### Topological games

Gary Gruenhage Auburn University

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In a topological game, the sets  $I_n$  and  $J_n$  of course are topological objects, e.g., points in a space X, closed subsets of a space, an open cover of a space, etc.

Image: Image:

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Wlog, a strategy for Player I may be considered to be a function whose domain is a the set of finite sequences  $J_0, J_1, \ldots$  of plays by Player II, since given a strategy  $\sigma$  as above, and  $J_0, \ldots, J_n$ , there is a unique way to fill in the plays  $I_0 = \sigma(\emptyset)$ ,  $I_1 = \sigma(I_0, J_0)$ , etc. of Player I.

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Obviously, I and II cannot both have a winning strategy, and it is possible that neither has. A game is *determined* if one of the players has a winning strategy, otherwise it is *undetermined*.

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Topological games

### Banach-Mazur game

Banach-Mazur game BM(X) on X:

. . .

Players E and NE alternately choose nonempty open sets in X: E:  $U_0 = U_1$ 

NE:  $V_0 \quad V_1$ 

Image: A matrix and A matrix

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A space X is a Baire space iff E has no winning strategy in BM(X).

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Converse is true: NE  $\uparrow BM(X) \iff X^{\kappa}$  with box topology Baire  $\forall \kappa$ 

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Converse is true: NE  $\uparrow BM(X) \iff X^{\kappa}$  with box topology Baire  $\forall \kappa$ 

Conjecture is consistent if there is a proper class of measurables; true in ZFC?

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- BM(X) in this class. If E has a winning strategy in the BM(X), then E has a stationary winning strategy.

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Look at the set  $\mathcal{P}(V)$  of all partial legal plays  $(U_0, V_0, ..., U_k, V_k)$  of the game with E using  $\sigma$  and with  $V_k = V$ .

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Remark: Galvin and Telgarsky, Debs: NE  $\uparrow$  BM(X)  $\Rightarrow$  NE has winning strategy based on last move of opponent and his own last move.

#### Let X be a space, and let $\mathbb{K}$ be a closed hereditary class of spaces.

Let X be a space, and let  $\mathbb{K}$  be a closed hereditary class of spaces. We define the game  $G(\mathbb{K}, X)$ . There are two players, I and II.

Il responds by choosing a closed set  $B_0 \subset X \setminus A_0$ .

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We say I wins the game if  $\bigcap_{n \in \omega} B_n = \emptyset$ ; otherwise II wins.

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The space X is said to be  $\mathbb{K}$ -*like* if Player I has a winning strategy in  $G(\mathbb{K}, X)$  (i.e., if  $I \uparrow G(\mathbb{K}, X)$ ).

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Trivially,  $\mathbb{K}$  is contained in the class of  $\mathbb{K}$ -like spaces.

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# Theorem (Telgarsky)

If X is paracompact and  $\mathbb{DC}$ -like, then  $X \times Y$  is paracompact for all paracompact spaces Y.

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(Sub)paracompact scattered spaces, more generally  $\mathbb{C}$ -scattered (every closed subspace has a point of local compactness), and spaces with a  $\sigma$ -closure-preserving cover by compact sets, are  $\mathbb{DC}$ -like.

# Telgarsky's Conjecture

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#### Telgarsky's Conjecture

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### Theorem(Alster, 2006)

Telgärsky's Conjecture holds if X has a base of cardinality  $\leq \aleph_1$ 

Thus, X is  $\mathbb{K}$ -like iff there is a function  $\sigma : \mathcal{C}(X) \to \mathbb{K}$ , where  $\mathcal{C}(X)$  is the collection of nonempty closed subsets of X, such that

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$$\sigma(C) \subset C;$$
  
•  $\sigma(C) \in \mathbb{K};$   
• If

$$X = B_{-1} \supset B_0 \supset B_1 \cdots \supset B_n \supset \ldots$$

is a decreasing sequence of closed sets such that for each  $n \in \omega$ ,  $B_n \cap \sigma(B_{n-1}) = \emptyset$ , then  $\bigcap_{n \in \omega} B_n = \emptyset$ .

A space X is a *D*-space if, given an open nbhd N(x) for each  $x \in X$ , there is a closed discrete  $D \subset X$  such that  $N(D) = \{N(x) : x \in D\}$  covers X.

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#### Open question:

Do any of the other standard covering properties (e.g., (Lindelöf, paracompact, metacompact, submetacompact,...) imply *D*?

X is said to be *Menger* if, given open covers  $U_0, U_1, \ldots$ , there are finite  $\mathcal{F}_n \subset \mathcal{U}_n$  such that  $\bigcup_{n \in \omega} \mathcal{F}_n$  covers X.

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## Theorem(Aurichi)

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Hurewicz: analytic + Menger  $\Rightarrow \sigma$ -compact

 $(\mathsf{Fremlin-Miller})\mathsf{ZFC} \Rightarrow \exists \mathsf{ non-}\sigma\mathsf{-}\mathsf{compact} \mathsf{ Menger} \ X \subset \mathbb{R}$ 

## Theorem(Aurichi)

Menger spaces are D-spaces.

Not clear how to do a direct proof. A game characterization of Menger, due to Hurewicz, provides an easy proof.

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Theorem (Hurewicz)

X is Menger iff I  $\gamma M(X)$ 

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Proof of Aurichi's theorem Assume X Menger.

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### Proof of Aurichi's theorem Assume X Menger. Let N be a neighborhood assignment on X.

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and so on.

This defines a strategy for Player I.

Therefore there is some play of the game with I using this strategy such that, if  $F_0, F_1, \ldots$  code the plays of II, then  $X = \bigcup_{n \in \omega} V_n = \bigcup \{N(x) : x \in \bigcup_{n \in \omega} F_n\}.$ 

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Let  $D = \bigcup_{n \in \omega} F_n$ . Then N(D) covers X. Since for each n, we have  $F_n \subset V_n$  and  $F_{n+1} \cap V_n = \emptyset$ , it is easy to check that D is a closed discrete subset of X. Hence X is a D-space.

# Proof of Hurewicz's theorem

To show: Menger  $\Rightarrow I \not \upharpoonright M(X)$ 

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To summarize: I chooses countable increasing open cover, each member of which contains II's previous move. II chooses a member of I's cover.

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To summarize: I chooses countable increasing open cover, each member of which contains II's previous move. II chooses a member of I's cover. Want to show that II can defeat I's strategy.

 $\{U_n\}_n$   $\{U_{0m}\}_m \qquad \{U_{1m}\}_m \qquad \dots \qquad \{U_{nm}\}_m \qquad \dots \qquad$   $\{U_{n0k}\}_k \quad \{U_{n1k}\}_k \quad \dots \quad \{U_{nmk}\}_k$


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In this way we define a "game tree"  $\{U_{\sigma}\}_{\sigma \in \omega^{<\omega}}$ . (Let  $U_{\emptyset} = \emptyset$ .) We need to show that there is a play of the game, i.e., a branch of the game tree, for which the corresponding open sets cover.



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$$V_k^1 = U_k \cap U_{0k} \cap U_{1k} \cap \ldots \cap U_{k-1,k}.$$

Since we have assumed  $U_{ik} \supset U_i$ , note that  $V_k^1 = \bigcap_{\sigma \in \omega^{\leq 1}} U_{\sigma k}$ , i.e.,  $V_k^1 =$  intersection of all  $k^{th}$  terms of all of I's plays from rounds 0 and 1

Claim.  $\{V_k^1\}_k$  is an increasing open cover. Increasing:  $V_{k+1}^1 = U_{k+1} \cap \bigcap_{i \le k} U_{i,k+1} \supseteq U_k \cap (\bigcap_{i < k} U_{ik}) \cap U_{k,k+1} = U_k \cap (\bigcap_{i < k} U_{ik}) = V_k^1$ .

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So  $X = \bigcup_{n \in \omega} U_{f \upharpoonright n+1}$ , which corresponds to a play of the game in which I's strategy has been defeated.

## **TUTORIAL: Topological games, lecture II**

## Let X be a space, and H a closed subset of X. Define G(H, X) as follows:

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If  $O \uparrow G(H, X)$ , we call  $H \neq W$ -set in X.

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P. Sharma proved X is a w-space iff for each  $x \in X$ : if  $x \in \overline{A}_n$  for each  $n \in \omega$ , then there are  $x_n \in A_n$  with  $x_n \to x$ .

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This showed the class of *w*-spaces equivalent to a class introduced by Arhangel'skii (Fréchet  $\alpha_2$ -spaces).

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A classical result:

## Theorem (Schneider)

A compact Hausdorff space X is metrizable iff the diagonal  $\Delta$  of X is  $G_{\delta}$  in  $X^2$ .

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• X has a point-countable  $T_0$ -separating cover by open  $F_{\sigma}$ 's.

### Theorem(G.G., 1984)

A compact space X is Corson compact iff  $O \uparrow G(\Delta, X^2)$ .

Gary Gruenhage Auburn University ()

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### Theorem(G.G., 1984)

A compact scattered space X is strong Eberlein compact iff X is a W-space.

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(That is,  $\bigcup_{F \in [A]^{<\omega}} \mathcal{N}(F)$  includes a network at every point of  $\overline{A}$ .)

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## Question(Tkachuk)

### • Monotonically monolithic compact $\Rightarrow$ Corson compact?

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Yes:

# Theorem (G.G., 2010)

### If X is compact and monotonically monolithic, then X is Corson compact.
#### Lemma

Suppose X is compact and monotonically monolithic. Then O has a winning strategy in G(H, X) for any closed  $H \subset X$ .

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X compact monotonically monolithic  $\Rightarrow$  ditto for  $X^2 \Rightarrow 0$  has winning strategy in  $G(\Delta, X^2) \Rightarrow X$  Corson compact

Proof of lemma

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Claim. This wins for O. Suppose  $p_n \not\rightarrow H$ . Then  $\{p_n\}_n$  has limit point  $q \notin H$ Let  $q \in U$  open,  $\overline{U} \cap H = \emptyset$ . Claim. This wins for O. Suppose  $p_n \nleftrightarrow H$ . Then  $\{p_n\}_n$  has limit point  $q \notin H$ Let  $q \in U$  open,  $\overline{U} \cap H = \emptyset$ .  $\exists k \in \omega$  with  $N \in \mathcal{N}(\{p_i\}_{i \le k})$  and  $q \in N \subset U$  Claim. This wins for O. Suppose  $p_n \not\rightarrow H$ . Then  $\{p_n\}_n$  has limit point  $q \notin H$ Let  $q \in U$  open,  $\overline{U} \cap H = \emptyset$ .  $\exists k \in \omega$  with  $N \in \mathcal{N}(\{p_i\}_{i \leq k})$  and  $q \in N \subset U$  $N = N_{kj}$  for some k, j Claim. This wins for O. Suppose  $p_n \not\rightarrow H$ . Then  $\{p_n\}_n$  has limit point  $q \notin H$ Let  $q \in U$  open,  $\overline{U} \cap H = \emptyset$ .  $\exists k \in \omega$  with  $N \in \mathcal{N}(\{p_i\}_{i \leq k})$  and  $q \in N \subset U$   $N = N_{kj}$  for some k, j $\overline{O}_n \cap N = \emptyset$  for  $n > max\{j, k\}$  Claim. This wins for O. Suppose  $p_n \not\rightarrow H$ . Then  $\{p_n\}_n$  has limit point  $q \notin H$ Let  $q \in U$  open,  $\overline{U} \cap H = \emptyset$ .  $\exists k \in \omega$  with  $N \in \mathcal{N}(\{p_i\}_{i \leq k})$  and  $q \in N \subset U$   $N = N_{kj}$  for some k, j  $\overline{O}_n \cap N = \emptyset$  for  $n > max\{j, k\}$   $q \notin \overline{O}_n \Rightarrow q$  not limit of  $\{p_n\}_n$ . Contradiction.

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Then we have:

### Theorem

Let X be compact and countably tight, and H closed. Then O has a winning strategy in G(H, X) iff  $X \setminus H$  is metalindelöf.

It is useful to view the game as a game in  $X \setminus H$ , with players K and P.

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K wins if  $p_n \to \infty$  (i.e.,  $\{p_n : n \in \omega\}$  is closed discrete in  $X \setminus H$ ). Replacing  $X \setminus H$  with X, let us denote this game by  $G_{K,P}(X)$ . It is useful to view the game as a game in  $X \setminus H$ , with players K and P. In the  $n^{th}$  round, K chooses a compact  $K_n \subset X \setminus H$  (the complement of a

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Then the result becomes:

#### Theorem

Let X be locally compact and countably tight. Then K has a winning strategy in  $G_{K,P}(X)$  iff X is metalindelöf.

(I don't know if the countable tightness assumption is necessary.)

*Proof.* If X is metalindelöf, then there is a point-countable cover  $\mathcal{U}$  of X by open sets with compact closures .

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K wins by looking at the countably many members of  $\mathcal{U}$  containing P's chosen point at each round, and choosing an increasing sequence of compact sets that eventually cover every one of these members of  $\mathcal{U}$ . It is easy to check that this wins for K.

*Proof.* If X is metalindelöf, then there is a point-countable cover  $\mathcal{U}$  of X by open sets with compact closures .

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Now suppose K has a winning strategy  $\sigma$ , and let  $\mathcal{U}$  be a cover of X by open sets with compact closures. Let M be an elementary submodel (of some sufficiently large  $H(\theta)$ ) with  $X, \mathcal{U}, \sigma \in M$ .

Key Claim.  $\overline{M \cap X} \subset \bigcup (M \cap \mathcal{U}).$ 

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Key Claim.  $\overline{M \cap X} \subset \bigcup (M \cap \mathcal{U})$ . Proof of Key Claim. Suppose  $p \in \overline{M \cap X} \setminus \bigcup (M \cap \mathcal{U})$ . Let  $p \in U_p \in \mathcal{U}$ .

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Key Claim.  $\overline{M \cap X} \subset \bigcup (M \cap U)$ . Proof of Key Claim. Suppose  $p \in \overline{M \cap X} \setminus \bigcup (M \cap U)$ . Let  $p \in U_p \in U$ . Suppose  $F = \{p_0, p_1, \dots, p_n\} \subset U_p \cap (M \cap X)$ . Then  $\sigma(F)$  is compact and in M so there exists a finite  $U_0 \subset U$  in M covering  $\sigma(F)$ . Key Claim.  $\overline{M \cap X} \subset \bigcup (M \cap U)$ . Proof of Key Claim. Suppose  $p \in \overline{M \cap X} \setminus \bigcup (M \cap U)$ . Let  $p \in U_p \in U$ . Suppose  $F = \{p_0, p_1, \dots, p_n\} \subset U_p \cap (M \cap X)$ . Then  $\sigma(F)$  is compact and in M so there exists a finite  $U_0 \subset U$  in M covering  $\sigma(F)$ .

Since *M* also contains a finite subset of  $\mathcal{U}$  covering  $\overline{\cup \mathcal{U}}_0$ , we have  $p \notin \overline{\cup \mathcal{U}}_0$ . So there exists  $p_{n+1} \in U_p \cap (M \cap X) \setminus \overline{\cup \mathcal{U}}_0$ .

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It follows that if K uses the strategy  $\sigma$ , P can always choose a point in  $U_p \cap (M \cap X)$ . But then K loses the game, a contradiction which completes the proof of Key Claim.
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Claim 2. There is a point-countable open refinement  $\mathcal{V}_M$  of  $M \cap \mathcal{U}$  covering  $\cup (M \cap \mathcal{U})$ .

*Proof of Claim 2.* By induction on  $|M| = \kappa$ . Write  $M = \bigcup \{M_{\alpha} : \alpha < \kappa\}$  and use Key Claim to put together point-countable refinements of  $M_{\alpha} \cap U$ .

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It is easy to see that K has a winning strategy in any locally compact  $\sigma$ -compact space: K simply chooses at the  $n^{th}$  play the  $n^{th}$  set in an increasing sequence of compact sets whose interiors cover the space.

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It is nearly as easy to see that K wins if X is a topological sum of locally compact  $\sigma$ -compact spaces, i.e., whenever X is locally compact and paracompact. The next theorem shows we have an equivalence:

#### Theorem

Let X be a locally compact space. Then the following are equivalent:  $\bullet K \uparrow G_{K,L}(X);$  $K \uparrow G^o_{K,L}(X);$ 3 X is paracompact.

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Why  $G^{o}_{K,L}(X)$ ? Because it is the most natural one for attacking the following open problem:

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# Question

For what (completely regular) spaces X is  $C_k(X)$  a Baire space?

 $(C_k(X)$  is the space of continuous real-valued functions on X with the compact-open topology.)

- If NE  $\uparrow$  BM( $C_k(X)$ ) then K  $\uparrow$   $G^o_{K,L}(X)$ ;
- ② If  $C_k(X)$  is Baire, then L  $\uparrow G^o_{K,L}(X)$ ;
- **③** If X is locally compact, then NE ↑ BM( $C_k(X)$ ) iff K ↑  $G^o_{K,L}(X)$ .

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*Proof of(2)* Suppose L  $\uparrow$   $G^o_{K,L}(X)$ 

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Proof of(2) Suppose L  $\uparrow G^{\circ}_{K,L}(X)$ Claim. E  $\uparrow$  BM( $C_k(X)$ ) (so  $C_k(X)$  not Baire, contradiction).

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# Theorem (Ma, GG)

# If X is locally compact, then $C_k(X)$ is Baire iff L $\not \subset G^o_{K,L}(X)$ .

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# Theorem (Ma, GG)

If X is locally compact, then  $C_k(X)$  is Baire iff L  $\uparrow G^o_{K,L}(X)$ .

# Question

Is it true that for any completely regular space X,  $C_k(X)$  is Baire iff L  $\uparrow$   $G^o_{K,L}(X)$ ? That  $NE \uparrow BM(C_k(X))$  iff  $K \uparrow G^o_{K,L}(X)$ ?

Image: A matrix

**Definition.** A collection  $\mathcal{L}$  of non-empty compact subsets of X is said to *move off* the compact sets if for every compact subset K of X, there is some  $L \in \mathcal{L}$  with  $K \cap L = \emptyset$ .

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Theorem
TFAE:
A has the MOP;
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# Theorem TFAE: • X has the MOP; • L $\gamma G^{o}_{K,L}(X)$ . Question Does X have MOP iff $C_k(X)$ Baire?

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# Theorem (Ma)

The following are equivalent:

- $C_k(T \cup A)$  is a Baire space;
- ② L ↑ G<sub>K,L</sub>(X)
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# Theorem (Ma) The following are equivalent: • $C_k(T \cup A)$ is a Baire space; • L $\gamma G_{K,L}(X)$ • A is a $\gamma$ -set.

 $A \subset \mathbb{R}$  is a  $\gamma$ -set if, given any collection  $\mathcal{U}$  of open sets such that any finite subset of A is contained in some member of  $\mathcal{U}$ , there are  $U_0, U_1, \ldots$  in  $\mathcal{U}$  such that  $A \subset \bigcup_{n \in \omega} \bigcap_{i \ge n} U_i$ .

Gary Gruenhage Auburn University ()

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# Corollary

There are, consistently, two function spaces with the compact-open topology which are Baire but whose product is not. Todorcevic showed that it is consistent for there to be two  $\gamma$ -sets  $A_0$  and  $A_1$  whose topological sum is not a  $\gamma$ -set. Since  $C_k(X_0 \oplus X_1) \cong C_k(X_0) \times C_k(X_1)$ , Ma obtained the following corollary.

# Corollary

There are, consistently, two function spaces with the compact-open topology which are Baire but whose product is not.

But we don't know about ZFC examples.

# Question

Are there examples in ZFC of two Baire function spaces whose product is not Baire?
Tel'garsky(1975) The countably metacompact game CM(X)

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### Theorem

 $X \times M$  is normal for every metrizable space M iff X is normal and II  $\uparrow CM(X)$ .

II chooses disjoint open refinement  $\mathcal{V}_n$  of  $\mathcal{U}_n$ 

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#### Theorem

(Babinkostova)

• II  $\uparrow$  S(X) iff X is countable dimensional;

② II has winning strategy in game of length k + 1 iff X is  $\leq k$  dimensional.

The game SS(X) (Dow, Barman): In round *n*, I chooses dense  $D_n$ , II chooses finite  $F_n \subset D_n$ . II wins if  $\bigcup_{n \in \omega} F_n$  is dense.

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Countable  $\pi$ -base  $\Rightarrow SS^+ \Rightarrow SS$ Separable Fréchet  $\Rightarrow$  SS  $SS \neq SS^+$ .

### Theorem

(Dow) X countable  $SS^+ \Rightarrow II$  has Markov winning strategy in SS(X).

So, for each dense D, for each  $n \in \omega$ , one can assign finite  $F(D, n) \subset D$  such that, if  $D_0, D_1, \ldots$  are dense, then  $\bigcup_{n \in \omega} F(D_n, n)$  is dense.

Idea of proof. Let  $\sigma$  be winning strategy for II.

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This constructs a (countable) tree of finite sequences of dense sets. Let  $t_0, t_1, \ldots$  be the nodes of the tree. The Markov winning strategy for II is:

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For each possible second round reply F' of II, choose dense D(F, F') such that  $\sigma(D(F), D(F, F')) = F'$ . Etc.

This constructs a (countable) tree of finite sequences of dense sets. Let  $t_0, t_1, \ldots$  be the nodes of the tree. The Markov winning strategy for II is: Given dense D in round n, II plays  $\sigma(t_n^{\frown} \langle D \rangle)$ .

Let  $\sigma$  be winning strategy for II.

X countable  $\Rightarrow$  II has only countably many possible replies

For each possible first round reply F, choose D(F) dense such that  $\sigma(D(F)) = F$ .

For each possible second round reply F' of II, choose dense D(F, F') such that  $\sigma(D(F), D(F, F')) = F'$ . Etc.

This constructs a (countable) tree of finite sequences of dense sets. Let  $t_0, t_1, \ldots$  be the nodes of the tree. The Markov winning strategy for II is: Given dense D in round n, II plays  $\sigma(t_n^{\frown} \langle D \rangle)$ .

[Same result for any game with II having only countably many responses, and I's legal plays unchanged during the game.]

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