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Abstract	<p>Benacerraf's Dilemma (BD), as formulated by Paul Benacerraf in "Mathematical Truth," is about the apparent impossibility of reconciling a "standard" (i.e., classical Platonic) semantics of mathematics with a "reasonable" (i.e., causal, spatiotemporal) epistemology of cognizing true statements. In this paper I spell out a new solution to BD. I call this new solution a <i>positive Kantian phenomenological solution</i> for three reasons: (1) It accepts Benacerraf's preliminary philosophical assumptions about the nature of semantics and knowledge, as well as all the basic steps of BD, and then shows how we can, consistently with those very assumptions and premises, still reject the skeptical conclusion of BD and also adequately explain mathematical knowledge. (2) The standard semantics of mathematically necessary truth that I offer is based on Kant's philosophy of arithmetic, as interpreted by Charles Parsons and by me. (3) The reasonable epistemology of mathematical knowledge that I offer is based on the phenomenology of logical and mathematical self-evidence developed by early Husserl in <i>Logical Investigations</i> and by early Wittgenstein in <i>Tractatus Logico-Philosophicus</i>.</p>
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CHAPTER VIII

MATHEMATICAL TRUTH REGAINED

Robert Hanna

Abstract. Benacerraf's Dilemma (BD), as formulated by Paul Benacerraf in "Mathematical Truth," is about the apparent impossibility of reconciling a "standard" (i.e., classical Platonic) semantics of mathematics with a "reasonable" (i.e., causal, spatiotemporal) epistemology of cognizing true statements. In this paper I spell out a new solution to BD. I call this new solution a *positive Kantian phenomenological solution* for three reasons: (1) It accepts Benacerraf's preliminary philosophical assumptions about the nature of semantics and knowledge, as well as all the basic steps of BD, and then shows how we can, consistently with those very assumptions and premises, still reject the skeptical conclusion of BD and also adequately explain mathematical knowledge. (2) The standard semantics of mathematically necessary truth that I offer is based on Kant's philosophy of arithmetic, as interpreted by Charles Parsons and by me. (3) The reasonable epistemology of mathematical knowledge that I offer is based on the phenomenology of logical and mathematical self-evidence developed by early Husserl in *Logical Investigations* and by early Wittgenstein in *Tractatus Logico-Philosophicus*.

I who erewhile the happy garden sung,
By one man's disobedience lost, now sing
Recovered Paradise to all mankind,
By one man's firm obedience fully tried
Through all temptation, and the Tempter foiled
In all his wiles, defeated and repulsed,
And Eden raised in the waste wilderness.

—J. Milton¹

Pure intuition as Kant understood it was evidently supposed somehow to get us across the divide between the fuzzy *Lebenswelt* with its everyday objects and the sharp, precise realm of the mathematical, in terms of which mathematical conceptions of the physical world are developed.

—C. Parsons²

¹(Milton, 1953b, 495, book I, lines 1–7)

²(Parsons 2008, 166)

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38 The epistemologically pregnant sense of self-evidence (*Evidenz*) . . . gives to an inten-
39 tion, e.g., the intention of judgment, the absolute fullness of content, the fullness of the
40 object itself. The object is not merely meant, but in the strictest sense *given*, and given
41 as it is meant, and made one with our meaning-reference. . . . It is said of every percept
42 that it grasps its object directly, or grasps this object *itself*. But this direct grasping has a
43 different sense and character according as we are concerned with a percept in the nar-
44 rower or wider sense, or according as the directly grasped object is *sensible* or *categorial*.
45 Or otherwise put, according as it is a *real* or *ideal* object.

46 –E. Husserl³
47

48 Self-evidence (*die Einleuchten*), of which Russell has said so much, can only be discarded
49 in logic by language itself preventing every logical mistake. That logic is a priori consists
50 in the fact that we *cannot* think illogically.

51 –L. Wittgenstein⁴
52
53

54 I. INTRODUCTION 55

56 Benacerraf's Dilemma, or BD, as originally formulated by Paul Benacerraf
57 in 1973 (Benacerraf 1973, 672–673), is about the apparent impossi-
58 bility of reconciling a *standard, uniform* semantics of natural language
59 with a *reasonable* epistemology of cognizing true statements, when the
60 relevant kind of true statement to be semantically explained is mathe-
61 matical truth and the relevant kind of cognition to be epistemologically
62 explained is mathematical knowledge. A “standard, uniform” seman-
63 tics in Benacerraf's terminology is a Tarskian satisfaction-theoretic and
64 model-theoretic semantics applying across natural language as a whole.
65 This semantics, together with some natural assumptions about standard
66 mathematical linguistic practices, very plausibly, smoothly, and jointly
67 yield classical Platonism about mathematics. And a “reasonable” episte-
68 mology is an epistemology that ties a human linguistic knower causally
69 to the known objects themselves. This epistemology very plausibly and
70 smoothly yields the *denial* of classical Platonism about mathematics.
71 Hence BD.
72
73

74 ³(LI, pp. 765 and 787, texts combined)

⁴(Wittgenstein, 1981, prop. 5.4731, p. 129)

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75 In this paper I will spell out a new solution to BD. I call this new
76 solution a *positive Kantian phenomenological solution* for three reasons:
77

- 78 (1) It accepts Benacerraf's preliminary philosophical assumptions about
79 the nature of semantics and knowledge, as well as all the basic steps
80 of BD, and then shows how we can, consistently with those very
81 assumptions and premises, *still* reject the skeptical conclusion of BD
82 and *also* adequately explain mathematical knowledge.
83 (2) The standard semantics of mathematically necessary truth that I
84 offer is based on Kant's philosophy of arithmetic, as interpreted by
85 Charles Parsons and by me. (See Parsons 1983; Hanna 2002, 2006a,
86 Chapter 6.)
87 (3) The reasonable epistemology of mathematical knowledge that I offer
88 is based on the phenomenology of logical and mathematical self-
89 evidence developed by early Husserl in *Logical Investigations* and by
90 early Wittgenstein in *Tractatus Logico-Philosophicus*.
91

92 More precisely, however, what I will argue is that we can solve BD in
93 three stages:

94 *First*, I accept Benacerraf's preliminary philosophical intuitions about
95 the nature of semantics and knowledge, as well as all the basic premises
96 of BD.

97 *Second*, I hold that mathematical truth is adequately explained by
98 accepting the following three claims:
99

- 100 (1) that the natural numbers are essentially positions or roles in the math-
101 ematical natural number structure provided by Peano arithmetic,
102 (2) that the mathematical natural number structure provided by Peano
103 arithmetic is abstract only in the sense that it is *transcendentally ideal*,
104 which is to say that this structure is identical to the formal structure
105 of time insofar as we consciously represent it in sense perception,
106 together with all the formal concepts and other logical constructions,
107 including specific logical inference patterns such as mathematical
108 induction, needed for an adequate rational human understanding of
109 Peano arithmetic, and
110 (3) that in our actual world, the unique, intended model of the natu-
111 ral number structure provided by Peano arithmetic is just the set of

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112 manifestly real directly perceivable spatiotemporal material objects—
113 the natural inhabitants of Parsons’s “fuzzy *Lebenswelt* with its
114 everyday objects”—insofar as *they are the role players of the Peano-*
115 *arithmetic-specified natural number roles* in the abstract formal struc-
116 ture of time as we consciously represent it in sense perception,
117 together with all the formal concepts and other logical constructions,
118 including specific logical inference patterns such as mathematical
119 induction, needed for an adequate rational human understanding of
120 Peano arithmetic.
121

122 *Third*, I hold that mathematical knowledge is grounded on
123

- 124 (1) a rational human agent’s *mental-model-manipulating* abilities, which
125 are innately specified in the agent’s mind and also inherently
126 present, as necessary ingredients, in human sense perception, and
127 which entail her self-conscious cognition of phenomenologically self-
128 evident formal non-conceptual structures of human sense perception,
129 together with
130 (2) a rational human agent’s *logic-and-language-constructing* abilities,
131 which are innately specified in the agent’s mind and also inherently
132 present, as necessary ingredients, in human empirical conceptualizing
133 and perceptual judgment, and which entail her self-conscious cogni-
134 tion of phenomenologically self-evident formal conceptual contents
135 and specific patterns of logical inference in classical or non-classical
136 logics.
137

138 The second and third stages of this argument respectively invoke what I
139 call *Kantian Structuralism* about the nature of numbers and mathematical
140 truth, and also what I call *the Husserl-Wittgenstein Theory of Logical and*
141 *Mathematical Phenomenological Self-Evidence*, or the HW Theory, about
142 the nature of logical and mathematical a priori knowledge. As the labels
143 clearly indicate, this part of the argument has historical foundations in the
144 work of Kant, early Husserl, and early Wittgenstein. At the same time,
145 however, Kantian Structuralism and the HW Theory are intended to be
146 fully rationally defensible on their own merits.
147

148 Now Milton’s *Paradise Lost* and *Paradise Regained*, as I read them,
are about the necessary transition from the impossibly super-human

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149 conception of moral virtue embodied in pre-lapsarian Adam and Eve,
150 and our consequent tragic Fall and expulsion from the Garden of Eden,
151 towards a fully realistic knowledge of our own moral limits and of
152 our inescapably finite, mortal role on this desperately imperfect Earth.
153 Correspondingly, the philosophical story I am telling in this paper is
154 about the necessary philosophical transition from the impossibly super-
155 human conception of mathematical truth and knowledge offered by
156 classical Platonism, and our consequent tragic Fall and collapse into BD,
157 towards a fully realistic and also inescapably anthropocentric conception
158 of mathematical truth and knowledge, but without either finitism or
159 nominalism—*real mathematics for humans*. So if my argument is sound,
160 then the result will be, in effect, a semantic and epistemic Paradise
161 Regained—with Kantian, Husserlian, and Wittgensteinian bells on.
162

164 II. BENACERRAF'S DILEMMA AND SOME NEGATIVE 165 OR SKEPTICAL SOLUTIONS

166 Here is Benacerraf's own formulation of BD:
167

168 As an account of our knowledge about medium-sized objects, in the present, this is
169 along the right lines. [A reasonable epistemology] will involve, causally, some direct refer-
170 ence to the facts known, and, through that, reference to those objects themselves. . . .
171 [C]ombining *this* view of knowledge with the "standard" view of mathematical truth
172 makes it difficult to see how mathematical knowledge is possible. If, for example, num-
173 bers are the kinds of entities they are normally taken to be, then the connection between
174 the truth conditions for the statements of number theory and any relevant events con-
175 nected with the people who are supposed to have knowledge cannot be made out.
(Benacerraf 1973, 672–673)

176 And here is my rational reconstruction of that argument:
177

- 178 (1) Natural language requires a standard, uniform semantics. (Prelimi-
179 nary assumption I.)
- 180 (2) A reasonable epistemology of cognizing true statements should be
181 modeled on sense perception. (Preliminary assumption II.)
- 182 (3) Mathematical knowledge in a classical sense (i.e., as a priori knowl-
183 edge) exists as a feature of standard mathematical linguistic practices,
184 so mathematical truth in a classical sense (i.e., as necessary truth) also
185 exists as a feature of those standard practices.

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- 186 (4) Given (1) and (3), our standard, uniform semantics of natural
 187 language, as applied to mathematical truths, commits us to a truth-
 188 making ontology of abstract mathematical objects and also to the
 189 non-empirical knowability of true mathematical statements.
- 190 (5) On the one hand, given (2), that fact that a reasonable epistemology
 191 of cognizing true statements should be modeled on sense perception
 192 entails that knowledge involves causally efficacious, contact-involving
 193 or efficient, directly referential, non-inferential, and spatiotemporal
 194 relations between human linguistic knowers and the known objects
 195 themselves.
- 196 (6) But on the other hand, given (4), and since all abstract objects
 197 are causally isolated and inert, it then follows that all abstract
 198 mathematical objects are causally isolated and inert.
- 199 (7) So if we accept all of (1)–(6), then mathematical knowledge in the
 200 classical sense is both possible and impossible, which is absurd.
 201

202
 203 I will say that any proposed solution to BD is *negative* or *skeptical*
 204 if it rejects either of Benacerraf's preliminary philosophical assumptions
 205 about a standard uniform semantics and a reasonable epistemology or else
 206 rejects one or more of steps (3) to (6). Then there are at least six differ-
 207 ent categories of possible negative or skeptical solutions to BD. The first
 208 two categories I will call *pre-emptive* negative or skeptical solutions, since
 209 they consist in pre-emptively rejecting at least one of the two preliminary
 210 assumptions.
 211

212 1. *Pre-emptive Negative or Skeptical Solutions*

- 213
 214 (1) *Reject the preliminary assumption (I) that natural language requires a*
 215 *standard, uniform semantics.*

216 This in turn entails either

- 217 (1.1) rejecting Tarskian semantics or
 218 (1.2) accepting a multiform semantics of natural language.
- 219
 220 (2) *Reject the preliminary assumption (II) that a reasonable epistemology*
 221 *of cognizing true statements should be modeled on sense perception.*
 222 (See, e.g., Katz 1995)

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223 This in turn entails either

- 224
- 225 (2.1) modelling the epistemology of cognizing true statements on
- 226 conceptual reasoning or concept-possession (see, e.g., Hale and
- 227 Wright 2002),
- 228 (2.2) modelling the epistemology of cognizing true statements on
- 229 self-consciousness,⁵ or
- 230 (2.3) modelling the epistemology of cognizing true statements on
- 231 the imagination.⁶
- 232

233 The other four categories I will call *concessive* negative or skeptical solu-
 234 tions, since they involve conceding both of the preliminary assumptions
 235 I and II, and then rejecting at least one of the other steps leading to the
 236 unacceptable conclusion.

237

238 2. *Concessive Negative or Skeptical Solutions*

239 (3) *Reject the classical necessity or apriority of mathematical truth.*

240

241 This entails accepting either

- 242 (3.1) the contingency of mathematical truth, or
- 243 (3.2) the aposteriority of mathematical truth.
- 244

245

246 ⁵In (Hanna, 2006a Chapters 6 and 7), I work out Kant's idea that mathematical
 247 knowledge is grounded on reflective self-consciousness together with the imagination.

248 ⁶One way of doing this would be via "plenitudinous platonism": For every consistently
 249 imaginable mathematical statement, there is a corresponding mathematical object. (See,
 250 e.g., Balaguer, 1998.) This construes imaginability as conceivability. But there are other
 251 ways of thinking about the imagination, e.g., Kant's conception of the productive
 252 imagination as a "schematizing" (i.e., mental modeling) capacity (Kant 1997, A84-
 253 147/B116-187, and esp. A120 n.). In (Hanna, 2006b, Chapter 6), I extend BD to
 254 logical knowledge, and then develop a strategy for solving the extended BD that starts
 255 with the thesis that a reasonable epistemology should be modeled on the imagination,
 256 not on perception. So by the classification scheme described here, strictly speaking,
 257 that earlier solution counts as a pre-emptive negative or skeptical solution. But to the
 258 extent that the present solution postulates the innate presence of mental modeling
 259 abilities in sense perception, it also postulates the innate presence of the capacity for
imagination within the capacity for sense perception. So in that sense, the present positive or anti-skeptical solution is really only an extension and refinement of the earlier solution.

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- 260 (4) *Reject the truth-making ontology of abstract mathematical objects.* (See,
261 e.g., Shapiro 2000, Chapters. 6, 7, and 9.)
262

263 This in turn entails accepting either

- 264 (4.1) empirical or phenomenal idealism (whether communal or
265 solipsist),
266 (4.2) intuitionism,
267 (4.3) formalism,
268 (4.4) conventionalism,
269 (4.5) fictionalism or some other form of nominalism, or
270 (4.6) non-cognitivist anti-realism.
271

- 272 (5) *Reject the thesis that sense perception involves causally efficacious, contact-*
273 *involving or efficient, referentially direct, non-inferential, and spatiotem-*
274 *poral relations between human cognizers and the cognized objects.*

275 This in turn entails accepting either

- 276
277 (5.1) the replacement of causal efficacy by causal relevance,
278 (5.2) the counterfactual theory of causation,
279 (5.3) the probability-raising theory of causation,
280 (5.4) a non-causal theory of perception,
281 (5.5) an indirect causal theory of perception (whereby a percep-
282 tual subject S can sense perceive a universal U or type T just
283 by standing in a direct causal sense perceptual relation to an
284 instance of U or a token of T),
285 (5.6) referential descriptivism, or
286 (5.7) cognitive inferentialism.

- 287 (6) *Reject the thesis that abstract objects are causally isolated and inert.*
288

289 This in turn entails accepting either

- 290 (6.1) the causal relevance of abstract objects or
291 (6.2) the causal efficacy of abstract objects.
292

293 Obviously, some of these negative or skeptical solutions logically entail
294 or logically exclude others. But at the same time many of the negative
295 or skeptical solutions are also consistent with others, which gives rise to a
296 large number of distinct possible *combined* negative or skeptical solutions.

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297 This in turn makes the strategy of proving the rational superiority of a one
298 or another of the given negative or skeptical solutions by attacking all the
299 other possible negative or skeptical solutions somewhat strenuous, and
300 possibly even unfeasible, given the usual limits on human time, energy,
301 and patience.

302 In what follows in this paper, I will attempt to work out a *positive* or
303 anti-skeptical solution to BD, but not explicitly to criticize or defeat the
304 possible negative or skeptical solutions, which would require a separate
305 book-length treatment on its own. As I said already, I call my solution to
306 BD a “positive” or anti-skeptical one because it accepts Benacerraf’s pre-
307 liminary philosophical assumptions about the nature of semantics and
308 knowledge, as well as all the basic premises of BD—captured in steps (1)
309 to (6)—and then shows how we can, consistently with those very assump-
310 tions and premises, still reject the skeptical conclusion of BD—captured
311 in step (7)—and also adequately explain mathematical knowledge. On
312 the face of it, any positive or anti-skeptical solution should have a distinct
313 rational edge over any negative or skeptical solution because only a posi-
314 tive or anti-skeptical solution will adequately preserve the rational force
315 of all the original philosophical intuitions that generated the dilemma in
316 the first place. If any of these intuitions did not have rational force, then
317 BD would not be a *genuine* dilemma. So the fact that we *do* take BD
318 seriously clearly entails that if there really *is* a positive or anti-skeptical
319 solution, then *prima facie* it will trump any of the negative or skeptical
320 solutions.

III. BENACERRAF’S DILEMMA AND KANTIAN STRUCTURALISM

324
325 *Number* . . . is a representation that summarizes the successive addition of one homo-
326 geneous unit to another. Number is therefore nothing other than the unity of the synthesis
327 of the manifold of a homogeneous intuition in general, because I generate time itself in
328 the apprehension of the intuition.

329 –I. Kant (Kant 1997, A142–143/B182)

331 Time provides a universal source of models for the numbers. . . . What would give time a
332 special role in our concept of *number* which it does not have in general is not its necessity,
333 since time is in some way necessary for all concepts, nor an explicit reference to time
in numerical statements, which does not exist, but its sufficiency, because the temporal

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334 order provides a representative of the number which is present to our consciousness if
335 any is present at all.

336
337 –C. Parsons (Parsons 1983, 140)

338
339 The key to achieving a positive or anti-skeptical solution to BD, I
340 think, is precisely how one interprets step (4) in my reconstruction, which
341 says:

342 (4) Given (1) and (3), our standard, uniform semantics of natural
343 language, as applied to true mathematical statements, commits us to a
344 truth-making ontology of abstract mathematical objects and also to the
345 non-empirical knowability of these statements.

346 It is *very* natural, and all-too-easy, to interpret the notion of “a
347 truth-making ontology of abstract mathematical objects” in terms of
348 classical Platonism. Classical Platonism about mathematics says that
349 mathematical objects, which are the truth-makers of mathematical state-
350 ments, have a mind-independent, substantial existence in a separate
351 non-spatiotemporal realm, and that their nature is strictly determined
352 by intrinsic non-relational properties of those objects. In short, classi-
353 cal Platonism interprets mathematical objects as what Kant would have
354 called *things-in-themselves*. (See Hanna 2006a, esp. Chapters 1, 2, 3, 4,
355 and 6.) This classical Platonist interpretation of the truth-making ontol-
356 ogy of abstract mathematical objects postulated in step (4), I think, is
357 precisely *the snake in the Garden of Eden*, by which I mean that I think that
358 this interpretation is precisely the false and vitiating assumption which
359 leads inevitably to Benacerraf’s Dilemma and to skepticism, and I hereby
360 reject it.

361 Granting that rejection as a starting point, my positive or anti-skeptical
362 Kantian phenomenological solution to Benacerraf’s Dilemma—as I pre-
363 viewed it in section I—then has two parts:

364
365 (1) *Kantian Structuralism*, which says

366 (1.1) that the natural numbers are essentially positions or roles in
367 the mathematical natural number structure provided by Peano
368 arithmetic,

369 (1.2) that the mathematical natural number structure provided
370 by Peano arithmetic is abstract only in the sense that it is

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371 *transcendentally ideal*, which is to say that this structure is
372 identical to the formal structure of time insofar as we con-
373 sciously represent it in sense perception, together with all
374 the formal concepts and other logical constructions, including
375 specific logical inference patterns such as mathematical induc-
376 tion, needed for an adequate rational human understanding of
377 Peano arithmetic, and

- 378 (1.3) that in our actual world, the unique, intended model of the
379 natural number structure provided by Peano arithmetic is
380 just the set of manifestly real directly perceivable spatiotem-
381 poral material objects—the natural inhabitants of Parsons’s
382 “fuzzy *Lebenswelt* with its everyday objects”—insofar as *they*
383 *are the role players of the Peano-arithmetic-specified natural*
384 *number roles* in the abstract formal structure of time as we
385 consciously represent it in sense perception, together with all
386 the formal concepts and other logical constructions, including
387 specific logical inference patterns such as mathematical induc-
388 tion, needed for an adequate rational human understanding of
389 Peano arithmetic.

- 390
391 (2) *The Husserl-Wittgenstein Theory of Logical and Mathematical Self-*
392 *Evidence* (the HW Theory), which holds that a priori knowledge in
393 logic and mathematics is the joint product of two rational human
394 abilities operating in tandem:

- 395 (2.1) a rational human agent’s *mental-model-manipulating* abilities,
396 which are innately specified in the agent’s mind and also
397 inherently present, as necessary ingredients, in ordinary sense
398 perception, and which entail her conscious cognition of phe-
399 nomenologically self-evident formal non-conceptual structures
400 of human sense perception, together with

- 401 (2.2) that rational human agent’s *logic-and-language-constructing*
402 abilities, which are innately specified in the agent’s mind
403 and also inherently present, as necessary ingredients, in ordi-
404 nary empirical conceptualizing and perceptual judgment, and
405 which entail her conscious cognition of phenomenologically
406 self-evident formal conceptual contents and specific patterns
407 of logical inference in classical or non-classical logics.

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408 In the rest of this section I want to unpack and rationally motivate
409 the basic features of Kantian Structuralism. Then I will come back to the
410 HW Theory in section IV.

411 Mathematical Structuralism, as an explanatory metaphysical thesis in
412 the philosophy of mathematics—defended for example by Benacerraf
413 himself, and in a different way by Stewart Shapiro (see, e.g., Benacerraf
414 1965; Shapiro 1997, 2000, Chapter 10), and most recently in another
415 different way by Charles Parsons (Parsons 2008, esp. Chapters 3, 5, 6 and
416 9)—says that mathematical entities (e.g., numbers or sets) are not onto-
417 logically autonomous or substantially independent objects, but instead
418 are, essentially, *positions* or *roles* in a mathematical structure, where a
419 mathematical structure is a complete set of formal relations and opera-
420 tions that defines a mathematical system. What counts as an individual
421 object of the system is thereby uniquely determined by the system as a
422 whole—that is, any such individual object is identical to whatever pos-
423 sesses a specific set of intrinsic structural system-dependent properties. So
424 every individual object of the system is essentially a role in the relevant
425 mathematical system, and thus strongly metaphysically dependent on the
426 whole system.

427 The significant philosophical payoffs of mathematical Structuralism
428 are twofold. First, Structuralism gets between Platonism and
429 Nominalism, because according to Structuralism mathematical objects
430 are metaphysically absorbed into mathematical structures, hence they
431 lack independent existence (contra Platonism), and yet it is also not true
432 that there are no mathematical objects (contra Nominalism) since the
433 objects continue to exist in a theoretically transformed way *as* roles in the
434 structure. Second, because according to Structuralism the mathematical
435 objects, as embedded in the relevant mathematical structure, continue
436 to have whatever metaphysical status the relevant embedding structure
437 has, then there is no longer any serious metaphysical “identity problem”
438 of precisely *which* objects should be identified with the natural numbers,
439 since we look to the embedding structures and not to the objects for any
440 relevant metaphysical identity conditions.

441 In a way that is highly analogous to Functionalism in the philoso-
442 phy of mind (see Block 1980b; Kim 2006, Chapters 5 and 6), there are
443 at least two distinct ways we can interpret mathematical Structuralism.
444 On the one hand, we can identify mathematical objects with *the roles*

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445 determined by the mathematical system as a whole. Or on the other hand,
446 we can identify mathematical objects with *the role players* of the mathe-
447 matical roles determined by the system as a whole. Which interpretation
448 of mathematical Structuralism should we accept?

449 In the analogous case of Functionalism in the philosophy of mind, I
450 think that there is good reason to take the Role-Player interpretation seri-
451 ously because we think that it is intuitively plausible to identify a mind
452 with whatever it is that actually does all the things that cognitive sys-
453 tems are supposed to do, and not merely to identify it with the set of
454 causally relevant abstract patterns or rules that actual cognitive systems
455 follow. If a mind were merely identical with a set of causal-functional
456 roles, then it would be open to the classical inverted qualia argument,
457 Searle's Chinese Room argument, and Block's Chinese Nation argument
458 (a.k.a. "the absent qualia argument") (see *ibid.*, and also Block 1980a;
459 Searle 1984), not to mention the deeper worry that causal relevance
460 does not entail causal efficacy (see, e.g., Jackson 1996), which yields the
461 unhappy result that even *the representational mind* would be epiphenom-
462 enal if the Roles interpretation were true. Correspondingly, and to use
463 an everyday non-philosophical analogy now, it seems intuitively right to
464 say that a hockey player is a person who actually and in a causally effi-
465 cacious way does all the things that hockey players are supposed to do,
466 according to the rules of hockey—and obviously, a real hockey player is
467 *not merely* the same as a set of causally relevant abstract rules that hockey
468 players follow. So if we want minds to be *real causal players*, as it were,
469 in physical nature, not to mention being *really capable of qualitative con-*
470 *scious experience* in addition to mental representation, then I think that
471 we should defend a *dual* Roles interpretation *and* Role-Player interpre-
472 tation of Functionalism, as opposed to a Roles interpretation alone or
473 a Role-Player interpretation alone. We should say that for *some* rational
474 purposes, the mind should be identified with functional roles, and also
475 that for *other* rational purposes, the mind should be identified with the
476 role-players of the roles.

477 By analogy, then, and for essentially the same basic reasons, I will
478 adopt a *dual* Roles interpretation *and* Role-Player interpretation of
479 mathematical Structuralism, as opposed to a Roles interpretation alone
480 or a Role-Player interpretation alone. We want the natural numbers
481 to be identified for many rational purposes with their roles in the

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482 mathematical structure of Peano arithmetic. But for other rational
483 purposes we also want the unique, intended model of Peano arithmetic *to*
484 *be consciously knowable according to a reasonable epistemology*, which is the
485 direct analogue of the problem of qualitative conscious experience for the
486 Roles interpretation of Functionalism. And we also want natural num-
487 bers and true statements about natural numbers *to be applicable to the*
488 *actual spacetime world*, which is the direct analogue of the problem of
489 epiphenomenalism for the Roles interpretation of Functionalism

490 So as I see it, mathematical Structuralism should hold that mathemat-
491 ical objects are essentially the same, for some rational purposes, as *the*
492 *roles* in a given mathematical structure, and also essentially the same,
493 for some other purposes, as *the role players* of the specific mathematical
494 roles in a given mathematical structure, and *not* reducible either to those
495 roles themselves or to the role-players themselves. The roles tell us pre-
496 cisely what will *count* as the unique intended model of that mathematical
497 structure, but they neither *exhaust* the total nature of the mathematical
498 objects nor do they *eliminate* the objects altogether. The mathematical
499 objects are *strongly superveniently determined* by the structure as regards
500 the precise roles they play, but they are also *something over and above* the
501 structure as regards their role-player status. Different objects can play the
502 same mathematical roles; the same objects can play different mathemati-
503 cal roles; and as a consequence, there is no intelligible worry whether the
504 natural number 12 is the same as or different from the real number 12.
505 This metaphysical dependency relation between mathematical structure
506 and mathematical object in Structuralism thereby provides a precise ana-
507 logue of *natural or nomological strong supervenience*, as opposed to *logical*
508 *or reductive strong supervenience*, in the philosophy of mind.

509 Now BD clearly and distinctly shows us that we do not want the num-
510 bers to be the kinds of abstract entities that are also unknowable things in
511 themselves and inapplicable to the actual spacetime world, lest we ren-
512 der mathematical truth and knowledge impossible. Or otherwise put,
513 BD clearly shows us that the abstractness of the numbers must somehow
514 correlate directly with what is consciously knowable according to a rea-
515 sonable epistemology. This is possible, I think, if (and perhaps also only
516 if) the abstractness of the numbers is *not* the abstractness of independent
517 objects in a causally inert non-spatiotemporal realm, but instead just the
518 abstractness of the roles *in a non-empirical or a priori consciously-accessible*

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519 *cognitive structure*. On this philosophical picture, the natural numbers
520 are abstract because they are essentially roles in a *transcendentally ideal*
521 structure.

522 In other words, I am proposing a broadly Kantian version of what
523 Parsons calls “non-eliminative structuralism” (Parsons 2008, 100–116).
524 More specifically, however, I think that the natural numbers are essen-
525 tially the same, for *some* rational purposes, as roles in the abstract
526 structure provided by Peano arithmetic, when this is interpreted as cer-
527 tain kind of non-empirical or a priori consciously-accessible cognitive
528 structure, and also that the numbers are essentially the same, for *other*
529 rational purposes, as the role players of the natural number roles in
530 the real spacetime world, i.e., the natural numbers are just the set of
531 manifestly real directly perceivable material objects intrinsically embed-
532 ded in actual spacetime, insofar as they fall under the elementary or
533 Peano arithmetic of the natural numbers. I will come back to this thesis
534 again shortly.

535 Even if we have decided to adopt a dual Roles interpretation and Role-
536 Players interpretation of structuralism, there are also several further basic
537 distinctions between different kinds of Mathematical Structuralism that
538 need to be made more explicit. The two main divisions are these:

- 539
540 (a) *Reductive* Structuralism vs. (b) *Non-Reductive* Structuralism,
541 (c) *In Rebus* Structuralism vs. (d) *Ante Rem* Structuralism.
542

543 Reductive Structuralism, as I am interpreting it, says that the objects of
544 the mathematical system are either strictly identical with various elements
545 and relations of the system or logically supervenient on the whole system
546 and thus *nothing over and above* the whole system. By contrast, Non-
547 Reductive Structuralism says that the objects of the system are strongly
548 supervenient on the whole system but still *something over and above* the
549 whole system, hence neither strictly identical to various elements and
550 relations of the system nor logically supervenient on the whole system.
551 In other words, the Reductive vs. Non-Reductive distinction applies to
552 the *objects* of mathematical structural systems. Correspondingly, the Role-
553 Players interpretation, on its own, entails Non-Reductive Structuralism,
554 and the Roles interpretation, on its own, is consistent with both Non-
555 Reductive Structuralism and Reductive Structuralism.

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556 *In Rebus* Structuralism, as I am interpreting it, says that both the existence
557 and specific character of the mathematical system are necessarily
558 dependent on and determined by material things in the natural world,
559 and that the systemic structures are not only literally proper parts of
560 those material things but also ontologically *non-detachable* and epistemically
561 *non-abstractible* from them. By contrast, *Ante Rem* Structuralism
562 says that the existence and specific character of the system are neither
563 necessarily dependent on nor determined by the existence of material
564 things, and that the systematic structures are both ontologically *detach-*
565 *able* and also epistemically *abstractible* from those material things, even
566 if they are also literally proper parts of them. In other words, the *In*
567 *Rebus* vs. *Ante Rem* distinction applies not to the objects of mathematical
568 structural systems, but instead to the *structural systems* themselves.
569 For example, *In Rebus* Structuralism would be defended by a mathematical
570 structuralist who is both a *reductive or scientific naturalist* and also
571 an empiricist/nominalist, like Hartry Field (see e.g., Field 1980, 1989),
572 whereas *Ante Rem* Structuralism would be defended by a mathematical
573 structuralist who is both a *platonist* and also a rationalist/realist, like
574 Shapiro.

575 Significantly, and perhaps because of the example set by Field,
576 Shapiro identifies Reductive Structuralism with *In Rebus* Structuralism,
577 and Parsons identifies both Reductive Structuralism and *In Rebus*
578 Structuralism alike with what he calls “eliminative structuralism” (Parsons
579 2008, 80–100). But strictly speaking, at least in principle, one could
580 consistently defend both *In Rebus* Structuralism and Non-Reductive
581 (a.k.a. “non-eliminative”) Structuralism. Consider, e.g., a specifically
582 *Wittgensteinian* mathematical Structuralism (Wittgenstein 1983), in
583 which numbers are identified with the entities that play the roles specified
584 by mathematical linguistic practices, and not identified with the
585 practice-specified roles, and in which those living mathematical linguistic
586 practices *themselves*, conceived as rule-systems, are the enframing mathematical
587 structural systems in which mathematical objects are embedded
588 as the role-players of the roles in the structures. This Wittgensteinian
589 Structuralism would be both *in rebus* and non-reductive. I myself am
590 not going to defend such a Structuralism. But the very possibility of it
591 does have a relevant bearing on the HW theory of mathematical a priori
592 knowledge that I will defend in section IV, because I do think that

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593 mathematical *knowledge* is partially determined by living mathematical
594 linguistic practices, even if mathematical *truth* is not so determined.

595 The brand of Structuralism I favor, Kantian Structuralism, is a non-
596 reductive and *ante rem* version of mathematical Structuralism, doubly
597 based on the abstract formal structures of space and time insofar as we
598 consciously represent them in sense perception, together with formal
599 concepts and the ramified abstract formal structures of classical logic
600 and conservative extensions of it, insofar as rational human agents are
601 capable of understanding those, that intends to take the necessity and
602 apriority of mathematical truths at face value and then metaphysically
603 explain those semantic features in terms of transcendently ideal spa-
604 tiotemporal structures, conceptual structures, and logical structures. By
605 sharp contrast to Kantian Structuralism, however, Field's Structuralism
606 is both reductive and *in rebus* because it says that numbers are noth-
607 ing over and above their being positions in modal structures and that
608 mathematical truth is reducible to fundamental physical facts about the
609 physical world. And by another sharp contrast to Kantian Structuralism,
610 Shapiro's Structuralism is both reductive and *ante rem* because it says that
611 numbers are nothing over and above their being positions in non-modal
612 structures and that mathematical truth is reducible to non-physical facts
613 about non-spatiotemporal classically platonic structures.

614 But more precisely, and with respect to the elementary arithmetic
615 of the natural numbers, i.e., Peano arithmetic, in particular, Kantian
616 Structuralism says the following:

- 618 (1) that the natural numbers are essentially roles in the mathematical
619 natural number structure provided by Peano arithmetic,
- 620 (2) that the mathematical natural number structure provided by Peano
621 arithmetic is abstract only in the sense that it is *transcendently ideal*,
622 which is to say that this structure is identical to the formal structure
623 of time insofar as we consciously represent it in sense perception,
624 together with all the formal concepts and other logical constructions,
625 including specific logical inference patterns such as mathematical
626 induction, needed for an adequate rational human understanding of
627 Peano arithmetic, and
- 628 (3) that in our actual world, the unique, intended model of the natu-
629 ral number structure provided by Peano arithmetic is just the set of

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630 manifestly real directly perceivable spatiotemporal material objects—
631 the natural inhabitants of Parsons’s “fuzzy *Lebenswelt* with its
632 everyday objects”—insofar as *they are the role players of the Peano-*
633 *arithmetic-specified natural number roles* in the abstract formal struc-
634 ture of time as we consciously represent it in sense perception,
635 together with all the formal concepts and other logical constructions,
636 including specific logical inference patterns such as mathematical
637 induction, needed for an adequate rational human understanding of
638 Peano arithmetic.
639

640
641 In this way, Kantian Structuralism adequately explains why some-
642 thing that is *abstract, ideal, and necessary* like the elementary arithmetic
643 of the natural numbers, i.e., Peano arithmetic, can really and truly
644 apply to the hurly-burly *concrete, manifestly real, and contingent* world
645 of rational human animals and other natural things and processes, and
646 thereby really and truly apply to all the manifestly real directly perceiv-
647 able material spatiotemporal objects in our actual world. According to
648 Kantian Structuralism, since the formal structure of time as we con-
649 sciously represent it in sense perception is intrinsic to all manifestly real
650 directly perceivable material spatiotemporal objects, and since the for-
651 mal structure of time as we consciously represent it in sense perception
652 together with anything isomorphic to the formal structure of time as
653 we consciously represent it in sense perception, is the unique, intended
654 model of Peano arithmetic, it follows as a matter of synthetic a priori
655 necessity that Peano arithmetic applies to all manifestly real, directly
656 perceivable, material spatiotemporal objects. The abstractness, ideality,
657 and necessity of Peano arithmetic is captured by the *number roles* in
658 the composite structure of time and Peano arithmetic and its conserva-
659 tive extensions, insofar as it can be understood by rational human
660 agents. Correspondingly, the concreteness, reality, and contingency of the
661 things and people to which arithmetic applies is captured by the *num-*
662 *ber role players* in the composite structure of humanly cognizable time
663 and humanly cognizable Peano arithmetic and its conservative exten-
664 sions. Thus consciously-representable time-structure is the metaphysical
665 glue that ineluctably binds Peano arithmetic to our manifestly real nat-
666 ural world; or to re-use Parsons’s apt phrase, consciously-represented
time-structure is precisely what

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667 get[s] us across the divide between the fuzzy *Lebenswelt* with its everyday objects and the
668 sharp, precise realm of the mathematical, in terms of which mathematical conceptions
669 of the physical world are developed.

670 Otherwise put, Kantian Structuralism clearly solves the classical *appli-*
671 *cation problem* for the philosophy of arithmetic. (See Potter 2000.)

672 So I am now in a position to solve BD by using Kantian Structuralism.
673 I will begin by supposing that the two preliminary assumptions of BD are
674 true. That obviously satisfies steps (1) and (2) of BD. Then I will further
675 suppose that Kantian Structuralism is true, and that it adequately explains
676 the apriority and necessity of mathematical truth. This satisfies step (3)
677 of BD. This in turn allows me to re-interpret the truth-making ontology
678 of abstract objects described in step (4) of BD as the transcendently
679 ideal abstract formal structure of time, and of anything isomorphic to
680 time, insofar as we consciously represent it in sense perception, together
681 with the transcendently ideal abstract formal structure of any classical
682 logical system rich enough to capture Peano arithmetic and conservative
683 extensions of it, insofar as it can be understood by rational human agents.
684 This dual abstract structure is itself of course causally isolated and inert,
685 which satisfies step (6) of BD. But this dual abstract structure is also
686 *intrinsically temporal*, and in our actual world it uniquely determines the
687 unique intended model of the natural number structure, which then *just*
688 *is* the directly perceivable manifestly real material world of spatiotemporal
689 objects *insofar as* they are the role players of the Peano-arithmetic-
690 specified natural number roles in the abstract structure of time. So the
691 dual abstract structure consisting of the consciously-representable abstract
692 formal structure of time together with Peano arithmetic and its rationally
693 understandable conservative extensions is *causally relevant*, even though
694 it is not *causally efficacious*. Therefore in our actual world the unique
695 intended model of the natural number structure is identical to the world
696 of causally efficacious manifestly real, directly perceivable material spa-
697 tiotemporal objects, which obviously solves the application problem for
698 Peano arithmetic, and mathematical knowledge is thereby possible on the
699 assumption that a reasonable epistemology of cognizing true statements
700 is modeled on a theory of sense perception which includes

701
702 causally efficacious, contact-involving or efficient, directly referential, non-inferential,
703 and spatiotemporal relations between human linguistic knowers and the known objects
themselves,

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704 understood by me to be some or another version of *direct or naïve*
705 *perceptual realism* (see, e.g., Martin 2006; Haddock and F. McPherson
706 2008; Byrne and Logue 2009), which satisfies premise (5) of BD. Hence
707 if Kantian Structuralism is true, then all of (1)–(6) are true, but the
708 unacceptably skeptical conclusion of BD—step (7)—is avoided, and
709 mathematical knowledge is still possible.

710 Considered for a moment apart from its ability to help us achieve a
711 positive solution to BD, and also to solve the classical application problem
712 for arithmetic, what other reasons could we have for defending Kantian
713 Structuralism? I think that there are at least four other very good reasons.

714 First, Kantian Structuralism offers a clean-and-simple solution to
715 another important problem pointed up by Benacerraf, which is that
716 many different models satisfy the abstract structure of any logical system
717 rich enough to express Peano arithmetic, so the second-order logic of
718 Peano arithmetic underdetermines the natural numbers.⁷ Otherwise put,
719 Benacerraf's *other* problem is that there seems to be in principle no way
720 of determining or identifying just *which* of the many distinct models that
721 satisfy the logic of Peano arithmetic is *really* the natural numbers. This is
722 what Parsons calls the “multiple reduction” problem (Parsons 2008, 48),
723 and what others, following Frege, have called the “Caesar” problem or the
724 “identification” problem. According to Kantian Structuralism, however,
725 the abstract formal structure of the asymmetric successively synthesized
726 series of moments (or simple events) in time insofar as we consciously
727 represent it in sense perception is *the unique, intended model* of Peano
728 arithmetic. On this picture, a “standard” model of Peano arithmetic is any
729 possible world in which either time as we consciously represent it in sense
730 perception exists, or else something isomorphic to the time-structure
731 exists. (See, e.g., Parsons 2008, 272–293.)

732 But then the part of the model that satisfies a particular natural
733 number-role in the abstract system of Peano arithmetic *just is* anything in
734 our actual world that occurs in time as we consciously represent it in sense
735 perception *insofar as* it intrinsically instantiates the thermodynamically
736 asymmetric successive serial structure of time insofar as we consciously
737

738
739
740 ⁷(See Benacerraf, 1965). This problem, in turn, is closely connected to Frege's “Caesar”
problem. (See Frege, 1953, p. 68.)

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741 represent it in sense perception, and thereby plays at least some of the
742 Peano-arithmetic-specified natural number roles. The natural numbers
743 themselves exist in non-actual possible worlds as *the Peano-arithmetic-*
744 *specified and temporally-specified natural number roles*, and in our actual
745 world as the unique intended model of Peano arithmetic, namely *the*
746 *totality of real-world Peano-arithmetic-specified and temporally-specified nat-*
747 *ural number role-players*. Now the actual inhabitants of time insofar as
748 we consciously represent it in sense perception are directly perceivable,
749 manifestly real material spatiotemporal objects that contain spatiotem-
750 poral intrinsic structural properties. So in our actual world, the unique
751 intended model of the natural number structure is identical to the total-
752 ity of directly perceivable, manifestly real material spatiotemporal objects
753 insofar as they are the role players of the Peano-arithmetic-specified nat-
754 ural number roles in the abstract formal structure of time insofar as we
755 consciously represent it in sense perception.

756 Second, if Kantian Structuralism can offer a unified solution to BD
757 *and* Benacerraf's other problem, then that seems to be another impor-
758 tant point in its favor. For as Benacerraf himself has argued, BD and
759 Benacerraf's other problem are essentially interdependent. So an adequate
760 solution to BD must *also* solve Benacerraf's other problem (Benacerraf
761 1996).

762 Third, Kantian Structuralism crisply explains why classical Logicism
763 failed, and why it seems that the arithmetic of the natural numbers is not
764 reducible to second-order logic plus the Peano axioms alone. According
765 to Kantian Structuralism, the elementary or Peano arithmetic of the nat-
766 ural numbers can be determined only by the ramified logical formal
767 structure of Peano arithmetic and its conservative extensions insofar as
768 it can be understood by rational human agents, together with any for-
769 mal structure that is isomorphic to the structure of time insofar as we
770 consciously represent it in sense perception. To be sure, contemporary
771 neo-Logicists have shown that adding Hume's Principle (which says that
772 the number of Fs = the number of Gs if and only if there are as many
773 Fs as Gs) to second-order logic plus the Peano axioms logically entails the
774 elementary arithmetic of the natural numbers. (See Wrigh, 1983; Hale
775 1987; Hale and Wright 2001.) But it seems to be intelligibly arguable
776 that Hume's Principle is *not* an analytic truth precisely because it *presup-*
777 *poses* the formal structure of time insofar as we consciously represent it in

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778 sense perception, and also whatever is isomorphic to the formal structure
779 of time insofar as we consciously represent it in sense perception. If so,
780 then ironically enough the actual success of neo-Logicism is metaphysi-
781 cally best explained by *Kantian* Structuralism, and not by postulating the
782 analyticity of *Hume's* Principle, as the neo-Logicians have done.

783 Fourth, if that is true, then Kantian Structuralism would also crisply
784 explain why, contrary to both classical Logicism and neo-Logicism, math-
785 ematical truths seem *not* to be analytically necessary truths, but instead
786 *synthetic a priori truths*. One good reason for thinking that mathematical
787 truths are not true in every logically possible world, hence not analytic, is
788 the clear and distinct conceivability and hence logical possibility, of either
789

- 790 (1) worlds with *nothing whatsoever* in them—which would of course
791 entail the non-existence of numbers in those worlds, and thus the
792 non-truth of many sentences of Peano arithmetic in those worlds
793 (Parsons 1983, 131; Shapiro 1998, 604), or
794 (2) worlds with *non-standard arithmetics* of the natural numbers in them,
795 e.g., a world in which “plus” is replaced by Kripke’s “quus”—which
796 would of course directly entail the non-truth of many sentences of
797 Peano arithmetic in those worlds. (See Kripke 1982)
798

799 If mathematical truths are necessarily true but not analytically neces-
800 sary, then according to Kantian Structuralism the explanation for this
801 striking fact is that the truth and meaningfulness of mathematical propo-
802 sitions presuppose the abstract formal structure of time insofar as we
803 consciously represent it in sense perception, which is not itself a purely
804 logical or conceptual fact that attaches to every logically possible world.
805 On the contrary, the presence either of the abstract formal structure of
806 time insofar as we consciously represent it in sense perception, or of some
807 other abstract structure isomorphic to the abstract formal structure of
808 time insofar as we consciously represent it in sense perception, in a given
809 possible world, is *a special metaphysical fact* that attaches to only a *restricted*
810 *class* of logically possible worlds, i.e., all and only the logically possi-
811 ble worlds in which the very same spacetime structure, causal-dynamic
812 structure, and mathematical structure as that of our actual world, also
813 exist. This is also the special class of possible worlds in which *conscious-*
814 *ness like ours* is really possible (see Hanna and Maiese, esp. Chapters 1, 2
and 6, 7 and 8).

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815 On this view, possible worlds without denumerable objects in them are
816 all time-structureless worlds, and all time-structureless worlds are possible
817 worlds without denumerable objects in them. So if Kantian Structuralism
818 is true, then the metaphysical explanation for *Modal Dualism*—which is
819 the classical Kantian thesis that there are two essentially different kinds of
820 necessary truth, namely

- 821
- 822
- 823 (1) analytic necessary truth, i.e., truth about the kind of necessity which
824 flows from the nature of logic and concepts, which thereby includes
825 logical truth and conceptual truth, and
- 826 (2) synthetic necessary truth, i.e., truth about the kind of necessity which
827 flows from the nature of things in the world, which thereby includes
828 mathematical truth (Hanna 2001, Chapters 3, 4 and 5)

829

830 —comes along for free.

831

832 Now if Kantian Structuralism is true, then it fully explains how the
833 elementary arithmetic of the natural numbers, i.e., Peano arithmetic, is
834 true. What about the rest of mathematics? The clean-and-simple answer
835 provided by Kantian Structuralism is that all of the rest of mathe-
836 matics, *including* its most abstruse and ontologically rich parts—e.g.,
837 iterative set theory—can be built up from Peano arithmetic and the
838 abstract formal structure of time insofar as we consciously represent it
839 in sense perception, together with all the formal concepts, classical log-
840 ical constructions, and specific patterns of logical inference required by
841 those other parts of mathematics, encoded in standard mathematical lin-
842 guistic practices, insofar as mathematical language can be understood
843 by rational human agents. Leopold Kronecker famously said that God
844 made the integers and everything else was done by humans. (See, e.g.,
845 Struik 1967, 160.) Kantian Structuralism is even more radically anthro-
846 pocentric. According to Kantian Structuralism, *the formal constitution of*
847 *rational human nature* made the natural numbers, and *logico-conceptual*
848 *construction by rational human agents, together with their innate capacity*
849 *for linguistic understanding* did all the rest.

850 Now of course the Kantian structuralist still needs to explain more
851 precisely *how* mathematical a priori knowledge is possible. And that is
where the HW Theory comes in.

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852 IV. THE HW THEORY
853

854 As we have seen, the Husserl-Wittgenstein Theory of Logical and
855 Mathematical Phenomenological Self-Evidence holds that a priori knowl-
856 edge in logic and mathematics is the joint product of two rational human
857 abilities operating in tandem:
858

- 859 (1) a rational human agent's *mental-model-manipulating* abilities, which
860 are innately specified in the agent's mind and also inherently
861 present, as necessary ingredients, in ordinary sense perception, and
862 which entail her conscious cognition of phenomenologically self-
863 evident formal non-conceptual structures of human sense perception,
864 together with
865 (2) that rational human agent's *logic-and-language-constructing* abilities,
866 which are innately specified in the agent's mind and also inherently
867 present, as necessary ingredients, in ordinary empirical conceptualiz-
868 ing and perceptual judgment, and which entail her conscious cogni-
869 tion of phenomenologically self-evident formal conceptual contents
870 and specific patterns of logical inference in classical or non-classical
871 logics.
872

873 And as its name clearly indicates, there are two historical provenances
874 for the HW Theory: Husserl's specifically *phenomenological* approach
875 to the epistemology of necessary truth in *Logical Investigations*, and
876 Wittgenstein's specifically *linguistic* approach to the epistemology of nec-
877 essary truth in the *Tractatus*. The historico-philosophical task of correctly
878 interpreting each of these books is both highly strenuous and highly
879 tricky, and, especially in the case of the *Tractatus*, currently quite con-
880 troversial. In this context, I want to bracket those hard interpretive
881 questions, and just state what I take to be the deep epistemological
882 ideas lying behind Husserl's doctrine of "categorical intuition" and also
883 behind Wittgenstein's doctrine that "language itself prevent[s] every
884 logical mistake" by virtue of the fact that "we *cannot* think illogically."
885

886 For our purposes here, Husserl's deep epistemological idea is that
887 the abstract formal structures characteristic of logic or mathematics
888 are immediately represented in our inherently non-conceptual,
pre-reflectively conscious awareness of the logico- syntactic and

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889 sortal-semantic structures of the meaningful sentences we use to frame
 890 true logical or mathematical judgments, and that the truth of those
 891 judgments is directly verified in direct perceptual experience of the man-
 892 ifestly real and intrinsically spatiotemporal natural world. This direct
 893 verification, in turn, is *phenomenological self-evidence*.

894 To understand the notion of phenomenological self-evidence properly,
 895 we need to sketch the basic concepts of Husserl's early phenomenology.
 896 Phenomenology, as Husserl understood it in 1900 in the first edition
 897 of the *Logical Investigations*, is an elaboration of "descriptive psychology"
 898 in Brentano's sense. More precisely, phenomenology is the first-person,
 899 introspective, non-reductive philosophical psychology of consciousness
 900 and intentionality, as opposed to the natural science of empirical psychol-
 901 ogy (LI 5, §7). As a specifically *philosophical* psychology, its basic claims,
 902 if true, are non-logically or synthetically necessarily true and a priori.

903 As Husserl points out in Investigation 5, consciousness (*Bewusstsein*)
 904 is a subject's capacity for "lived experience" or *Erlebnis*, i.e., phenomenal
 905 awareness, together with her capacity for *intentionality*. Intentionality, in
 906 turn, is essentially the same as what Kant would have called "directed
 907 experience" or *Erfahrung*. So more comprehensively, as I will put it,
 908 consciousness is *subjective experience*.

909 Now all subjective experience, insofar as it is "directed experience,"
 910 or intentionality, is either dispositionally or occurrently directed towards
 911 *targets* of various kinds—objects (of all sorts), events (including inten-
 912 tional actions), and subjects (including oneself or others). Conversely,
 913 all "directed experience" or intentionality is either dispositionally or
 914 occurrently conscious in the sense of phenomenal awareness or "lived
 915 experience." In turn, every conscious intentional mental state *M* has four
 916 individually necessary and jointly individuating features:

- 917
- 918 (1) *M* is a mental *act* (*psychischer Akt*) with its own "immanent con-
 919 tent" or "act-matter" and its own specific character (i.e., phenomenal
 920 character) (LI 5, §§11, 14, 20),
- 921 (2) *M*'s mental act falls under a specific intentional *act-type* or "act-
 922 quality," e.g., perceiving, imagining, remembering, asserting, doubt-
 923 ing, etc. (LI 5, §20),
- 924 (3) *M*'s mental has an intentional *target*, which at the very least has ontic
 925 status or "being" (*Sein*) and perhaps also actual existence or "reality"

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(*Wirklichkeit*), although this target need not necessarily have reality—hence intentional targets can include fictional objects, impossible objects, abstract objects, ideal objects, etc. (LI 5, §§11, 17, 20), and (4) *M* has an intentional *meaning content* or “semantic essence” (*bedeutungsmässige Wesen*), which presents its target in a certain specific way, where this meaning content is either *propositional* or *referential* (LI 5, §§21, 31–36)

It is crucial to note that this general phenomenological analysis holds *both* for the intentionality of judgment and belief, which presupposes pure formal logic and necessarily requires the existence of natural language and the intentional subject’s linguistic competence, *and also* for the intentionality of perception and other modes of sensory cognition such as imagination and memory, which do not presuppose pure formal logic or necessarily require the existence of natural language or linguistic competence.

In Investigation 6, Husserl argues that truth (*Wahrheit*) is the structural and semantic conformity of a judgment to the very fact that satisfies its propositional content, and that authentic knowing (*Erkennen*) or “self-evidence” (*Evidenz*)—whether authentic a priori knowledge or authentic a posteriori knowledge—is the sufficiently justified conscious intentional recognition of truth (LI 6, §§6–12, 20, 28, 36–39). Moreover, self-evidence has its own characteristic phenomenology. The essential structure of the phenomenology of self-evidence is the advance from “empty” intentions to “filled” intentions, where

- (1) empty intentions are logico-linguistically structured propositional contents insofar as they are *conceptually understood* by an intentional subject to specify the very facts that *could or would* satisfy those contents and thereby *make* those propositions true, and
- (2) filled intentions are logico-linguistically structured propositional contents insofar as the very facts that could or would satisfy them are also *non-conceptually intuited* by an intentional subject as *actually satisfying* those contents and thereby *making* those propositions true.

In other words, and now formulated in an explicitly Kantian way, for early Husserl the phenomenological profile of authentic knowledge or

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963 self-evidence is a systematic advance from conceptual “understanding”
964 (*Verstand*) to non-conceptual “intuition” (*Anschauung*), and this holds
965 whether the authentic knowledge is a priori or a posteriori, and whether
966 the truth-making fact that is intuitively experienced in intentional fulfill-
967 ment as satisfying the relevant propositional content is a non-empirical
968 or ideal (necessary or possible) abstract fact, or an empirical or real
969 (contingent) concrete fact.

970 In the case of non-empirical or ideal facts, then the non-conceptual
971 intuition by which the fact is self-evidently known is a *categorical* intuition
972 (*LI* 6, §§40–58). Categorical intuitions are intentional states containing
973 phenomenal characters that specifically pick out the formal and structural
974 elements of the very facts that are known via intentional fulfillment, either
975 by means of formal elements of perceptual consciousness, or by means
976 of formal elements of logico-linguistic consciousness. The two paradigm-
977 atic examples of this special sort of a priori intuition would be the way
978 in which aggregates of directly perceived objects (say, beer bottles) are
979 non-conceptually and pre-reflectively “subitized” into finite groups (say,
980 groups of 5 or 7), and the way in which a state-of-affairs as described by
981 a statement or judgment (say, “The twelve beer bottles are all lined up in
982 the shelf on the wall”) appears to have the very same grammatical form
983 as the sentence used to describe it.

984 What this all means, again for our purposes here, is that when we use
985 very simple arithmetic sentences like “ $7 + 5 = 12$ ” in making statements
986 like “ $7 + 5 = 12$,” we are non-conceptually and pre-reflectively con-
987 sciously aware of a temporal flow of mental images associated with our
988 visual or auditory cognition of those inscriptions or utterances. Indeed,
989 recent empirical research on memory strongly indicates that the non-
990 conceptual, pre-reflectively conscious phenomenal look and sound of
991 language is processed separately from the propositional cognition of lin-
992 guistic meaning (see Schacter 1990). For example, I can vividly recognize
993 and remember the look or sound of German sentences and words—*Die*
994 *Welt is alles, was der Fall ist* or *Wovon man nicht sprechen kann, darüber*
995 *muss man schweigen* (as, perhaps, screeched by the brilliant Finnish absur-
996 dist composer and singer M.A. Numminen⁸)—without recognizing or
997 remembering what they mean. Thus the mathematical propositions that
998

999 ⁸See (and hear) (Numminen, 2009).

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we express by means of the *self-conscious intentional conceptual* acts of cognizing the linguistic meanings of arithmetic sentences is directly combined with a *non-conceptual, pre-reflectively* conscious grasp of the formal structure of experiential or lived time.

And in turn, whenever we directly perceive a configuration of manifestly real material objects in the natural world that partially confirms the arithmetic propositions we express—say, we see seven bottles of beer on the wall sitting alongside five more bottles of beer on the wall, yielding the look of twelve bottles of beer on the wall—the non-conceptual, pre-reflectively conscious direct sense perceptions of those manifestly real material objects and also the self-conscious epistemic perceptions based on those direct perceptions, together with their perceptual, imaginational, and memory-based synthesis in time as we explicitly or implicitly count them up, immediately delivers to us a phenomenological formal structure that is also isomorphic to the addition operation over the natural numbers 7 and 5 in the system of Peano arithmetic. That non-conceptual, pre-reflectively conscious visual experience is a categorial intuition in Husserl's sense that necessarily impresses itself upon us as *mathematically self-evident*, where “self-evident” also means “inherently compelling,” and as thereby conferring a *defeasible* epistemic certainty (Giaquinto 2007): As a rational human conscious intentional subject, you cannot help believing the propositional content associated with precisely that non-conceptual, pre-reflectively conscious subjective visual experience, or categorial intuition, precisely because it is inherently compelling. But correspondingly, the statement “ $7 + 5 = 12$ ” is true if and only if there really is an appropriate mathematical truth-maker in the actual world that makes it true. This Husserlian doctrine, I think, provides a robustly realistic phenomenological interpretation of the classical Cartesian idea of “clear and distinct intuition.”

Correspondingly, as I see it, the Tractarian Wittgenstein's equally deep epistemological idea is that to have logical or mathematical a priori knowledge is just

- (a) to be a conscious rational human agent who possesses an innate conceptual cognitive capacity for non-conceptually and pre-reflectively consciously constructing, understanding, and using natural languages:

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1037 Human beings possess the capacity of constructing languages, in which every
1038 sense can be expressed, without having an idea of how and what each word
1039 means—just as one speaks without knowing how the single sounds are produced.
1040 Ordinary language is a part of the human organism and is not less complicated
1041 than it. (Wittgenstein 1981, prop 4.002, 61–63. Translation slightly modified)
1042 and

- 1043
1044 (b) then actually applying the meaningful logical and mathematical sen-
1045 tences of those natural languages—e.g., “ $7 + 5 = 12$ ”—according
1046 to the implicit normative rules of logic and natural languages, to a
1047 world of directly perceivable manifestly real material objects whose
1048 configurations inherently satisfy those sentences.
1049

1050 So if, plausibly, we take early Wittgenstein’s remarks about cognizing
1051 language to be anticipations of a broadly *Chomskyan* theory of language
1052 (e.g., Chomsky 1986), then non-conceptually, non-self-consciously, and
1053 thus “tacitly” consciously knowing the logical and mathematical parts
1054 of natural languages is just a sub-species of non-conceptually, non-self-
1055 consciously, and thus “tacitly” consciously knowing a natural language
1056 more generally. This is a priori knowledge in the mode of *knowing exactly*
1057 *but also only non-conceptually and pre-reflectively consciously how to con-*
1058 *struct and use the language according to categorically normative rules of*
1059 *human rationality* (Hanna 2006c, esp. Chapters 4, 5, 6 and 7), and not
1060 a priori knowledge in the mode of self-consciously knowing exactly *what*
1061 *one is doing or that one is doing it*, whenever one actually does it. Or in
1062 other words, Wittgenstein is adumbrating the notion of a *conceptually-*
1063 *driven but also non-conceptually and pre-reflectively conscious a priori logical*
1064 *and mathematical linguistic competence*.

1065 According to the HW Theory then, our knowing mathematical truths
1066 by means of mathematical judgments involves the very same sorts of
1067 non-conceptual, pre-reflectively conscious but also conceptually-driven
1068 cognitive activities as knowing factual truths by means of ordinary
1069 linguistic perceptual judgments, in accordance with direct or naïve
1070 perceptual realism. In this way, our innate conceptual capacity for con-
1071 structing, understanding, and using the logical and mathematical parts of
1072 natural language, together with our innate non-conceptual capacity for
1073 direct sense perception and pre-reflective consciousness, when conjointly

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1074 triggered appropriately by the world of directly perceivable manifestly real
1075 material spatiotemporal objects, and when correctly conjointly imple-
1076 mented by us, just *is* mathematical a priori knowledge in the classical
1077 sense. That is, and more briefly: You know some mathematical truths
1078 a priori when you are both non-conceptually and pre-reflectively con-
1079 sciously and *also* conceptually and self-consciously thinking or talking
1080 about mathematics correctly, and furthermore the manifestly real natu-
1081 ral world also uniquely satisfies the mathematical statements generated in
1082 your language of thought or in your outer speech.

1083 It is plausible to think, for reasons supplied by classical Constructivist
1084 theories of arithmetic, that the precise class of arithmetic statements that
1085 would be satisfied under phenomenologically self-evident mathematical
1086 a priori knowledge is primitive recursive arithmetic, or PRA, which is a
1087 fundamental fragment of elementary or Peano arithmetic containing the
1088 quantifier-free theory of the natural numbers and the primitive recur-
1089 sive functions. (See Skolem 1967; Troelstra and Dalen 1998, 120–126;
1090 Hanna 2006a, Sec 6.2.) More precisely, it is plausible to think that our
1091 directly perceivable and linguistic access to the unique intended model of
1092 Peano arithmetic will not permit us to verify all of Peano arithmetic with
1093 phenomenological self-evidence. Peano arithmetic is of course defined by
1094 the following five axioms:

- 1095
1096
1097 (1) 0 is a number.
1098 (2) The successor of any number is a number.
1099 (3) No two numbers have the same successor.
1100 (4) 0 is not the successor of any number.
1101 (5) Any property which belongs to 0, and also to the successor of every
1102 number which has the property, belongs to all numbers,

1103
1104 together with the primitive recursive functions (basic calculations) over
1105 the natural numbers—the successor function, addition, multiplication,
1106 exponentiation, etc. But axiom (5) is not verifiable in an inherently *non-*
1107 *conceptual* way, and on the contrary requires the inherently *conceptual*
1108 ability to grasp quantifications over all the numbers. Nevertheless, given
1109 our grasp of all the arithmetic statements covered by the first *four* axioms,
1110

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1111 together with a grasp of the primitive recursive functions, and thus for
1112 PRA, there is no need whatsoever for a further theory of sufficient jus-
1113 tification by epistemic reasons, nor for any sort of reply to skepticism.
1114 Therefore PRA is phenomenologically self-evident in the Husserlian and
1115 Wittgensteinian sense, precisely because the cognitive abilities required to
1116 grasp it are inherently non-conceptual and pre-reflectively conscious, and
1117 fall within the scope of categorial intuition.

1118 Presumably there are also humanly-graspable, categorially-intuitable
1119 structural analogues of PRA in elementary geometry, elementary set the-
1120 ory, and elementary logic—e.g., *Euclidean* geometry, *basic* set theory (see,
1121 e.g., Potter 1990, Chapter 3), and *monadic* logic. If so, then Euclidean
1122 geometry, basic set theory, and monadic logic are all phenomenologically
1123 self-evident *too*, along with PRA.

1124 It is crucial to note that a priori knowledge in mathematics and
1125 logic far exceeds the scope of phenomenological self-evidence and cat-
1126 egorial intuition. Non-self-evident a priori mathematical and logical
1127 knowledge—e.g., a priori knowledge in non-Euclidean geometry and
1128 topology, Zermelo-Fraenkel set theory, and classical first-order polyadic
1129 logic—is inferential, conceptual, and of course also defeasible. But non-
1130 self-evident mathematical and logical a priori knowledge presupposes
1131 the phenomenologically self-evident and categorially intuitable parts of
1132 mathematics and logic, and constantly draws upon them as it carefully
1133 advances from the less defeasible, virtually uncontested, and more epis-
1134 temically secure domains, towards the more defeasible, more contested,
1135 and less epistemically secure domains. This epistemic advance from the
1136 self-evident a priori to the non-self-evident a priori is beautifully symbol-
1137 ically mirrored in the situation of Adam and Eve as they leave Paradise
1138 at the end of *Paradise Lost*, with a hard-won awareness of what is and
1139 what is not really possible for creatures like us, in our rational *human*
1140 condition:

1141
1142 They looking back, all the eastern side beheld
1143 Of Paradise, so late their happy seat,
1144 Waved over by that flaming brand, the gate
1145 With dreadful faces thronged a fiery arms.
1146 Some natural tears they dropped, but wiped them soon;
1147 The world was all before them, where to choose

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1148 Their place of rest, and Providence their guide.
1149 They hand in hand with wandering steps and slow,
1150 Through Eden took their solitary way.⁹
1151

1152 We can now see that the HW Theory is breathtakingly elegant. It
1153 also coheres perfectly with Kantian Structuralism and direct perceptual
1154 realism. For if Kantian Structuralism and direct perceptual realism are
1155 both true, then the HW Theory makes *perfect sense*, precisely because our
1156 actual world of directly perceivable manifestly real material spatiotem-
1157 poral objects intrinsically carries with it the abstract formal structures of
1158 the system of Peano arithmetic and its conservative extensions, and thus
1159 directly perceptually presents the system of natural numbers, i.e., the
1160 intended model of Peano arithmetic, via the self-evidence of primitive
1161 recursive arithmetic or PRA, to any rational human conscious inten-
1162 tional subject who is also competent in the mathematical parts of her
1163 own natural language.
1164

1165
1166 V. CONCLUSION: BENACERRAF'S DILEMMA AGAIN AND "RECOVERED
1167 PARADISE"

1168 If Kantian Structuralism, direct perceptual realism, and the Husserl-
1169 Wittgenstein Theory of logical and mathematical self-evidence are all
1170 true, then both of Benacerraf's preliminary philosophical assumptions
1171 about a "standard, uniform" semantics of natural language and a "reason-
1172 able" epistemology of cognizing true statements are true, and the other
1173 four steps of Benacerraf's Dilemma are also true, but the unacceptably
1174 skeptical conclusion does *not* follow. Mathematical a priori knowledge in
1175 at least the classical, Kantian sense still *is* possible. Kantian Structuralism
1176 together with direct perceptual realism *also* together solve the classical
1177 application problem for mathematics; they solve Benacerraf's other prob-
1178 lem about what the numbers could not be; they explains why classical
1179 Logicism failed; and they account for the synthetic necessity of mathe-
1180 matical truth. All of these very important individual theoretical virtues
1181 then seem to me to add up very naturally to one great big sufficient
1182

1183

1184

⁹(Milton, 1953a, p. 487, book XII, lines 641–649).

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1185 reason for accepting Kantian Structuralism, direct perceptual realism, and
1186 the HW Theory as a single package.

1187 Moreover, the conjunction of Kantian Structuralism, direct percep-
1188 tual realism, and the HW Theory yields a phenomenologically-enriched
1189 *Kantian logico-linguistic constructivism* as a serious alternative to classical
1190 Platonism about mathematics on the one hand, and also to all the more or
1191 less skeptical recent and contemporary theories of mathematics—i.e., the
1192 full range of pre-emptive or concessive negative solutions to Benacerraf's
1193 Dilemma—on the other. This, in turn, suggests a fundamental Kantian,
1194 Husserlian, and Wittgensteinian insight into the nature of a priori knowl-
1195 edge. Given this phenomenologically-enriched Kantian logico-linguistic
1196 constructivism, what is required for mathematical knowledge is just a
1197 linguistically competent, healthy, developmentally normal, and relatively
1198 mature rational human conscious intentional subject, who can grasp both
1199 the non-conceptual content of perception and also the conceptual and
1200 propositional content of statements or judgment, who has also learned
1201 the basics of PRA, and who is thus primed and ready for speaking
1202 her own natural language, and for non-conceptually and pre-reflectively
1203 consciously but also conceptually and self-consciously intaking her man-
1204 ifestly real world through direct sense perception. And that is *all* that
1205 is required. Mathematics, just like *logic*—as I have argued elsewhere (see
1206 Hanna 2006b), is an *exact science* and yet also inherently a *human* or *moral*
1207 science. In this way, by equally rejecting *both* classical Platonism *and* post-
1208 Benacerrafian skepticism about mathematical truth and knowledge, we
1209 find

1211 Eden raised in the waste wilderness.

1213 So let us go forth and multiply. And of course also add, subtract, divide,
1214 and correctly perform the other primitive recursive functions over the
1215 natural numbers too.¹⁰

1217
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1219 Sara Heinämaa) of and also the participants in (especially Juliette Kennedy and William
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CHAPTER VIII

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Reference Hanna and Maiese (2009) is not cited in the text part. Please provide citation.

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