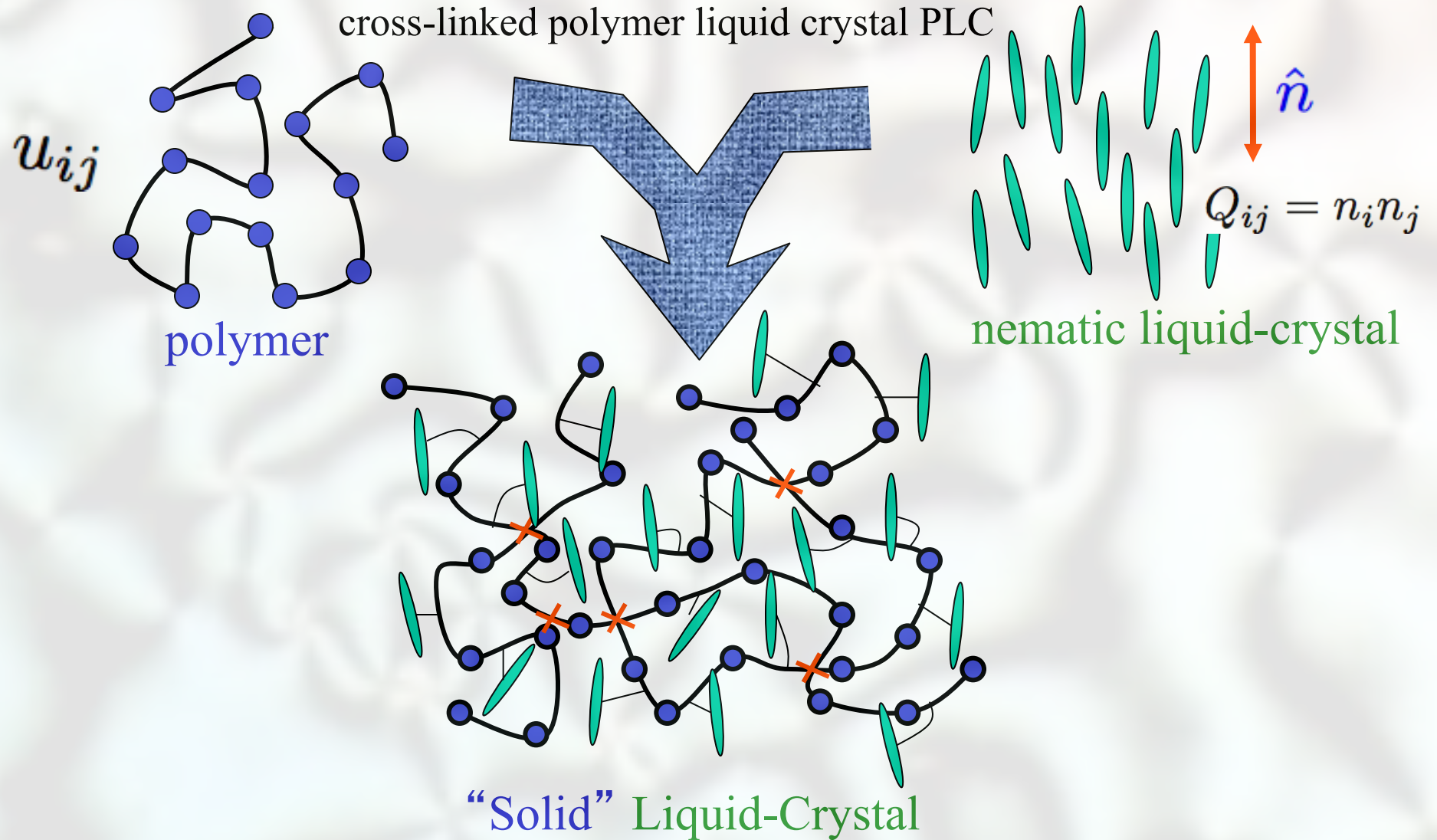
Xu and Mark (1990)  
Rubber Chem. Technol.  
Data provided by  
M. Rubinstein

## *Open questions*

- physical picture of the peak?
- universality of the stress-strain relation?
- beyond harmonic phonon approximation?
- frozen heterogeneity and nonaffine distortion?
- biaxial and shear distortions?
- effects of entanglement, non-ideal chain?
- key experiments?



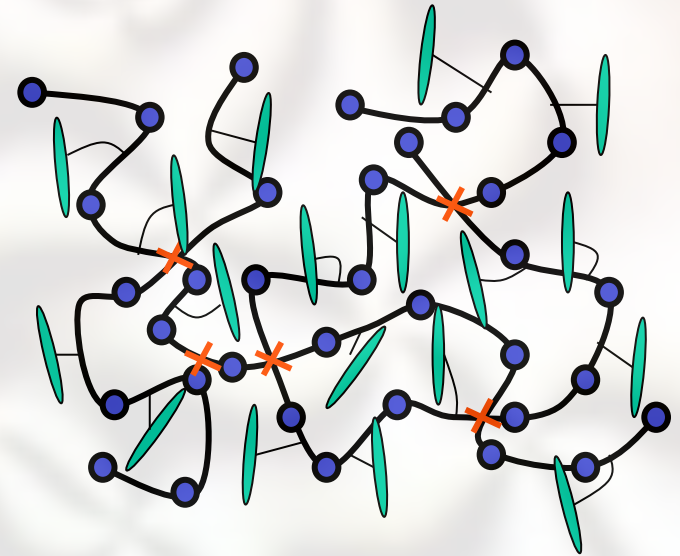
# Nematic Elastomer



Terentjev  
Finkelmann  
Ratna

*exhibits most conventional liquid-crystal phases (I, N, Sm-A, Sm-C, ...)*

## Questions of interest



- **Effects of polymer matrix on liquid crystal order**

cf. liquid crystals in random matrix (e.g., aerogel)

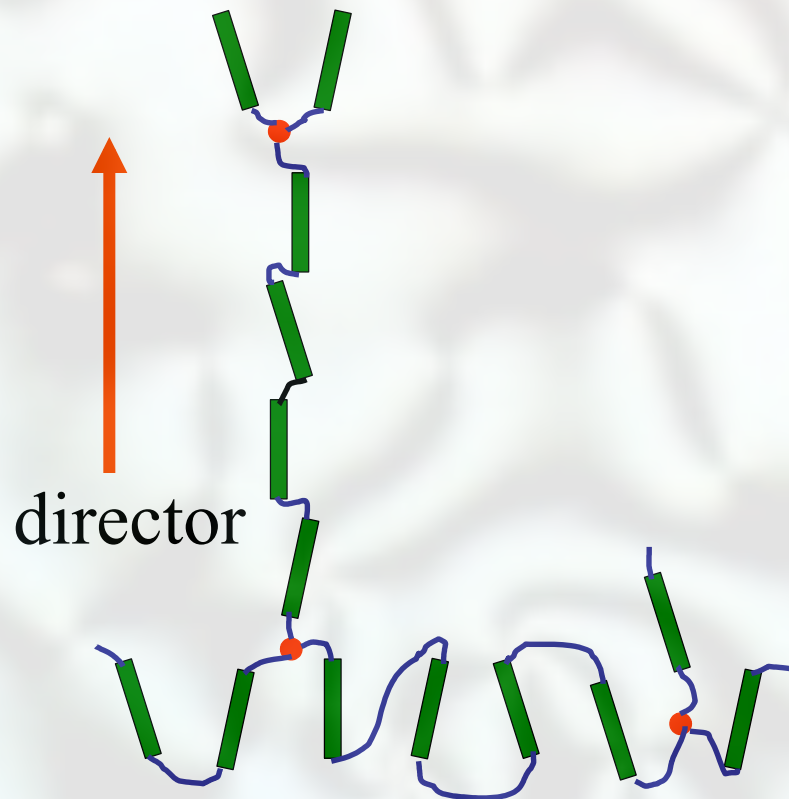
*(L.R.+Toner; Feldman; Gingras; Clark, Garland, Birgeneau)*

- **Effect of liquid crystal order on rubber (gel) elasticity**

*(de Gennes, Golubovic+Lubensky, Warner, Terentjev)*

*Must understand both questions self-consistently to understand liquid crystal elastomers and gels*

# Main-chain nematic elastomer



If orientational order increases



expansion



contraction

If orientational order decreases

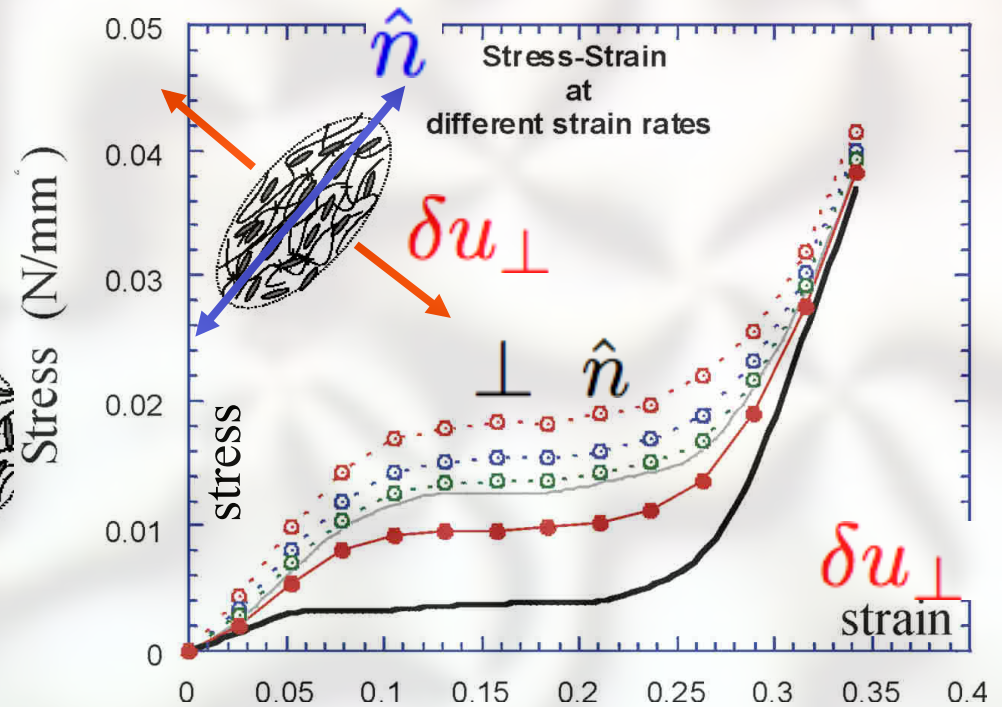
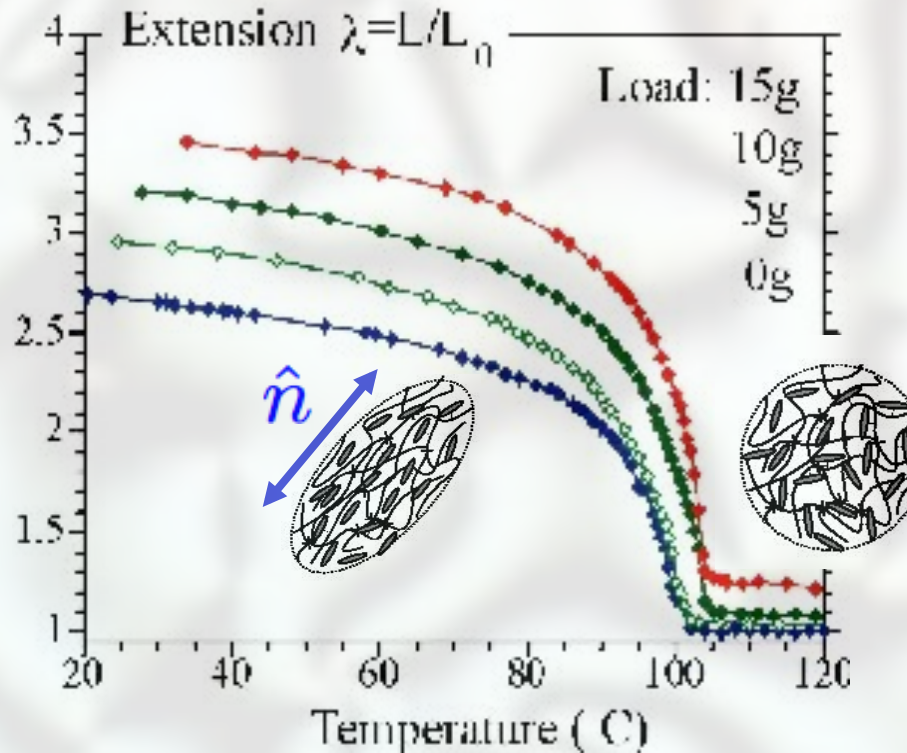


contraction



expansion

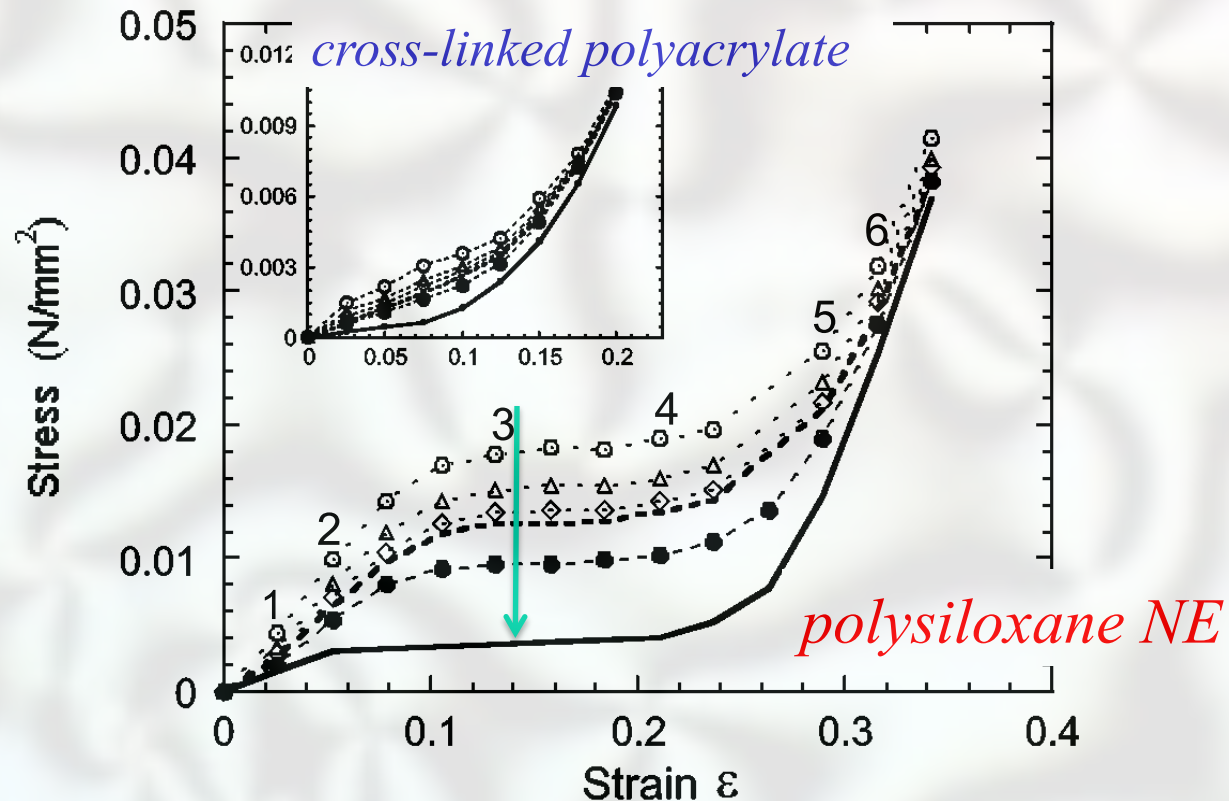
# Thermal response and stress-strain relation



- Properties:**
- spontaneous distortion ( $\sim 400\%$ ) at  $T_{IN}$ , thermoelastic
  - “soft” elasticity
  - giant electrostriction

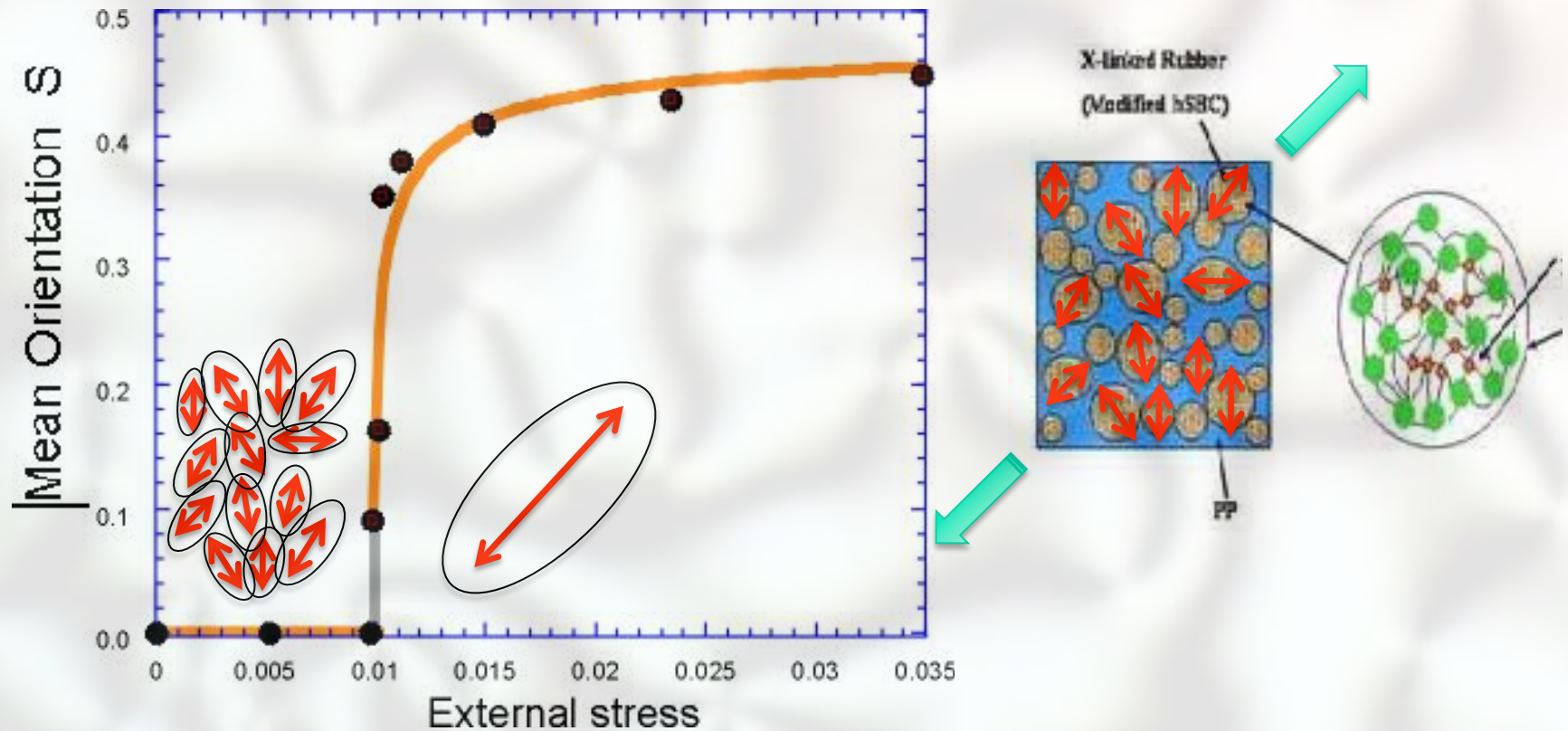
- Applications:**
- plastic displays
  - switches
  - actuators
  - artificial muscle

## Stress-strain relation (relaxation)



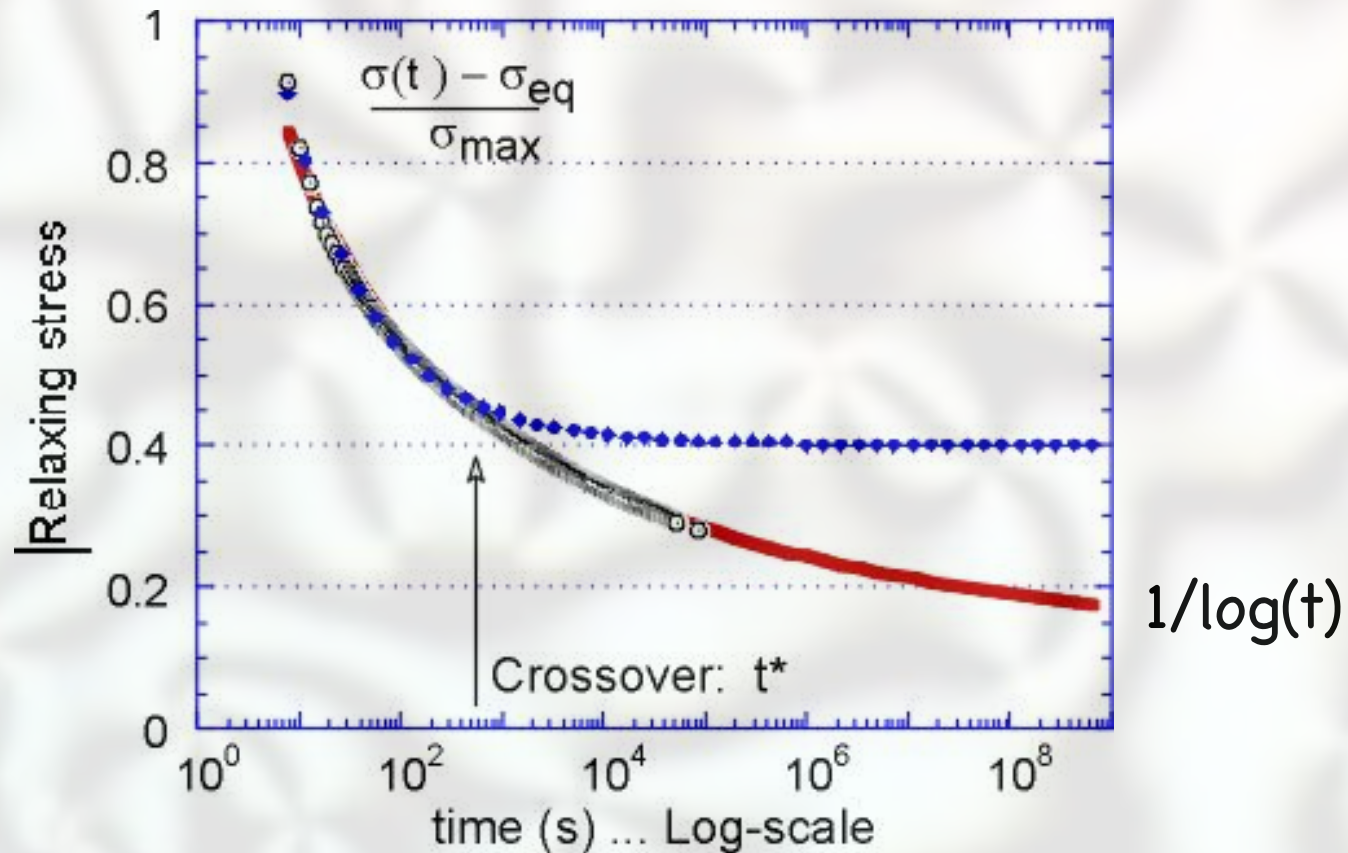
- extended stress plateau contrasting ordinary rubber
- small threshold

## *Glass-like threshold stress response*



- polydomain – monodomain transition
- threshold set by domain size and heterogeneity


## Glass-like slow stress relaxation



- crossover from  $1/t^{1/2}$  to slow  $1/\log(t)$  stress relaxation reminiscent of glasses

## *Nematic elastomer as heat engine*

$n$



- monodomain nematic LCE
- 5cm x 5mm x 0.3mm
- lifts 30g wt. on heating, lowers it on cooling
- large strain (>400%)

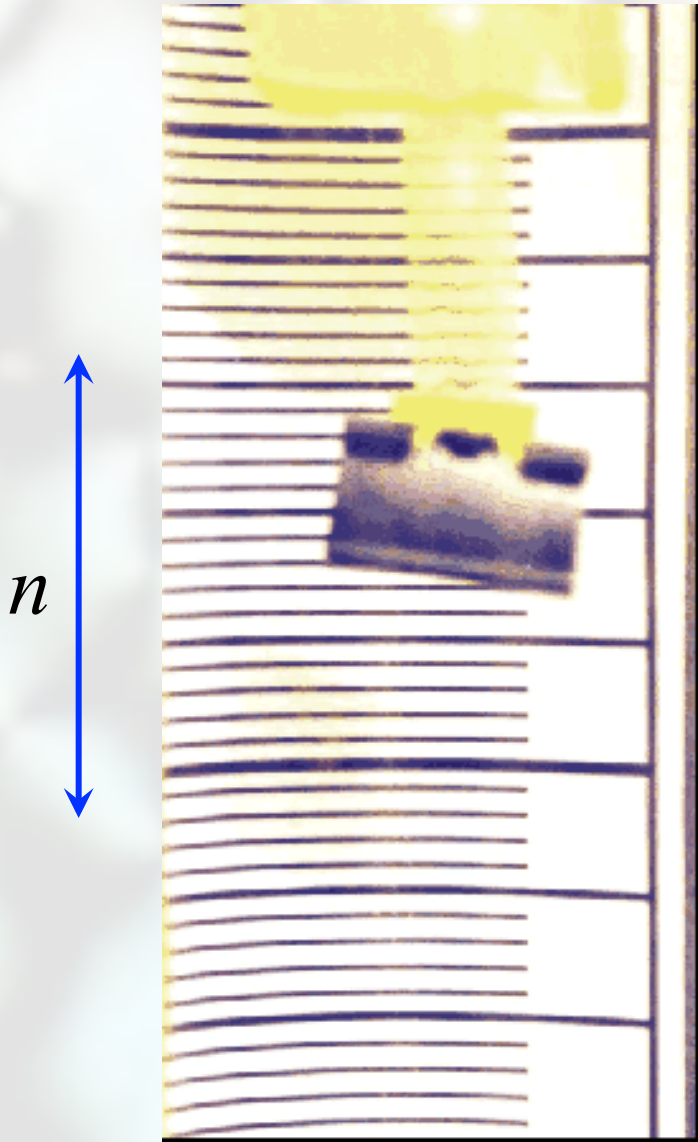
(P. M-Palffy)



*H. Finkelmann,  
Shahinpoor, et al*



## *Nematic elastomer as heat engine*

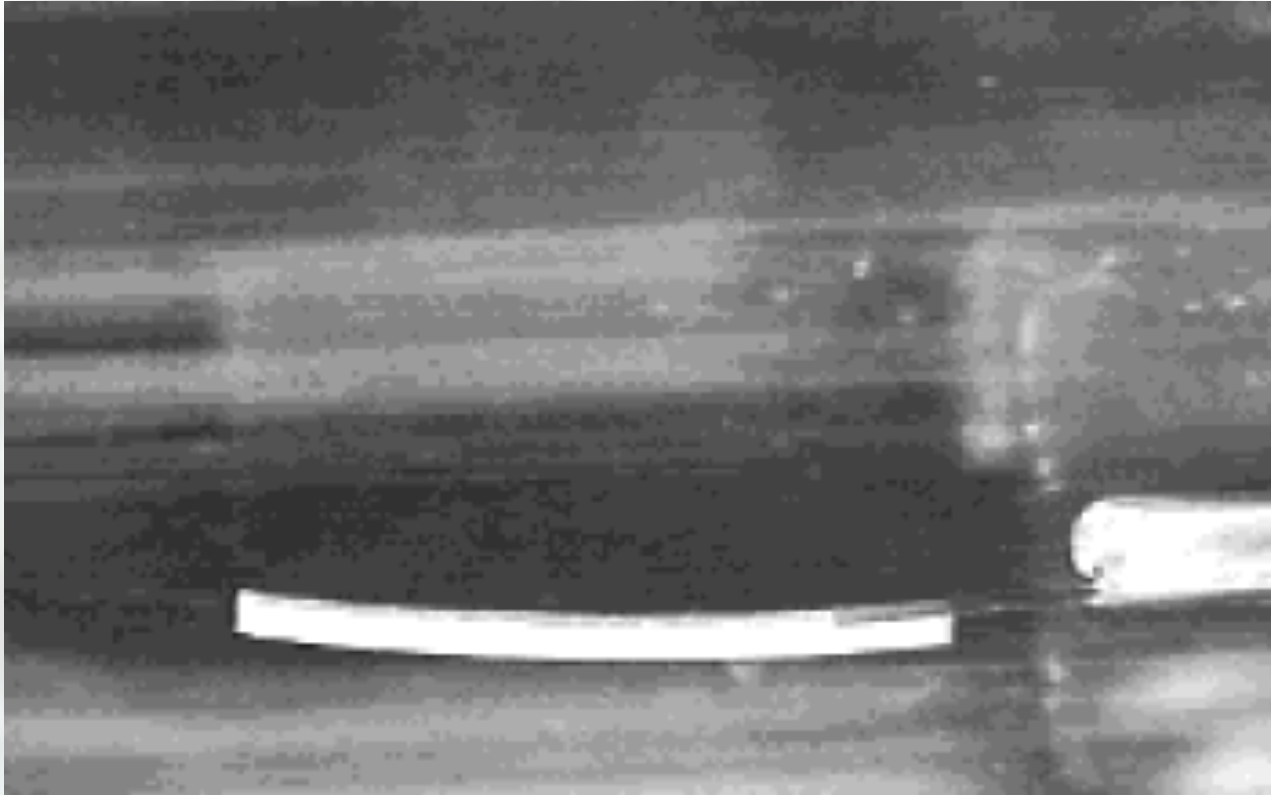


*Terentjev, et al (Cambridge)*

*Ratna, et al (NRL)*

## *Nematic elastomer as heat engine*

$n$



*Terentjev, et al*

# Liquid crystal elastomer as a muscle concept

## Nematic liquid crystal phase

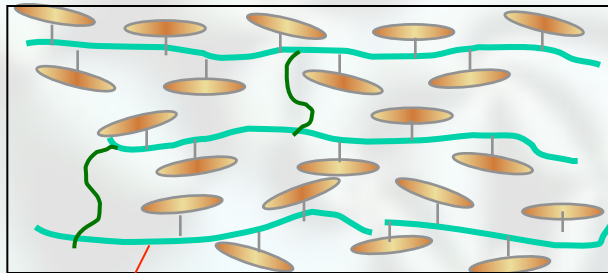
Order in liquid crystal units



Ordered backbone



Elongation



Polymer backbone

Liquid crystal units

## Isotropic phase

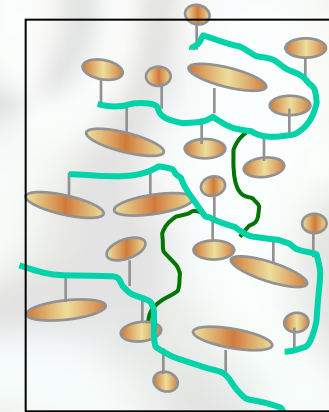
Disorder of liquid crystal units



Disordered backbone



Contraction



External stimulus

Temperature  
Light  
E-field

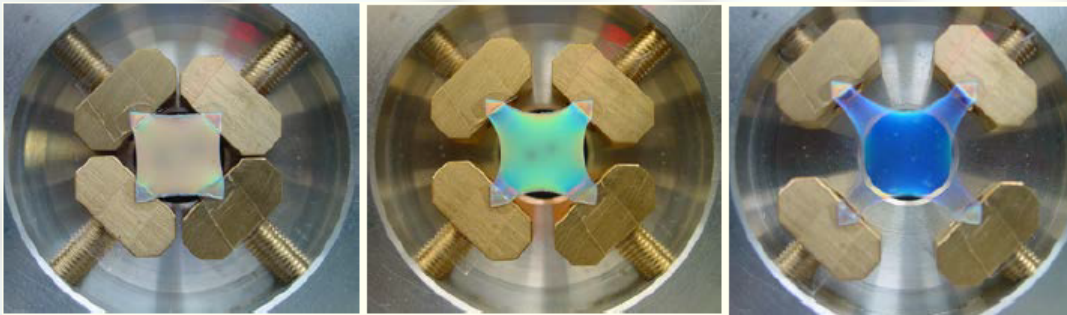
Memory effect:

- Reversible extension/contraction
- Director alignment

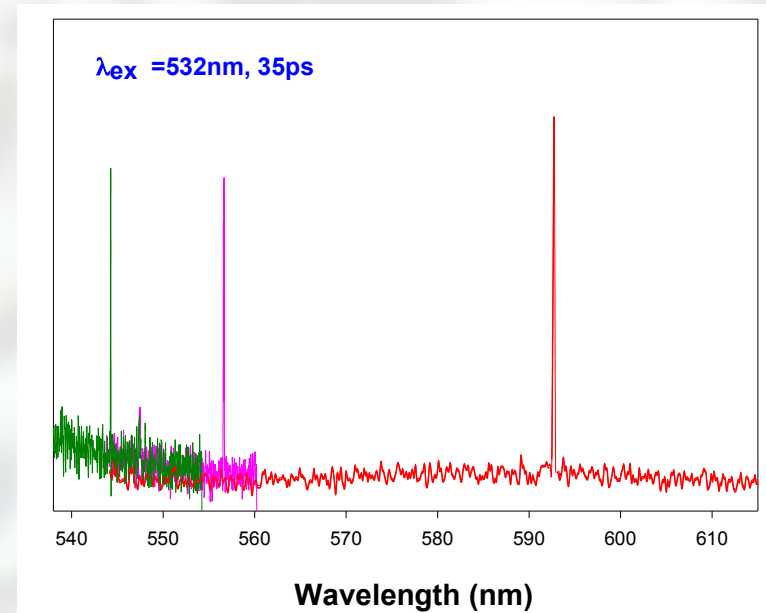
# Sensors: strain changes optical properties

- changing sample shape changes  $Q$  and
  - magnetic permeability, dielectric permittivity
  - optical properties: cholesteric pitch

cholesteric elastomer



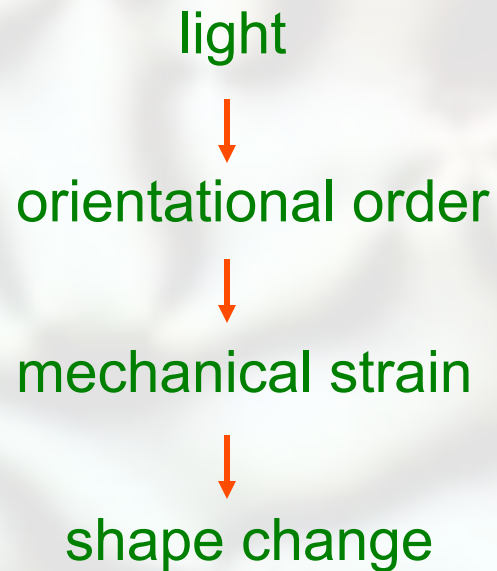
-mechanically tunable material



-mirrorless 'rubber' laser

# *Mechanism of optomechanical effects in LCE*

- optomechanical coupling:



# Mechanism of optomechanical effects in LCE

- optical field changes liquid crystal order via:

- direct heating

- absorption



- disruption of order

- photoisomerization



- direct optical torque

- angular momentum transfer from light

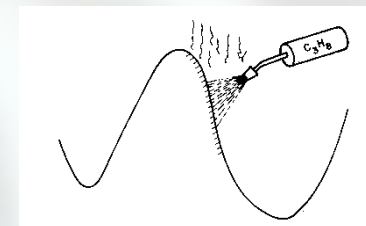
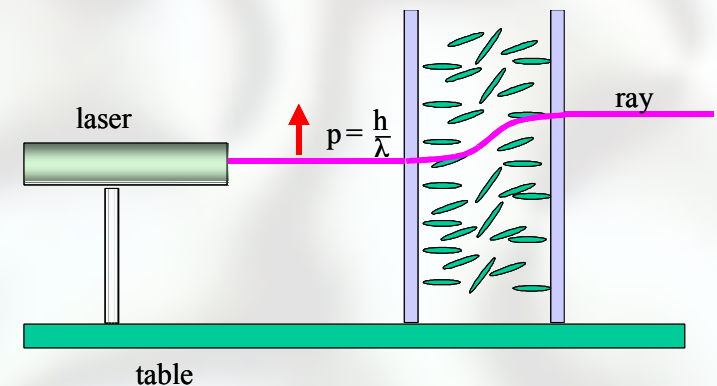
- indirect optical torque

- Landauer's blowtorch

- orientational Brownian ratchet

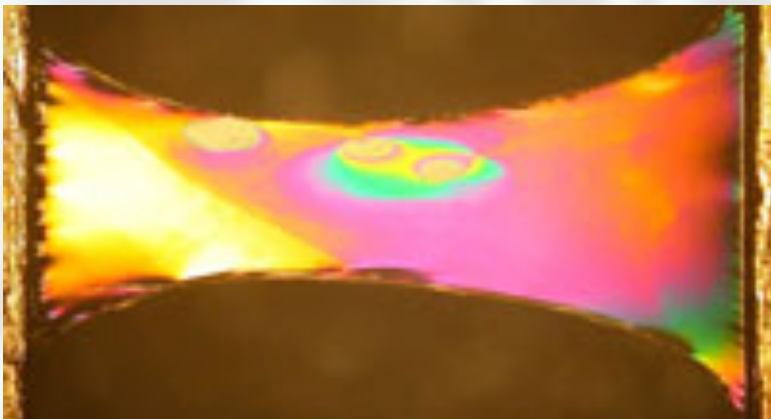
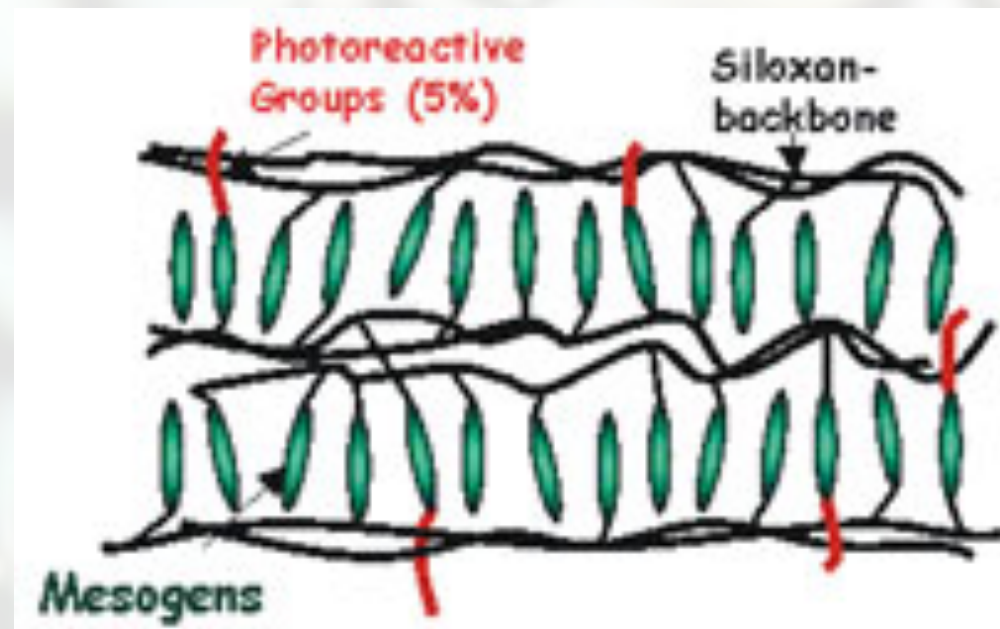
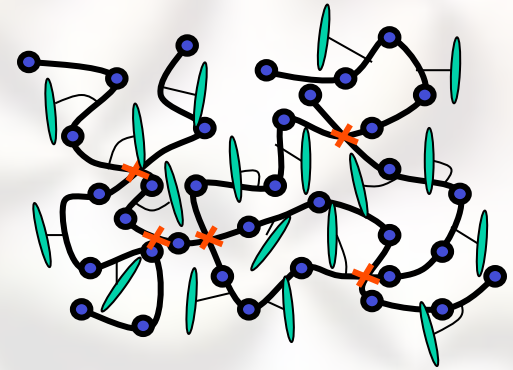
- no angular momentum transfer from light;

- light drives molecular motor



## *Other liquid crystal elastomers*

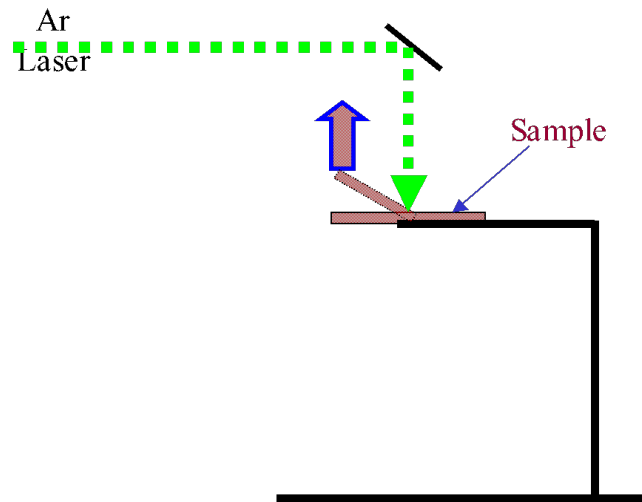
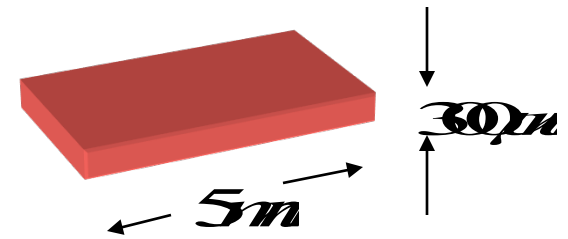
- has also been generalized to:
  - smectic-A
  - smectic-C
  - biaxial nematic
  - cholesterics
  - nematic membranes



*smectic elastomers:*  
*W. Lehmann, et al, Nature '01*

# Mechanism of optomechanical effects in LCE

sample: nematic elastomer EC4OCH3  
+ 0.1% dissolved  
Disperse Orange 1 azo dye

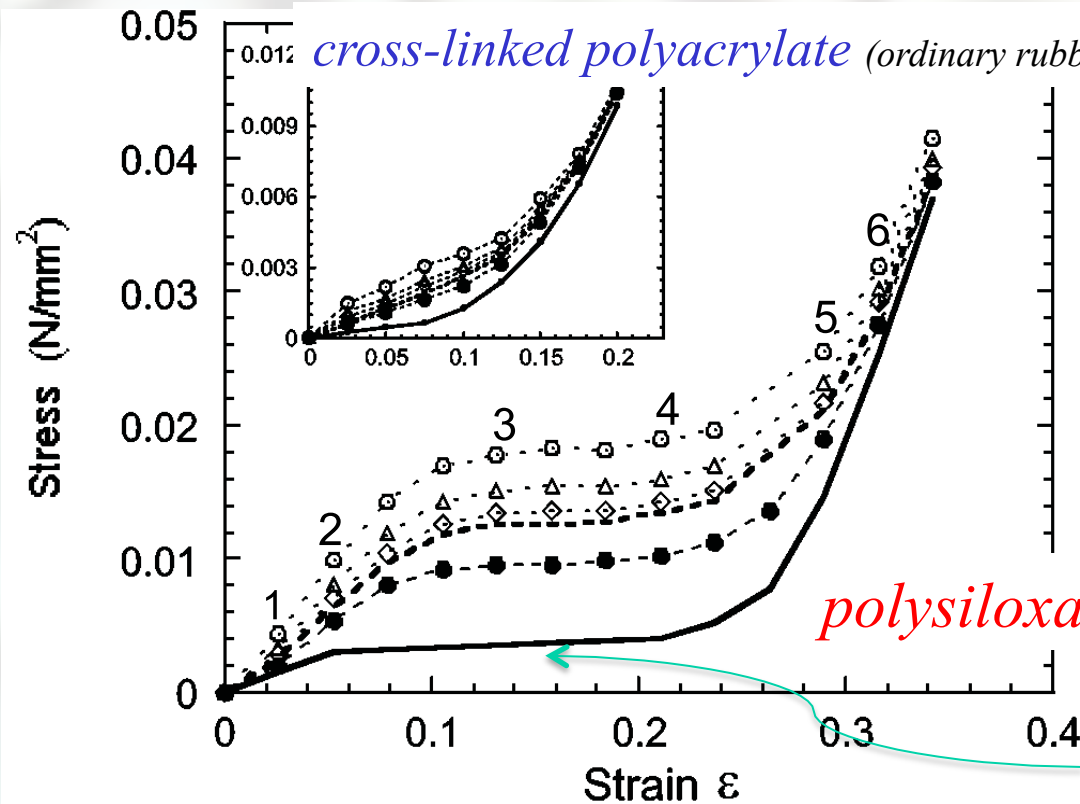


Response time: 70ms

*M. Chamacho-Lopez, H. Finkelmann, P. Palffy-Muhoray, M. Shelley, Nature Mat. 3, 307, (2004)*



# Soft elasticity

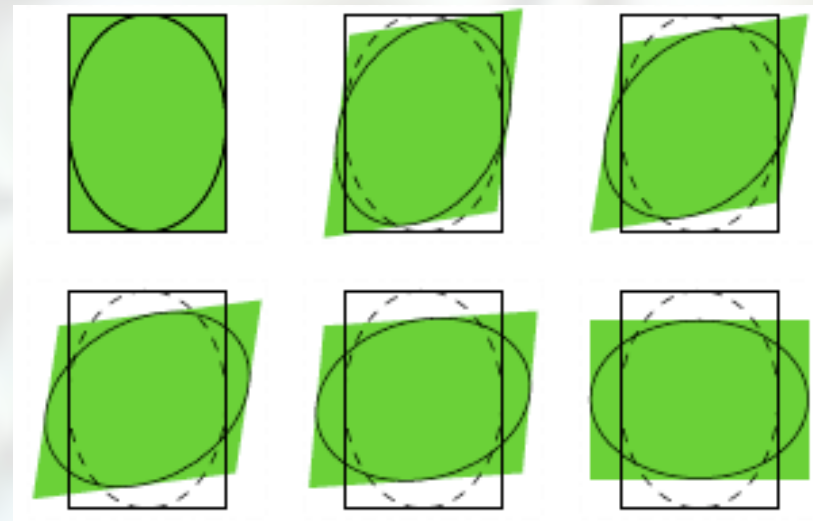
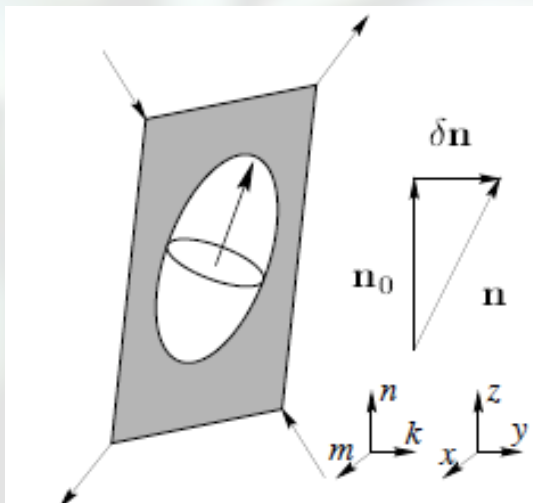
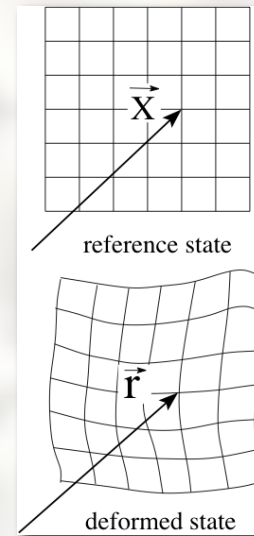
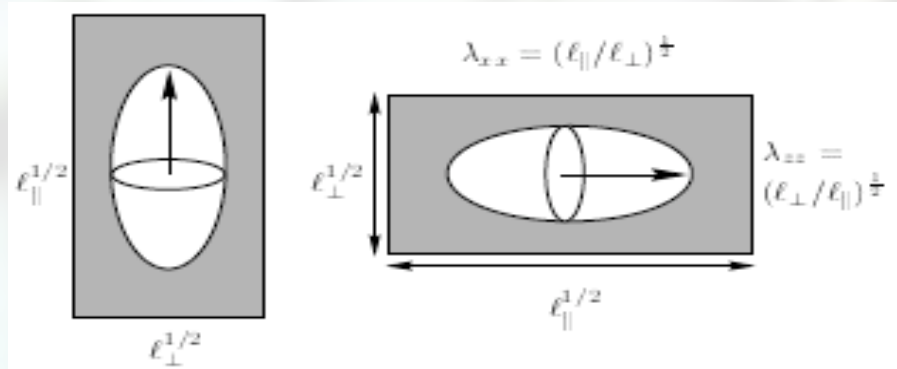
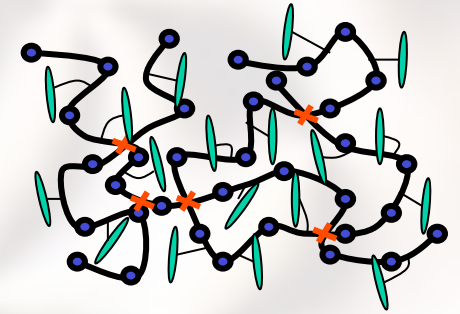


*nearly vanishing stress  
for a range of strain  
(cf Josephson effect,...)*

- extended stress plateau contrasting ordinary rubber
- small threshold

Clarke, Terentjev, PRL '98

# Visualization of soft deformation



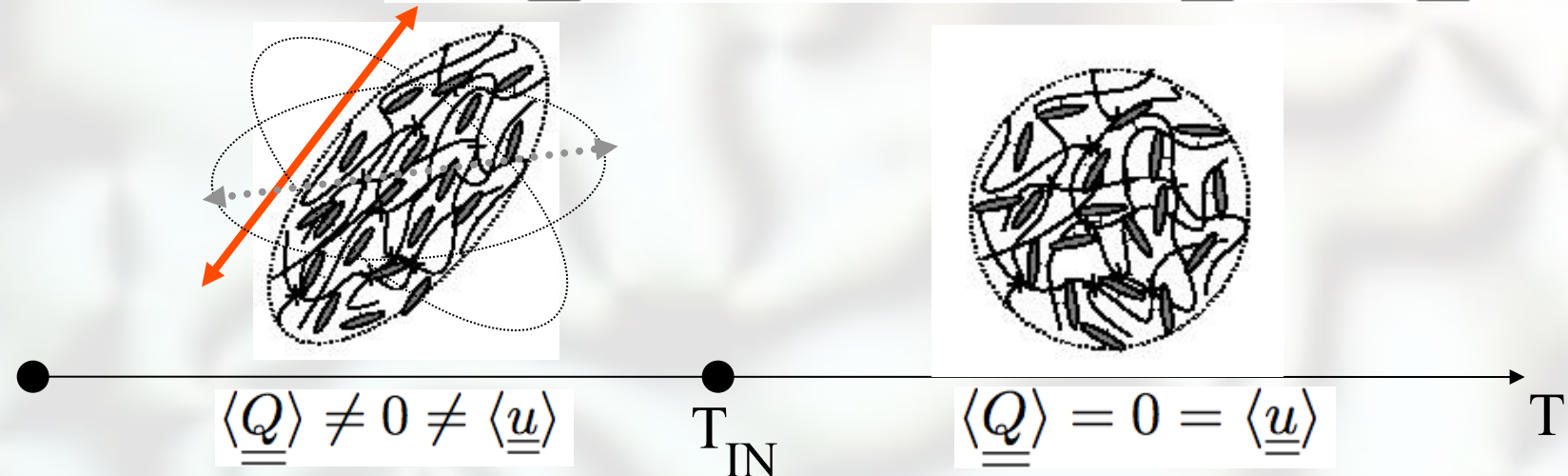
Warner, Terentjev '90  
Olmsted

# Nematic elastomer elasticity

Xing, Mukhopadhyay,  
Lubensky, L.R. 2001

- **Isotropic phase:**  $\mathcal{H}_{elastic}[\underline{u}] = \mu \underline{u}^2$  (conventional)

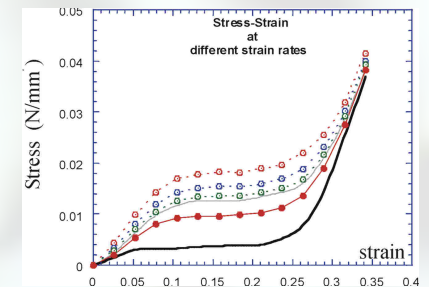
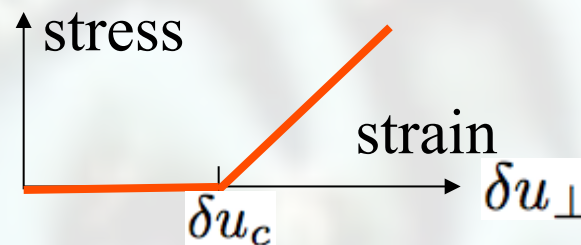
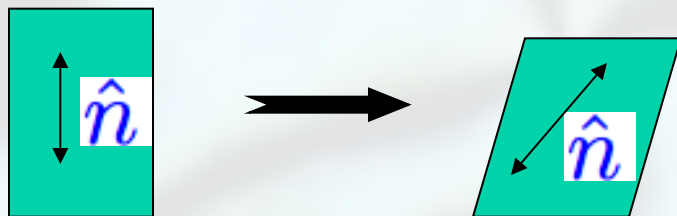
- **IN transition:**  $\mathcal{H}[\underline{u}, \underline{Q}] = \mathcal{H}_{elastic}[\underline{u}] + \mathcal{H}_N[\underline{Q}] - \underline{u} \cdot \underline{Q}$



- **Nematic phase:**  $\mathcal{H} = \mu(\underline{u} - \underline{Q})^2 + \mathcal{H}_{lc}[\underline{Q}]$

$\delta \underline{u}^\perp = u_{zi}$  can be compensated by  $\delta \underline{Q}^\perp = \delta \hat{n}_i$

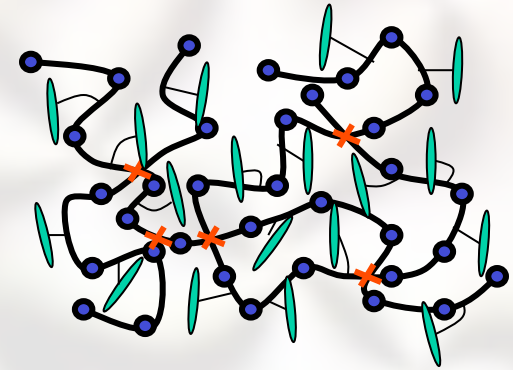
*nemato-elastic*  
*Goldstone mode*  
“Higg’s mechanism”



# Neoclassical theory of nematic elastomers

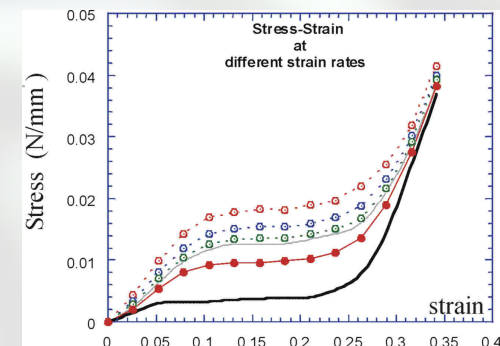
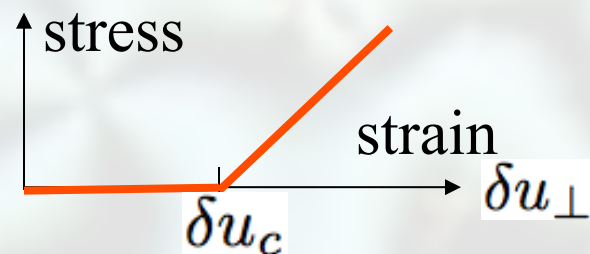
Warner,  
Terentjev '90

- $F \approx k_B T R^T L^{-1} R$  ( $L = N l$ )
- affine deformation:  $R = \Lambda R_0$  (e.g.,  $\Lambda \approx 1 + u$ )
- $F \approx k_B T \text{Tr} (l_0 \Lambda^T l^{-1} \Lambda)$
- incompressible  $\det \Lambda = 1 = \lambda_x \lambda_y \lambda_z$
- soft mode (zero energy deformation):  $\Lambda = l^{-1/2} O l_0^{-1/2}$   
*restore to isotropic state, rotate, deform along new axis  $n$*



$$\bullet \ell = \begin{pmatrix} \ell_{\perp} & 0 & 0 \\ 0 & \ell_{\perp} & 0 \\ 0 & 0 & \ell_{\parallel} \end{pmatrix} = \ell_{\perp} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & r \end{pmatrix} = \ell_{\parallel} \hat{n}_i \hat{n}_j + \ell_{\perp} (\delta_{ij} - \hat{n}_i \hat{n}_j)$$

- soft elasticity:



# Elastic theory of NE

Xing + L.R., PRL, EPL, AOP  
Lubensky + Stenull, EPL (2003)

- Construct rotationally invariant elastic theory of deformations about  $\underline{\underline{u}}_0$
- Study fluctuations and heterogeneities about  $\underline{\underline{u}}_0$

*Must incorporate underlying rotational invariance of the nematic state*

→ some distortions cost no energy: **“soft” uniaxial solid**

$$f[\vec{R}(\mathbf{x})] = f[O_T \vec{R}(O_R \mathbf{x})]$$

- Vanishing energy cost for:  $\delta \underline{\underline{u}} = \underline{\underline{O}} \cdot \underline{\underline{u}}_0 \cdot \underline{\underline{O}}^T - \underline{\underline{u}}_0$

- Harmonic elasticity about nematic state:  $\underline{\underline{\varepsilon}} = \underline{\underline{u}} - \underline{\underline{u}}_0$

$$\mathcal{H}_{NE}^0 = \mu_{zi} \varepsilon_{zi}^2 + B_z \varepsilon_{zz}^2 + \mu_{\perp} \varepsilon_{ij}^2 + \lambda \varepsilon_{ii}^2 + \lambda_{zi} \varepsilon_{zz} \varepsilon_{ii}$$

0, *required by rotational invariance*

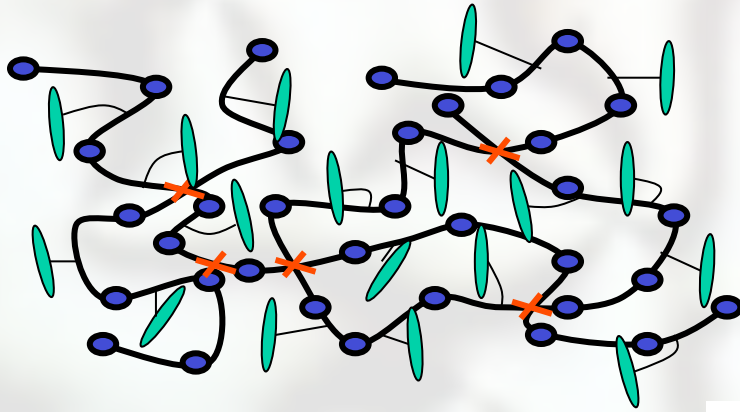
- Nonlinear elasticity about nematic state:

$$\mathcal{H}_{NE} = B_z w_{zz}^2 + \mu_{\perp} w_{ij}^2 + \lambda w_{ii}^2 + \lambda_{zi} w_{zz} w_{ii}$$

$$w_{zz} = \partial_z u_z + \frac{1}{2} (\nabla u_z)^2$$

$$w_{ij} = \frac{1}{2} (\partial_{(i} u_{j)}) - \partial_i u_z \partial_j u_z$$

## Fluctuations and heterogeneity



- Thermal fluctuations:  $\mathcal{Z} = \text{Trace}_u [e^{-\beta \mathcal{H}[u]}]$
- Heterogeneity  $\Rightarrow$  random torques and stresses:  
*nematic elastomers are only statistically homogeneous and isotropic*

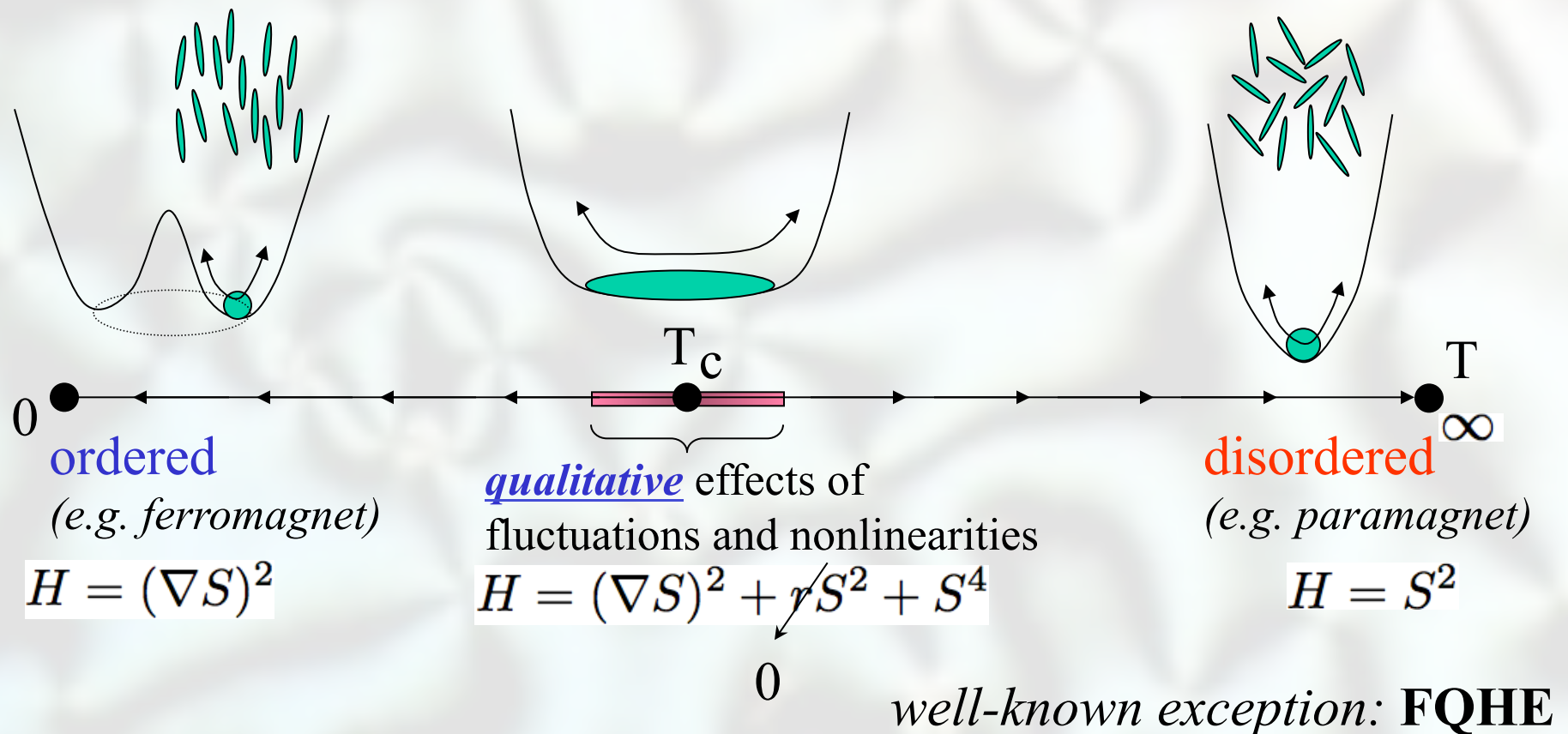
$$\mathcal{H}_{NE}^{\text{real}} = \mathcal{H}_{NE}[\underline{u}] - \underbrace{\underline{u} \cdot \underline{\sigma}(\mathbf{r}) - (\hat{n} \cdot \vec{g}(\mathbf{r}))^2}_{\text{encodes heterogeneity}}$$

*Elastic “softness” leads to strong qualitative effects of thermal fluctuations and network heterogeneity*

# Fluctuations, nonlinearities and phase transitions

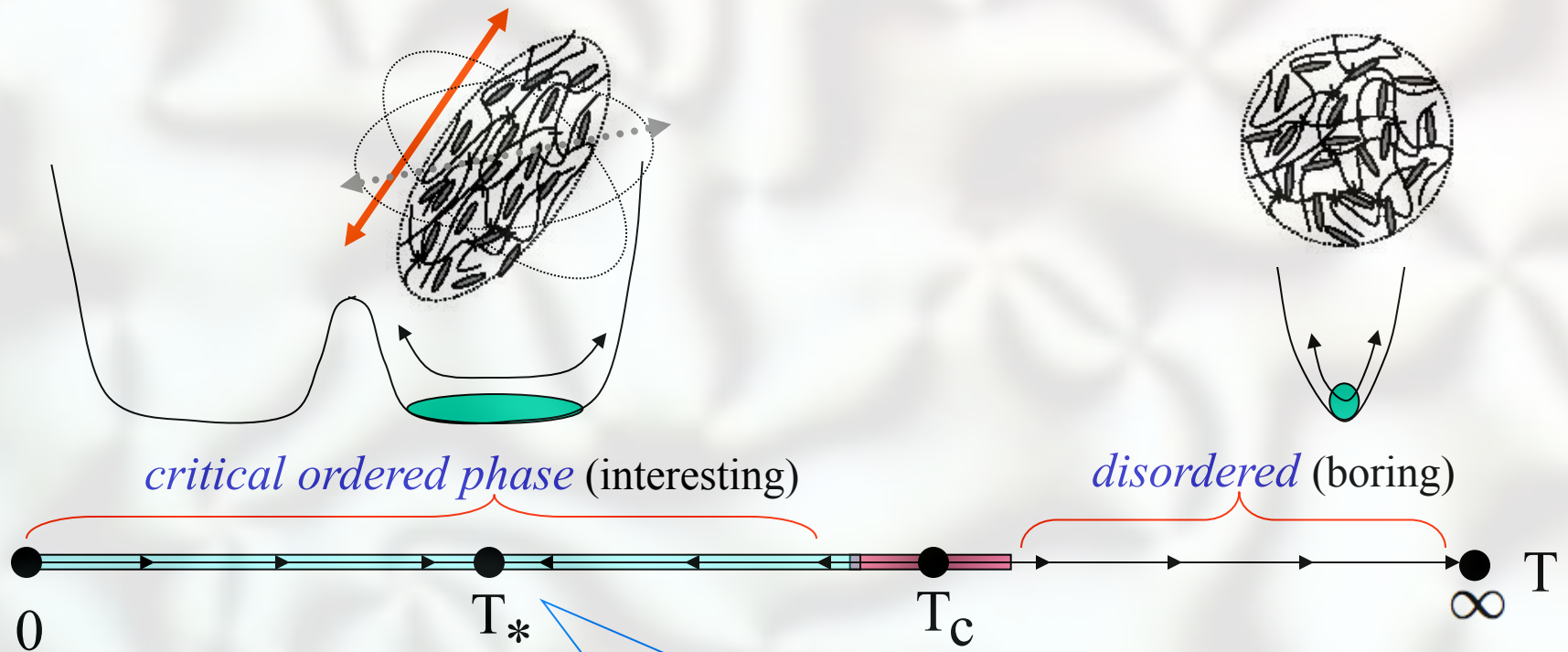
Upshot of 40 years of research on fluctuations and critical phenomena:

*Fluctuations and nonlinearities* <sup>usually</sup> *are only important near isolated critical points (continuous phase transition)*

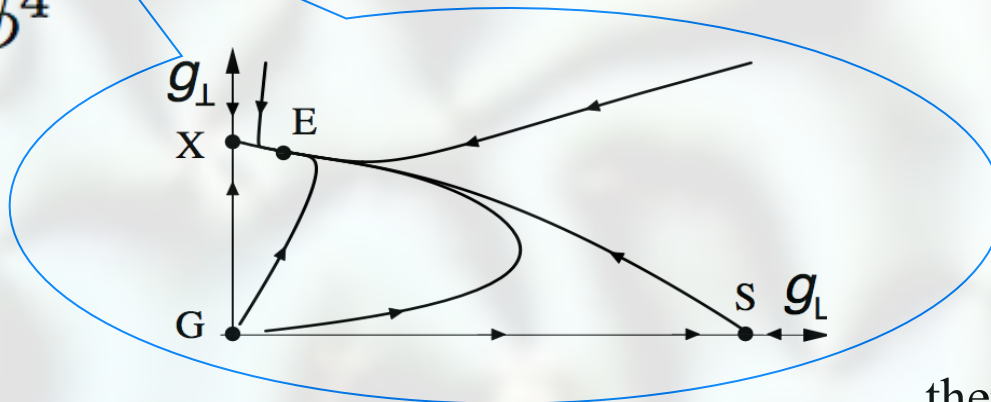


# Critical phase in nematic elastomer

Xing + L.R.,  
PRL (2003)



$$H = (\nabla\phi)^2 + \phi^4$$



...there are others



# Predictions

Xing + L.R., PRL (2003)

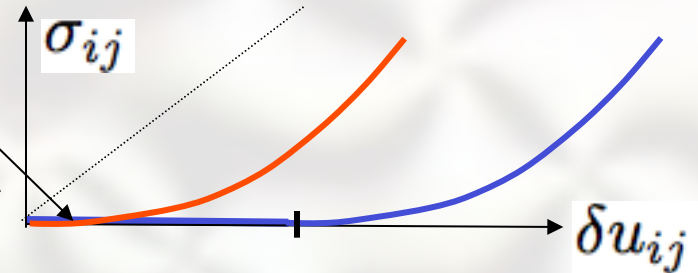
- Universal elasticity:  $\overline{|\delta u(q)|^2} \sim q_{\perp}^{-4+\eta}$ , for  $r_{\perp} > \xi_{\perp} \sim K^2/\Delta$

- Non-Hookean elasticity:  $\sigma_{zz} \sim (u_{zz})^{\delta}$ ,  $\delta > 1$

(cf. non-Fermi liquid)



vanishing slope  
no linear response

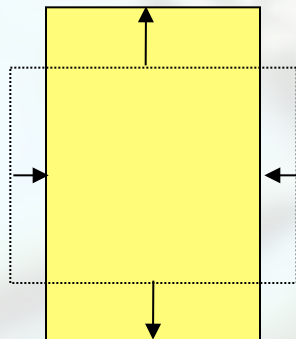


- Length-scale dependent elastic moduli:

$$K_{\text{eff}}(L) \sim L^{\eta}, \quad \mu_{\text{eff}}(L) \sim L^{-\eta\mu}, \quad B_{\text{eff}}(L) \sim B_0$$

- Macroscopically incompressible:  $\kappa_{\text{eff}} \sim \mu_{\text{eff}}(L)/B_{\text{eff}}(L) \rightarrow 0$

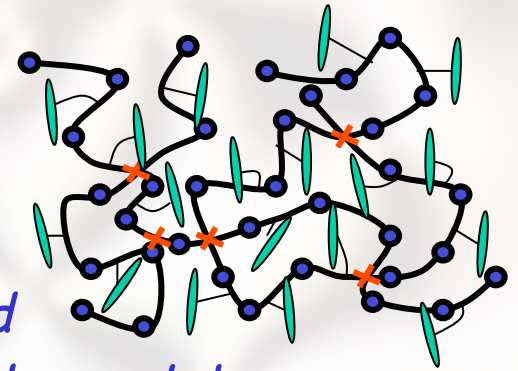
- Universal Poisson ratios:



$$u_{xx} > 0 \Rightarrow \begin{cases} u_{yy} = \frac{5}{7}u_{xx} \\ u_{zz} = -\frac{12}{7}u_{xx} \end{cases}$$

$$u_{zz} > 0 \Rightarrow u_{xx} = u_{yy} = -\frac{1}{2}u_{zz}$$

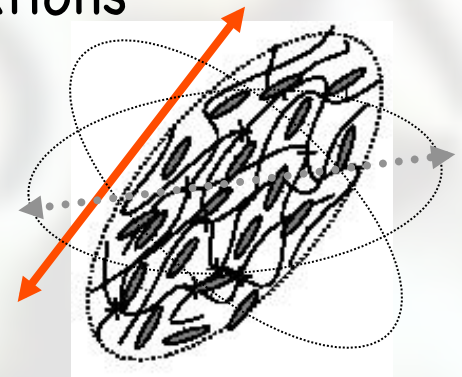
# Summary and Conclusions



- Amazing liquid-crystal rubber --- *a “liquid” solid*  
*a “solid” liquid-crystal*
- Dramatic influence of thermal fluctuations and heterogeneities on macroscopic elasticity and orientational correlations
- Critical phases in “soft” condensed matter

## Open questions:

- *More sophisticated model of heterogeneity?*
- *“Plastic” deformation?*
- *Other liquid crystal phases (e.g., smectic rubber)?*
- *Dynamics?*
- *Quantum realizations of critical phases?*





# “Soft” elastic systems: critical phases

guiding principle: *partial breaking of spatial symmetry*

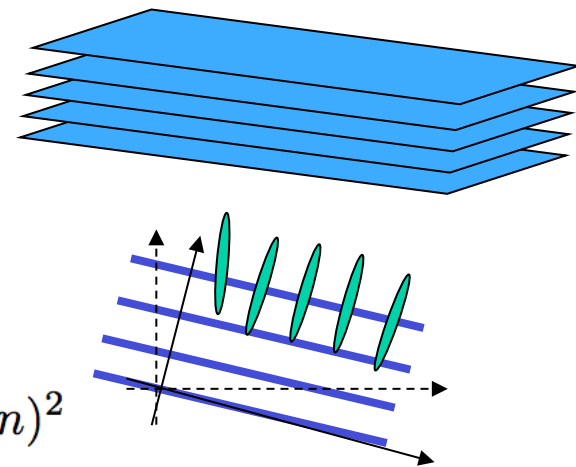
- **Smectic phase** (*Grinstein + Pelcovits*)

$$H = K(\nabla^2 u)^2 + B(\partial_z u + \frac{1}{2}(\nabla u)^2)^2$$

harmonic

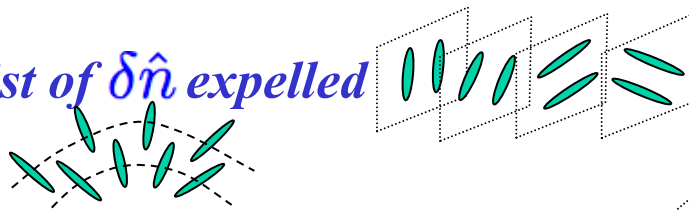
**rotational invariance**

nonlinear



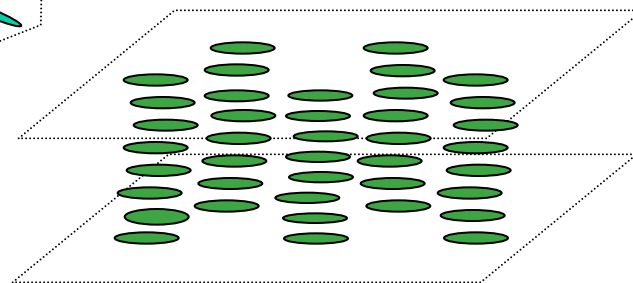
$$H = B_{\perp}(\nabla_{\perp} u - \delta n)^2 + B_z(\partial_z u)^2 + K_s(\nabla \cdot n)^2 + K_{tb}(\nabla \times n)^2$$

*Higgs mechanism* → *twist of  $\delta \hat{n}$  expelled*  
*but not splay*



- **Columnar phase** (*L.R. + Toner*)

(spontaneous vortex lattice in FM superconductor)

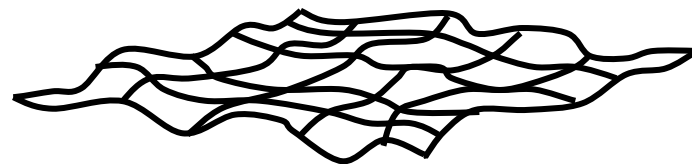


- **Tensionless polymerized membrane** (*Nelson+Peliti, Aronovitz +Lubensky,*

$$H = \frac{\kappa}{2}(\nabla^2 h)^2 + \mu u_{ij}^2 + \frac{\lambda}{2} u_{ii}^2$$

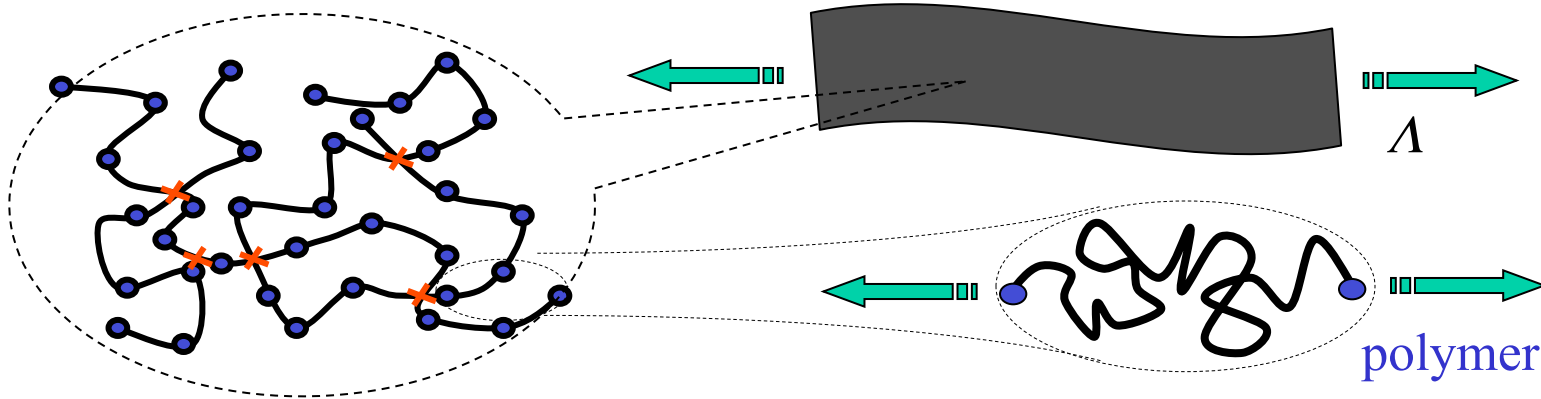
$$u_{ij} = \frac{1}{2}(\partial_i u_j + \partial_j u_i + \partial_i h \partial_j h)$$

*Le Doussal + L.R.*



# Classical theory of rubber elasticity

Kuhn, Wall,  
Flory, Treloar  
(1940's)



- crosslinked polymer network:

- affine deformation:  $R = \Lambda R_0$

$$\Lambda = \begin{pmatrix} \lambda_x & 0 & 0 \\ 0 & \lambda_y & 0 \\ 0 & 0 & \lambda_z \end{pmatrix}$$

- incompressible  $\det \Lambda = 1 = \lambda_x \lambda_y \lambda_z$

- fixed, non-fluctuating crosslinks

- “phantom” (noninteracting, nonentangled polymers)

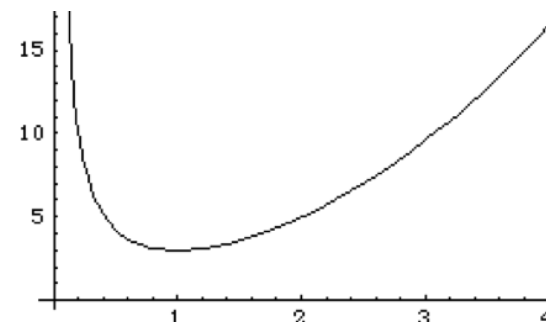
- $$\delta f = \frac{1}{2} \frac{k_B T}{\xi^3} \text{Tr} \Lambda^T \Lambda = \frac{\mu_0}{2} (\lambda_x^2 + \lambda_y^2 + \lambda_z^2)$$

- uniaxial  $\lambda_x = \lambda$ ,  $\lambda_y = \lambda_z = \lambda^{-1/2}$   $\Rightarrow$

- single chain:  $P \sim e^{-R^2/R_0^2}$

$$\delta F = k_B T \log P \sim k_B T (R/R_0)^2$$

Hookean entropic spring



$$\delta f = \frac{\mu_0}{2} \left( \lambda^2 + \frac{2}{\lambda} \right)$$

# What's missing? $k_B T$ fluctuations beyond $\xi$ Xing, Goldbart, L.R., (PRL '06)

- want  $F[\Lambda] = -kT \ln \text{Tr} e^{-H/kT}$  with  $H = \frac{\mu_0}{2} \int |\nabla r(X)|^2$

- approximate by small thermal fluctuations about imposed affine distortion:

$$\vec{r}(\vec{X}) = \Lambda \cdot e^{\mathbf{u}(\vec{X}) \cdot \nabla} \vec{X} \approx \Lambda \cdot (\vec{X} + \vec{u}(\vec{X}) + \dots)$$

- finite compressibility is crucial:

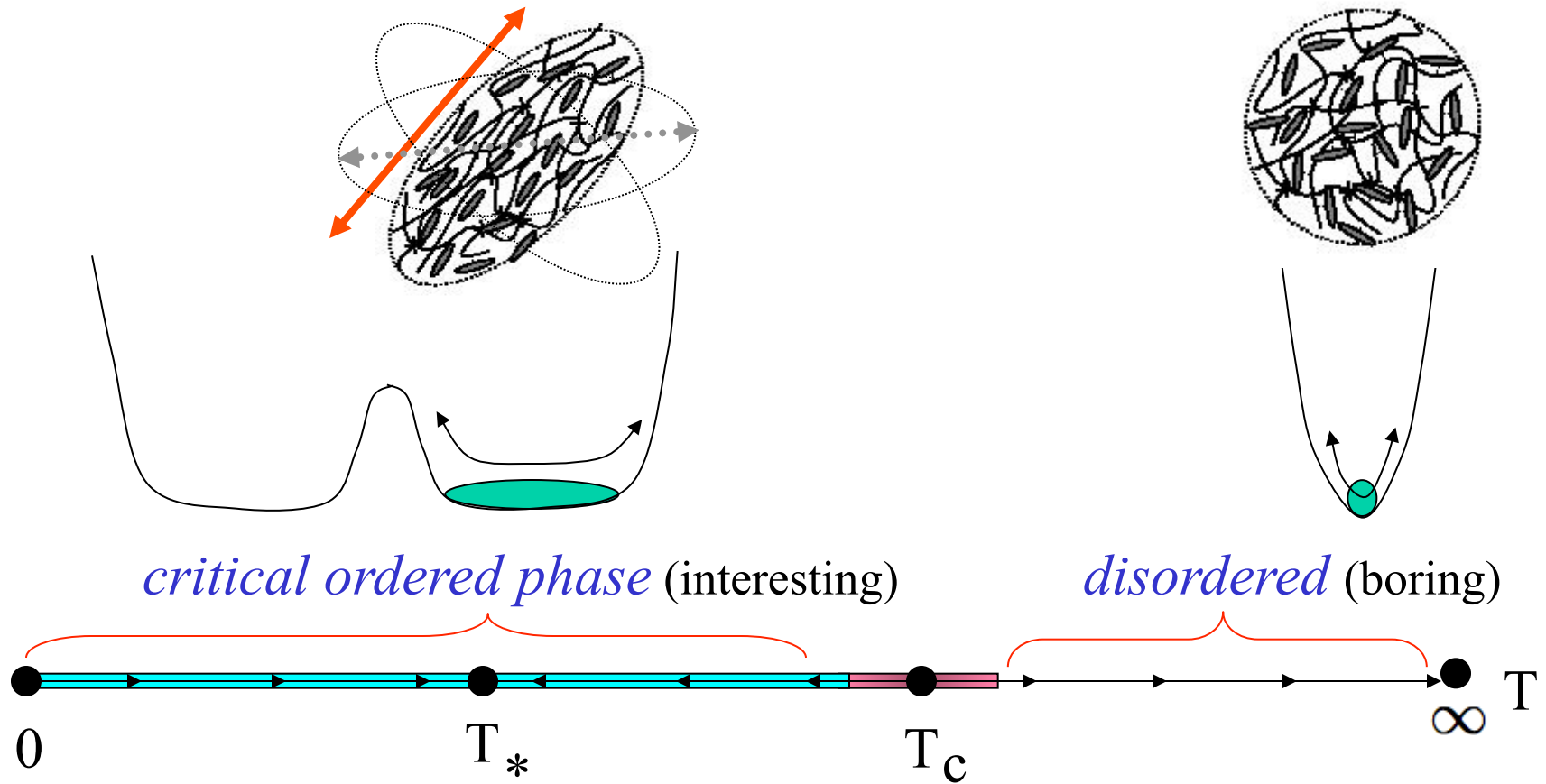
$$\vec{\nabla} \cdot \vec{u} = 0$$

- integrate over phonons  $\mathbf{u}(\mathbf{x})$ :  $f(\lambda) = f_{class.}(\lambda) + \mu_1 \left[ \frac{\tanh^{-1} \sqrt{1 - \lambda^{-3}}}{\sqrt{1 - \lambda^{-3}}} - \ln \lambda \right]$

$$\mu_1 \approx \mu_0 \approx k_B T / \xi^3$$

- anisotropic,  $\Lambda$ -dependent phonon correlations  $\langle u_i(q) u_j(-q) \rangle \approx \frac{k_B T / \mu_0}{\vec{q} \cdot \Lambda \Lambda^T \cdot \vec{q}} P_{ij}^T(q)$

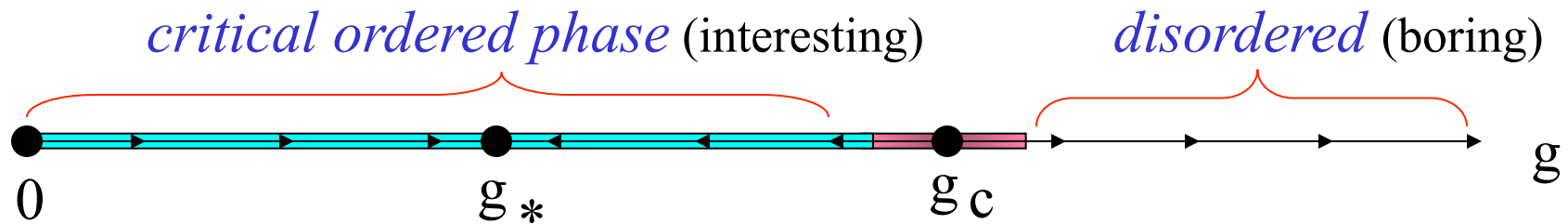
# Critical phases



$$H = (\nabla\phi)^2 + \phi^4$$

...there are others

# Properties of critical phases



$$H = (\nabla^2 u)^2 + (\partial_z u + (\nabla u)^2)^2$$

- *spontaneously broken continuous symmetry*
- *nontrivial fixed point of strongly interacting Goldstone modes (c.f. nonlinear  $O(N)$  sigma-model)*
- *universal power-law correlation functions and amplitude ratios (throughout the phase)*
- *no fine-tuning to a critical point required*
- *quantum analogs? road to 3d “Luttinger liquids”?*



*Strange elasticity of liquid-crystal rubber*

