

# The relation of rapid ultrafilters and $Q$ -points to van der Waerden ideal

Jana Flašková

Department of Mathematics  
University of West Bohemia in Pilsen

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# Q-points and rapid ultrafilters

## Definition.

A free ultrafilter  $\mathcal{U}$  is called a **Q-point** if for every  $\{Q_i : i \in \omega\}$ , a partition of  $\omega$  into finite sets, there exists  $U \in \mathcal{U}$  such that  $(\forall i \in \omega) |U \cap Q_i| \leq 1$ .

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A free ultrafilter  $\mathcal{U}$  is called **rapid** if for every  $\{Q_i : i \in \omega\}$ , a partition of  $\omega$  into finite sets, there exists  $U \in \mathcal{U}$  such that  $(\forall i \in \omega) |U \cap Q_i| \leq i$ .

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### Alternative definition of rapid ultrafilters:

A free ultrafilter  $\mathcal{U}$  is called rapid if the enumeration functions of its sets form a dominating family in  $(\omega^\omega, \leq^*)$ .

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In every model where  $Q$ -points are known not to exist, rapid ultrafilters do not exist either.

# Generic existence

Definition (Canjar).

We say that  $Q$ -points (respectively rapid ultrafilters) **exist generically** if every filter of character  $< \mathfrak{d}$  is included in a  $Q$ -point (respectively rapid ultrafilter).

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## Theorem (Canjar).

The following are equivalent:

- $\text{cov}(\mathcal{M}) = \mathfrak{d}$ ,
- Q-points exist generically,
- Rapid ultrafilters exist generically.

# Product of ultrafilters

## Definition.

Let  $\mathcal{U}$  and  $\mathcal{V}$ ,  $n \in \omega$ , be ultrafilters on  $\omega$ .

The **product of ultrafilters  $\mathcal{U}$  and  $\mathcal{V}$** , denoted by  $\mathcal{U} \times \mathcal{V}$ , is an ultrafilter on  $\omega \times \omega$  defined by  $A \in \mathcal{U} \times \mathcal{V}$  if and only if  $\{n : \{m : \langle n, m \rangle \in A\} \in \mathcal{V}\} \in \mathcal{U}$ .

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It is known that  $\mathcal{U} \times \mathcal{V}$  is never a Q-point.

## Theorem (Miller).

$\mathcal{U} \times \mathcal{V}$  is a rapid ultrafilter if and only if  $\mathcal{V}$  is rapid.

# AP-sets and van der Waerden ideal

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The van der Waerden ideal  $\mathcal{W}$  is  $F_\sigma$ -ideal, not a  $P$ -ideal.

# Difference between $Q$ -points and rapid ultrafilters

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### Proof of Lemma 1.

1. Let  $\omega = \bigcup_{n \in \omega} I_n$  where  $I_n = [2^n, 2^{n+1})$ .
2.  $\exists U_0$  in the ultrafilter such that  $|U_0 \cap I_n| \leq 1$  for every  $n$ .
3. Either  $U_1 = \bigcup_{n \text{ odd}} I_n$  or  $U_2 = \bigcup_{n \text{ even}} I_n$  is in the ultrafilter.
4. The set  $U = U_0 \cap U_i$  is in  $\mathcal{W}$ .

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## Theorem 2.

( $\text{MA}_{\text{ctble}}$ ) There is a rapid ultrafilter  $\mathcal{U}$  such that  $\mathcal{U} \cap \mathcal{W} = \emptyset$ .

# Proof of Theorem 2

An alternative characterization of rapid ultrafilters

## Definition.

For a function  $g : \omega \rightarrow [0, \infty)$  with  $\sum_{n \in \omega} g(n) = \infty$  the family

$$\mathcal{I}_g = \{A \subseteq \omega : \sum_{a \in A} g(a) < +\infty\}$$

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## Theorem (Vojtáš).

An ultrafilter  $\mathcal{U} \in \omega^*$  is rapid if and only if  $\mathcal{U} \cap \mathcal{I}_g \neq \emptyset$  for every tall summable ideal  $\mathcal{I}_g$ .

# Proof of Theorem 2

## Outline of the construction

1. List all tall summable ideals as  $\{\mathcal{I}_{g_\alpha} : \alpha < \mathfrak{c}\}$ .
2. For  $\alpha < \mathfrak{c}$  construct filter bases  $\mathcal{F}_\alpha$  such that for every  $\alpha < \mathfrak{c}$  the following hold:
  - (i)  $\mathcal{F}_0$  is the Fréchet filter
  - (ii)  $\mathcal{F}_\alpha \supseteq \mathcal{F}_\beta$  whenever  $\alpha \geq \beta$
  - (iii)  $\mathcal{F}_\gamma = \bigcup_{\alpha < \gamma} \mathcal{F}_\alpha$  for  $\gamma$  limit
  - (iv)  $(\forall \alpha) |\mathcal{F}_\alpha| \leq |\alpha + 1| \cdot \omega$
  - (v)  $(\forall \alpha) (\forall F \in \mathcal{F}_\alpha) F$  is an AP-set
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  - $(\forall \alpha) (\exists F \in \mathcal{F}_{\alpha+1}) F \in \mathcal{I}_{g_\alpha}$
- At successor stage use the following lemma:

# Proof of Theorem 2

## Successor stage

### Lemma 2a.

(MA<sub>ctble</sub>) Assume  $\mathcal{I}_g$  is a tall summable ideal,  $\mathcal{F}$  is a filter base on  $\omega$  with  $|\mathcal{F}| < \mathfrak{c}$  and  $\mathcal{F} \cap \mathcal{W} = \emptyset$ .

Then there exists  $G \in [\omega]^\omega$  such that  $G \in \mathcal{I}_g$  and  $G \cap F$  is an AP-set for every  $F \in \mathcal{F}$ .

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### Proof of Lemma 2a:

If  $\mathcal{F} \cap \mathcal{I}_g = \emptyset$  then consider  $P = \{K \in [\omega]^{<\omega} : \sum_{a \in K} g(a) < 1\}$

with a partial order  $\leq_P$  defined by:  $K \leq_P L$  if and only if  $K = L$  or  $K \supset L$  and  $\min(K \setminus L) > \max L$ .

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$D_{F,k} = \{K \in P : K \cap F \text{ contains an a. p. of length } k\}$  are dense

# $\mathcal{W}$ -ultrafilters

## Definition.

An ultrafilter  $\mathcal{U} \in \omega^*$  is called

a **weak  $\mathcal{W}$ -ultrafilter** if for every finite-to-one  $f : \omega \rightarrow \omega$  there exists  $U \in \mathcal{U}$  such that  $f[U] \in \mathcal{W}$ .

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Every  $\mathcal{W}$ -ultrafilter is a weak  $\mathcal{W}$ -ultrafilter.

Every weak  $\mathcal{W}$ -ultrafilter has a nonempty intersection with the van der Waerden ideal.

# $\mathcal{W}$ -ultrafilters and $Q$ -points

## Lemma 3.

Every  $Q$ -point is a weak  $\mathcal{W}$ -ultrafilter.

## Proposition 4.

( $\text{MA}_{\text{ctble}}$ ) There is a  $Q$ -point which is not a  $\mathcal{W}$ -ultrafilter.

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## Theorem 5.

( $\text{MA}_{\text{ctble}}$ ) There is a  $\mathcal{W}$ -ultrafilter which is not a  $Q$ -point.

# Proof of Theorem 5.

Property ( $\spadesuit$ )

**Definition.**

A filter base  $\mathcal{F}$  has **property ( $\spadesuit$ )** if

$$(\forall F \in \mathcal{F}) (\forall k \in \omega) (\exists n \in \omega) |F \cap [2^n, 2^{n+1})| > k.$$

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**Lemma 5a.**

Every filter base  $\mathcal{F}$  which has property ( $\spadesuit$ ) can be extended into an ultrafilter which is not a  $Q$ -point.

# Proof of Theorem 5.

## Outline of the construction

1. List all functions  ${}^\omega\omega = \{f_\alpha : \alpha < \mathfrak{c}\}$ .
2. For  $\alpha < \mathfrak{c}$  construct filter bases  $\mathcal{F}_\alpha$  such that for every  $\alpha < \mathfrak{c}$  the following hold:
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3. At successor stage use the following lemma:

# Proof of Theorem 5.

## Successor stage

### Lemma 5b.

(MA<sub>ctble</sub>) Assume  $\mathcal{F}$  is a filter base with  $|\mathcal{F}| < \mathfrak{c}$  with the property ( $\spadesuit$ ). Assume  $f \in {}^\omega\omega$ .

Then there is  $G \in [\omega]^\omega$  such that  $f[G] \in \mathcal{W}$  and the filter base generated by  $\mathcal{F}$  and  $G$  has property ( $\spadesuit$ ).

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### Proof of Lemma 5b:

If neither a set from  $\mathcal{F}$  nor  $f^{-1}[K]$  for some finite set  $K$  has the required property then consider

$$P = \{K \in [\omega]^{<\omega} : f[K] \text{ contains no a. p. of length 3}\}$$

with a partial order  $\leq_P$  defined by:  $K \leq_P L$  if and only if  $K = L$  or  $K \supset L$  and  $\min(K \setminus L) > \max L$ .

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# Questions

## Theorem 2.

( $\text{MA}_{\text{ctble}}$ ) There is a rapid ultrafilter  $\mathcal{U}$  such that  $\mathcal{U} \cap \mathcal{W} = \emptyset$ .

## Question A.

Does there consistently exist an idempotent ultrafilter which is a rapid ultrafilter?

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## Question A.

Does there consistently exist an idempotent ultrafilter which is a rapid ultrafilter?

## Theorem 5.

(MA<sub>ctble</sub>) There is a  $\mathcal{W}$ -ultrafilter which is not a Q-point.

## Question B.

Does there (consistently) exist a  $\mathcal{W}$ -ultrafilter which is not a rapid ultrafilter?

## References

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